



ALEX PADILLA | SECRETARY OF STATE | STATE OF CALIFORNIA
OFFICE OF VOTING SYSTEMS TECHNOLOGY ASSESSMENT
1500 11th Street | Sacramento, CA 95814 | Tel 916.695.1680 | Fax 916.653.4620 | www.sos.ca.gov

February 27, 2019

County Clerk/Registrar of Voters (CC/ROV) Memorandum #19015

TO: All County Clerks/Registrars of Voters

FROM: /s/ Susan Lapsley
Office of Voting Systems Technology Assessment

RE: Notice of Withdrawal of Certification and Conditional Approval of Voting Systems -
Effective August 27, 2019

In counties throughout the state, voting systems are at or near their life expectancy, with some voting system vendors no longer making replacement parts and/or no longer providing tech support. Meanwhile, the state budget for FY 2018/2019 includes \$134 million for purposes of upgrading or replacing aging voting systems. The Secretary of State continues to advocate for additional and ongoing funding for California election modernization and administration.

This CC/ROV serves as notice that the Secretary of State, pursuant to the authority granted in Elections Code Section 19232 withdraws certification and conditional approval of all California voting systems, in whole or in part, not tested and certified to the California Voting System Standards (CVSS), effective August 27, 2019. Elections Code section 19232 states that "the Secretary of State may decertify any voting system or part thereof, determined to be defective, obsolete, or unacceptable." The voting systems being decertified contain obsolete hardware and software components, and employ end-of-life operating systems that are no longer supported. This Notice of Withdrawal does not apply to other voting technology such as Remote Accessible Vote By Mail systems (RAVBMs) or Electronic PollBooks.

For your reference, as of February 27, 2019, the following voting systems have been tested and certified to CVSS:

- Dominion Voting Systems, Inc. - Democracy Suite 5.2 Voting System;
- County of Los Angeles - Voting Solutions for All People (VSAP) Tally 1.0 System; and
- HART InterCivic, Inc. - Verity Voting 3.0.1 Voting System.

Additionally, the following voting systems are currently being reviewed and tested to CVSS:

- Dominion Voting Systems, Inc. - Democracy Suite 5.10;
- County of Los Angeles - Voting Solutions for All People (VSAP) Tally Version 2.0; and
- Election Systems and Software, Inc. - EVS 6.0.4.2

Public Hearing and Comment Period

While not required by state law, the Secretary of State calls for a public hearing to provide interested persons an opportunity to express their views regarding this withdrawal of certification and conditional approval of voting systems not tested and certified to CVSS. The hearing will take place on March 19, 2019 at 1:00 pm at the State Capitol, 1315 10th Street, Room 3191, Sacramento, California 95814. Anyone wishing to submit written comments can do so by delivering it in person at the hearing or via U.S. postal service, or by e-mailing it to votingsystems@sos.ca.gov by 5:00 p.m. on March 27, 2019.

Request for Conditional Approval for Extension of Use

The withdrawal is effective August 27, 2019, however, pursuant to Elections Code section 19232, any election scheduled six months from August 27, 2019, shall not be affected by this action. Therefore, any federal, state, county, municipal, district or school election scheduled from August 27, 2019, until February 27, 2020, may continue to use voting systems not tested and certified to CVSS.

Additionally, the Secretary of State acknowledges that there may be circumstances that may hinder a jurisdiction from implementing a CVSS certified voting system by February 27, 2020, including, but not limited to, delays in the procurement process or a county budget. In recognition of unique challenges a county may face, a written Request for Conditional Approval for Extension of Use may be submit by a county to the Secretary of State seeking to utilize a decertified system for any election scheduled after February 27, 2020. The request must be submitted by April 5, 2019, and include the following:

1. The jurisdiction's justification for Conditional Approval for Extension of Use.
2. The jurisdiction's plan and schedule to implement a CVSS certified voting system, which shall include at a minimum:
 - a. Executive Summary
 - b. Procurement Schedule
 - c. Staff Training Schedule
 - d. Implementation Date

Key Dates

- February 27, 2019 to March 28, 2019 – Written public comment period.
- March 19, 2019 – Public Hearing
- April 5, 2019 - Request for Conditional Approval for Extension of Use deadline.
- August 27, 2019 – Withdrawal Effective
- August 27, 2019 to February 27, 2020 – Jurisdictions may continue to use voting systems not tested and certified to CVSS.
- February 28, 2020 – Voting systems not tested and certified to CVSS may no longer be used, except for those jurisdictions that have received a Conditional Approval for Extension of Use by the SOS.

Should you have questions regarding this memorandum, please contact OVSTA at (916) 695-1680.

Julie Bustamante

From: Robinson, NaKeshia <Nakesha.Robinson@sos.ca.gov>
Sent: Friday, March 29, 2019 2:29 PM
To: Voting Systems
Subject: Reminder - Request for Conditional Approval for Extension of Use - Deadline April 5, 2019

On February 27, 2019, a Notice of Withdrawal of Certification and Conditional Approval of Voting Systems not certified to the California Voting Systems Standards (CVSS), was issued by the Secretary of State. The Notice of Withdrawal does not apply to other voting technology such as Remote Accessible Vote by Mail systems (RAVBMs) or Electronic PollBooks. Voting systems not tested and certified to CVSS may no longer be used in California Elections scheduled on or after February 28, 2020, except for those jurisdictions that have received a Conditional Approval for Extension of Use by the SOS.

The following voting systems are CVSS certified:

1. Dominion Voting Systems, Inc. Democracy Suite 5.2 Voting System;
2. County of Los Angeles – Voting Solutions for All People (VSAP) Tally 1.0; and
3. HART InterCivic, Inc. – Verity Voting 3.0.1 Voting System

A jurisdiction seeking to use a voting system in an election scheduled on or after February 28, 2020, that is not one of the CVSS certified voting systems, must submit a Request for Conditional Approval for Extension of Use. The request must be submitted on or before April 5, 2019, and include the following:

1. The jurisdiction's justification for Conditional Approval for Extension of Use.
2. The jurisdiction's plan and schedule to implement a CVSS certified voting system, which shall include at a minimum:
 - a. Executive Summary
 - b. Procurement Schedule
 - c. Staff Training Schedule
 - d. Implementation Date

Requests can be emailed to votingsystems@sos.ca.gov or sent via the U.S. postal service to:

Secretary of State
Attn: OVSTA
1500 11th Street, 6th Floor
Sacramento, CA 95814

If you have questions regarding the Request for Conditional Approval for Extension of Use, please contact OVSTA at (916) 695-1680.

APRIL 5, 2019

REQUEST FOR CONDITIONAL APPROVAL FOR EXTENSION OF USE FOR LASSEN COUNTY

Lassen County is formally requesting Conditional Approval for Extension of Use for the Global Election Management System (GEMS) 1.18.24D.0, throughout the upcoming 2020 Presidential Primary and General Elections, pursuant to approval of the following plan and schedule to implement a new *California Voting System Standards (CVSS)* certified voting system.

I realize election integrity is fundamental to our democratic form of government and that we, as Election Officials have the responsibility to ensure the integrity and security of our elections. I appreciate and applaud the fact that the Secretary of State has secured funding for California Counties to upgrade and/or replace our aging voting systems. I plan to take full advantage of this exciting opportunity to improve and replace our outdated voting system and look forward to what I believe is a significant improvement throughout our entire state.

I look forward to working with the Secretary of State to ensure that Lassen County has a pathway for a successful transition. This is significantly relevant because Lassen County has unique circumstances that will impede us from procuring and implementing a CVSS certified voting system before the February 2020 cut off.

Lassen County received a Grant from the State of California for the renovation of our Historic Courthouse, which is where the County Clerk-Recorder-Registrar of Voters offices are located. This grant will allow Lassen County to bring the 100-year-old structure into compliance with the Americans with Disabilities Act, bringing it up to mandated codes for seismic, fire and other hazards. Everyone is required to vacate the courthouse before construction can commence. We are in the process of securing temporary workspace and storage.

This is a major disruption in conjunction with conducting three countywide Special Elections, and possibly two more if our Assemblyman wins the Senate seat. We will be beginning preparations for the Presidential Primary Election in September of 2019.

The plan for implementation of a new CVSS certified system, in conjunction with Secretary of State Agreement #18G30118 and other available funds, is to begin at the completion of the upcoming Presidential Election cycle and after our office is relocated. The project will be completed before the four funding agreements expire on June 30, 2021.

In doing so, we hope to benefit from a larger selection of certified systems, seeing that the certification and approval date is unknown for the current systems being tested.

I believe our current system GEMS, even though aging, has been proven reliable and accurate. The system is stand-alone, secure, and has a 100% paper trail. More importantly, our support vendor ES&S has confirmed that every component of the above mentioned voting system will be 100% supported throughout the 2020 Presidential election cycle.

Summary

Lassen County is formally requesting Conditional Approval for Extension of Use for the Global Election Management System (GEMS) 1.18.24D.0

Lassen County Courthouse Renovation Project (see attached).

The County of Lassen is developing a plan and timeline to implement modernization enhancements. As part of this planning, implementation of a CVSS certified system is at the forefront. The County of Lassen is working to create a multiphase procurement, to allow the maximization of County public and matching State funds.

Included in this plan is the implementation of:

- Ballot On Demand system
- CVSS certified tabulation system
- Electronic Poll Books
- Accessible Sample Ballot/Voter Information Guides and Remote Accessible Vote-By-Mail (RAVBM) system
- New Accessible Voting Booths for each Voting Location
- Additional Security equipment for the DFM Voter Registration System

The following procurement, training and implementation schedule is based on operating under the conditional approval of the extension of use of the GEMS voting system.

Procurement Plan:

May 14, 2019 - Secure official Board of Supervisors approval to execute Contract #18G30118 with the State of California in the amount of \$107,500 for Voting System Replacement.

December 2020 - Evaluate all available CVSS systems, begin the Request for Proposal process.

Lassen County Funding Available (All Contracts Expiration June 30, 2021):

\$107,500 State Funds/County to Match (#18G30118)

\$132,000 HAVA 301 Funds [To be used for County to match State funds] (#16G30109)

\$10,000 HAVA Accessibility Training (#18G26118)

\$10,000 Cyber Security Improvement (#18F27118)

Staff Training Schedule: Training will commence as soon as possible after equipment delivery, in accordance with participant schedules and County of Lassen workload.

Implementation Date: Full implementation scheduled for the spring of 2021.

Thank you,

Julie M. Bustamante

Lassen County Clerk-Recorder
220 S Lassen Street Suite 5
Susanville CA 96130
530.251.8217

Fax 530.257.3480

lcclerk@co.lassen.ca.us

www.lassencounty.org

Julie Bustamante

From: Robinson, NaKeshia <Nakesha.Robinson@sos.ca.gov>
Sent: Thursday, April 18, 2019 1:54 PM
To: Julie Bustamante
Subject: Lassen County Request for Extension of Use Supplemental Information Request
Attachments: SanBernardinoCounty_ExtensionRequest_2019-04-05_FINAL.pdf
Importance: High

Julie,

Thank you for your time on the phone. As discussed, your current request for extension lacks enough specificity to understand when and how your county is going to move to a CVSS certified voting system. Please provide the following information to supplement your Request for Conditional Approval for Extension of Use dated April 5, 2019:

1. A specific timeline, with dates regarding the completion of the construction project of the Historic Courthouse
2. A specific timeline, with targeted dates detailing the procurement of a CVSS certified voting system

Attached to this message you will find an example of another county's request with the amount of detail the supplemental information should have.

If you have any questions or concerns regarding this request, please do not hesitate our office.

NaKeshia Robinson
Senior Election Technology Specialist
Secretary of State, OVSTA
1500 11th Street, 6th Floor
Sacramento, California 95814
Office (916) 695-1635
nakesha.robinson@sos.ca.gov

County of Lassen

Julie M Bustamante
COUNTY CLERK-RECORDER
REGISTRAR OF VOTERS
CLERK OF THE BOARD OF
SUPERVISORS



220 S Lassen St Suite 5
Susanville CA 96130
(530) 251-8217
FAX (530) 257-3480
Email lcclerk@co.lassen.ca.us
Website www.lassencounty.org

Office of the County Clerk-Recorder

Lassen County Courthouse
Susanville CA 96130

June 6, 2019

Honorable Alex Padilla
California Secretary of State
1500 11th Street, 5th Floor
Sacramento, CA 95814

RE: Supplemental Information to Lassen County Request for Conditional Approval for Extension of Use dated April 5, 2019.

Dear Secretary Padilla,

On April 5, 2019, Lassen County requested conditional approval for extension of use for the Global Election Management System (GEMS) 1.18.24D.0, throughout the upcoming 2020 Presidential Election cycle because Lassen County has unique circumstances which hinder us from implementing a new California Voting System Standards (CVSS) certified voting system before the February 27, 2020 deadline. While this system is old, it has proven to be extremely reliable and very accurate. We are contracted with Election Systems & Software (ES&S) and have been guaranteed full support through June 2021.

Lassen County is in the process of vacating our 100 year old Historic Courthouse which will soon be undergoing renovations to bring the building up to California's Earthquake standards, and ADA compliant.

My office will soon be relocated to the Annex building behind our current location which will be shared with other county departments and has limited space and less storage than our current location.

We are also in the midst of conducting five back to back and overlapping countywide elections in a very short amount of time, which has been a major challenge in itself. The Elections Division only consists of two and a half employees (including myself). Because of this unique election year, Lassen County Administration has been focusing their attention on moving the other departments first, to minimize disruption to the elections.

As per your request, I am including the following additional information to supplement our original request for Conditional Approval for Extension of Use:

1. A specific timeline, with dates regarding the completion of the construction project of the Historic Courthouse
2. A specific timeline, with targeted dates detailing the procurement of a CVSS certified voting system

I hope the attached timelines will give you enough specifics to understand our plan to move forward to a CVSS certified voting system.

Thank you for your consideration.

Should you have any questions, please do not hesitate to contact me at 530.251.8216.

Sincerely,

A handwritten signature in black ink, appearing to read 'Julie M. Bustamante', written in a cursive style.

Julie M. Bustamante
Lassen County Registrar of Voters

Supplemental Information to Lassen County Request for Conditional Approval for Extension of Use dated April 5, 2019 (Part 1)

A SPECIFIC TIMELINE, WITH DATES REGARDING THE COMPLETION OF THE CONSTRUCTION PROJECT OF THE HISTORIC COURTHOUSE

This is a two-fold project; the preparation of our new work area and the move itself, between elections.

1. Before any move can happen from our current location for the courthouse restoration project, our Project Manager and Engineer have been diligently working to prepare our new work space in the Courthouse Annex. It has required dismantling our former Municipal Courtroom and the Judge's Chambers. Both of these spaces have to be remodeled and compliant to all voting, HAVA, federal and state requirements. We have already spent hours cleaning and sorting items that can be stored and what needs to be moved both with furniture, office equipment, and irreplaceable records of our County's history. Additionally, we have been sorting to be able to clean up areas that haven't been used in years, and preparing shredding for old records no longer needed.
2. We actively started this project in December of 2018. The majority of courthouse offices will be moved to their new facilities by the end of August, 2019. The County Clerk-Recorder is scheduled to be moved approximately by December 31, 2019. The project cannot get started until the building is free of its tenants and every item is moved out. With five elections this year, it has been a difficult task for us to be ready at the same time as the other departments.

See attached ***Proposed Phasing Plan*** which will be presented to the Board of Supervisors on June 11, 2019. Significant dates that effect my offices:

1. Phase 0 – Vacate Courthouse ASAP – Complete in 2019 or early 2020
2. Phase 1 – Courthouse Improvements (Three years 2020, 2021 & 2022)
3. Phase 2 – Public Opening – Repopulate Courthouse (TBA – 2023?)

SPECIFIC TIMELINE FOR REGISTRAR OF VOTER (ELECTIONS) RELOCATION

- ❖ May 1, 2019 – ES&S Voting System Demonstration in Lassen County
- ❖ May 10, 2019 - Lassen County Administration & Public Works staff met with Departments effected regarding the Historic Courthouse remodeling project
- ❖ May 15, 2019 - Consultant Visit to mark various things: historic items for storage, walls for demolition, deconstruction items in courtroom, etc.
- ❖ June 4, 2019 – Senate District 1 Special General Election (Countywide)
- ❖ June 11, 2019 – Resolution to the Lassen County Board of Supervisors Authorizing the County to Enter into an Agreement with the California Secretary of State for State Funds to be used for Voting System Modernization/Replacement.
- ❖ June - July – Move District Attorney’s files out of courthouse basement
- ❖ June 12, 2019 – Certify the June 4, 2019 Senate District 1 Special General Election; complete SOV & SOVC for State; prepare Certification presentation for the Board of Supervisors
- ❖ June 26, 2019 - HART InterCivic Voting System demonstration in Lassen County
- ❖ July-Aug – Assessor and Appraisers to move out of Courthouse
- ❖ July (TBD) – ES&S New Voting System Demonstration Reno, NV
- ❖ July 10, 2019 - Dominion Voting System demonstration in Lassen County
- ❖ Aug – Sept – Treasure and Tax Collector to move out of Courthouse
- ❖ August 27, 2019 – Special Primary Election, Assembly District 1
- ❖ Sept 2019 - Work to move elections equipment to Annex in sequence with election cycle
- ❖ September 12 – Nov 6, 2019 Signatures In Lieu of Filing Fee Period for March 3, 2020 Presidential Primary Election
- ❖ Oct 24 – Nov 13, 2019 Candidate Statement Period
- ❖ Nov 5, 2019 – Special General Election, Assembly District 1
- ❖ Nov 12 – Dec 6, 2019 – Declaration of Candidacy & Nomination Paper Period
- ❖ Dec 12, 2019 Randomized Alphabet Drawing
- ❖ December 2019 Elections complete move out of Courthouse – Begin Building Ballots

Supplemental Information to Lassen County Request for Conditional Approval for Extension of Use dated April 5, 2019 (Part 2)

A SPECIFIC TIMELINE, WITH TARGETED DATES DETAILING THE PROCUREMENT OF A CVSS CERTIFIED VOTING SYSTEM

A replacement voting system cannot be procured, installed and integrated before early-September 2019 in order to be in place for the March 2020 Presidential Election.

The voting system replacement project includes performance of the following tasks:

1. Procuring, purchasing, installing, testing, and implementing a new voting system, including equipment, hardware, software, licenses, warranties, maintenance, training, and implementation support;
2. Procuring, purchasing, installing, testing, and implementing compatible mail ballot sorting and processing equipment and software, including licenses, warranties, maintenance, training and implementation support;
3. Procuring, purchasing, installing, testing, and implementing other compatible equipment, hardware, and software, including licenses, warranties, maintenance, training, and implementation support;
4. Procuring professional services and additional support staff to provide project management, systems implementation management, operational change management, facilities management, technical support, and training;
5. Providing voter education and outreach on a new voting experience using new voting equipment; and
6. Financing additional administrative, operational, and election expenses using a new voting system and new voting equipment.

The resulting procurement and implementation schedule uses some competitive procurement processes that are unfamiliar to the county. Even one unforeseen obstacle might delay the voting system replacement project past the deadline.

Given the significant impact replacing Lassen County's voting system will have on operations and the voting experience, in addition to the relocation of the County Clerk-Recorder's office the county needs additional time to complete its due diligence, including:

1. Thoroughly evaluating and vetting the available certified voting systems,
2. Ensuring none of the County's and voters' needs has been overlooked, and
3. Conducting a detailed security risk assessment by the county and another agency.

Granting the County its requested extension of use of its existing voting system will help the County conduct the first election using the new voting system in an efficient, accurate, transparent, and secure manner. While the County's current voting system is aging, it continues to provide an accurate counting of ballots and reliable reporting of election results.

The County has been working to estimate the cost of the voting system replacement project and to identify available sources for the required funding. The timing of funding appropriation will also impact the procurement and implementation timeline.

On June 11, 2019, the County Board of Supervisors will be asked to approve a Resolution authorizing the Lassen County Registrar of Voters to approve State Revenue Agreement No. 18G30118 (Voting System Replacement) allowing reimbursement in an amount not to exceed \$107,500 for expenditures related to voting system replacement activities made through June 30, 2021. We understand that Secretary Padilla plans to seek additional State funding for voting system replacement costs. We appreciate and support the Secretary's efforts to assist us.

Procurement Schedule

The following procurement and implementation timelines take into consideration:

1. Lassen County Election office must relocate and vacate courthouse before end of 2019;
2. Two additional countywide elections must be conducted in August and November of 2019;
3. Preparations for the March 3, 2020 Presidential Primary Election begin in September and continue through December 2019:

Task Owner	Procurement/Implementation Process Task	Target Date
Vendor	Submit initial proposals and provide oral presentations and demonstrations	July - August 2019
County	Conduct Special Primary Election for Assembly District 1	August 27, 2019
County	Move out of Courthouse & Relocate	Sept - Oct 2019
County	Conduct Special General Election for Assembly District 1	Nov. 5, 2019
County	Complete relocation and vacate courthouse	Dec 2019
County	Declaration of Candidacy & Nomination period ends.	Dec 6, 2019
Vendor	Submit Final Offers to County	Jan - Feb 2020
County	Evaluation and negotiation period with proposers	March 2020
County	Award contract	April 2020

Vendor	Deliver voting system equipment, hardware, and software; provide training, business process analysis and project management support, including support for an internal mock election and procedural changes	May – June 2020
County	Begin installing, testing, and accepting voting system equipment, hardware, and software; begin performing business process analysis and process change management, including conducting an internal mock election and documenting procedural changes	June - July 2020
Vendor	Complete initial staff training, business process analysis and project management support, including support for an internal mock election and procedural changes	July - August 2020
County	Conduct Presidential General & UDEL Election	July – Nov 2020
County	Develop and revise poll worker training programs & Begin Training	Dec 2020 - Jan 2021
County	Conduct Election Day using the new system	March 2021

LIMITATION OF SPACE TO SIMULTANEOUSLY OPERATE THE EXISTING SYSTEM AND IMPLEMENT A NEW SYSTEM

The new temporary location for the elections division in Lassen County is smaller than our current location, therefore it would be impossible to move the election operations, including but not limited to preparing, staging, delivering, de-processing, and storing voting equipment, ballots, and supplies, to accommodate an expansion of ballot adjudication and counting processes within the new location.

This temporary space solution is now being modified to accommodate the additional square footage required to simultaneously perform the following during overlapping timeframes:

- (1) conduct the August 2019 and November 2019 elections using the existing voting system, mail ballot sorting and processing equipment, and other compatible equipment;
- (2) receive, install, test, accept, and prepare new voting system equipment, mail ballot processing equipment, and other compatible equipment for the March 2020 election.

Julie Bustamante

From: Hoffman, Bryan <bjhoffman@essvote.com>
Sent: Friday, April 26, 2019 8:48 AM
To: RTurner@co.calaveras.ca.us; Julie Bustamante; stephaniewellemeyer@co.modoc.ca.us; ttremayne@alpinecountyca.gov; lorimundorff@countyofplumas.com; ccclerk@countyofcolusa.org; bill.oneill@edcgov.us; gregory.diaz@co.nevada.ca.us; Abby Kelly; sandy.sjoberg@co.nevada.ca.us; marcydemartile@countyofplumas.com; kristian.hamilton@co.nevada.ca.us; rronco@placer.ca.gov; registrar@sjgov.org; Levey, Barbara; Katrina Bartolomie; Shanna White; Tatjana Mendoza; Mark Hammergren; RBergstrom@co.tuolumne.ca.us; rosaa@stancounty.com
Cc: Machado, Chelsea; Neubauer, Christopher
Subject: ES&S CA Certification Detailed Schedule

Good morning:

We are very excited to communicate to you, ES&S conducted the kick off call of our California certification event which included the State and SLI (testing vendor) this Monday. During that call the detailed schedule was confirmed and committed to from all parties. Below is the schedule for ES&S certification.

1. Trusted build will start at the SLI facility in Colorado - 5/6
2. Equipment delivery to Sacramento SOS – 5/9-5/10
3. Functional Testing in Sacramento begins – Install, etc. - starting 5/13

Functional Test Dates:

- i. 5/13-5/15 Install, etc.
- ii. 5/16-5/17 & 5/20-5/22 (but be prepared for an additional week) for the election definitions.
- iii. 5/23-5/24 & 5/28-5/30 for the PCA/FCA.

4. Usability & Accessibility testing will be held in Sacramento 6/10-6/12.
5. Volume testing will be held in Omaha, Nebraska the week of 6/24.
6. Testing & Report Completion **by** August
7. Public Hearing in August/September – **Certification!!**

ES&S, SLI, and the California SOS office are confident of this schedule and ES&S would like communicate that this schedule provides ample time to contract, deliver, install, and train any and all California Counties that would like to move forward with ES&S for the March 2020 PPP Election. We will continue to come meet with you, deliver information, and if desired, provide equipment for you to house in your offices for public outreach and review. We greatly appreciate your consideration of ES&S and ask to please reach out to us for any questions, concerns, or comments. We will also continue to provide updates on information we become aware of and the progress of our certification efforts.

Have a great weekend!!

Bryan Hoffman/Vice President Sales

Election Systems & Software, LLC. | 11208 John Galt Blvd., Omaha, NE 68137

C: 320-267-4631

bjhoffman@essvote.com



ALEX PADILLA | SECRETARY OF STATE | STATE OF CALIFORNIA
OFFICE OF VOTING SYSTEMS TECHNOLOGY ASSESSMENT
1500 11th Street | Sacramento, CA 95814 | Tel 916.695-1680 | Fax 916.653.4620 | www.sos.ca.gov

October 18, 2019

Mr. Steve Pearson
Vice President, Certification
Election Systems & Software, Inc.
11208 John Galt Boulevard
Omaha, NE 68137

Dear Mr. Pearson:

Pursuant to California Elections Code section 19202, the California Secretary of State's Office of Voting Systems Technology Assessment performed an examination and review of the EVS 6.0.4.2 voting system, which is comprised of the following:

Model DS200 Precinct Scanner Hardware version 1.3 with Firmware version 2.20.0.0, Model DS450 Central Ballot Counter Hardware version 1.0 with Firmware version 3.3.0.0, Model DS850 Central Ballot Counter Hardware version 1.0 with Firmware version 3.3.0.0, ExpressVote, Hardware version 2.1 with Firmware version 2.5.0.0, ExpressVote XL, Hardware version 1.0 with Firmware version 1.2.0.0, Electionware version 5.3.0.0, Event Log Service version 1.6.0.0, Removable Media Service version 1.2.0.0, ExpressVote Previewer version 2.5.0.0, PaperBallot version 5.3.0.0, Dell PowerEdge T430 tower server, Dell Optiplex 5050 desktop computer, and Dell Latitude 5580 laptop.

Based on the results of the examination and review, the California Secretary of State has approved the EVS 6.0.4.2 voting system for use in California.

Sincerely,

A handwritten signature in cursive script that reads "Susan Lapsley".

Susan Lapsley
Deputy Secretary of State and Counsel
California Secretary of State

Julie Bustamante

From: Machado, Chelsea <chelsea.machado@essvote.com>
Sent: Friday, October 18, 2019 4:51 PM
To: Levey, Barbara; Torres, Blanca; Machado, Shawnesti; Mark Hammergren; Julie Bustamante; stephaniewellemeyer@co.modoc.ca.us; Teola Tremayne; Terri Dood; Sarah Howe; angross@countyofcolusa.com; ccclerk@countyofcolusa.com; 'ccclerk@countyofcolusa.org'; Heather Foster
Cc: Neubauer, Christopher; Hoffman, Bryan
Subject: ES&S CVSS 6.0.4.2 Certification
Attachments: CA SOS Approval Letter and Certification Notification 10.18.19.pdf

This message comes from an external sender. EXTERNAL SENDER WARNING!

Good afternoon everyone,

We here at ES&S are thrilled to announce that EVS 6.0.4.2 has been CERTIFIED by the California Secretary of State. We want to thank each and every one of you for your support during this process. Now that EVS 6.0.4.2 is certified, we can finally move forward in providing you with the most innovative, intuitive, and accurate voting solution on the market.

We know that you are likely to have many questions, and we're here to help. We'll be reaching out to each of you to make sure that all of your questions are addressed. In the meantime, please feel free to contact either of us by email or phone anytime.

Thank you!

Christopher Neubauer
Account Manager
Christopher.neubauer@essvote.com
(951) 966-9995

Chelsea Machado
Regional Sales Manager
Chelsea.machado@essvote.com
(209) 380-9977

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Lassen



Election Systems & Software, LLC
 11208 John Galt Blvd
 Omaha, NE 68137

**EVS 6.0.4.2 PYO – Standalone EMS
 System Purchase Order**

220,034
 9,026
 229,060 Total

Lassen County, California
 220 S Lassen St, Ste 5
 Susanville, CA 96130

Qty Ord.	Description	Price	Ext. Price
EMS WORKSTATION			
2	DELL OPTIPLEX 5050 (DESKTOP)	\$1,620.00	\$3,240.00
	<ul style="list-style-type: none"> • OptiPlex 5050 SFF XCTO • Intel Core i5-6500 Processor (Quad Core, 6MB, 4T, 3.2GHz, 65W) • OptiPlex 5050 SFF with 180W up to 85% efficient Power Supply (80Plus Bronze) • TPM Enabled • 8GB DDR4 2400MHz (8GBX1)Memory • 3.5 inch 500GB 7200rpm Hard Disk Drive • AMD Radeon R5 430, 2GB (DP, SL-DVI-I) • DVD+/-RW Bezel, Small Form Factor • No Out-of-Band Systems Management • Chassis Intrusion Switch SFF • Dell KB216 Wired Multi-Media Keyboard EnglishBlack • Dell MS116 Wired Mouse, Black • Adobe Reader 11 • Waves MaxxAudio • Windows 7 Professional English 64bit DT (Includes Windows 10Pro License, Microsoft Volume License Only) • Intel Core i5 ProcessorLabel • Dell Limited Hardware Warranty Plus Service • ProSupport: Next Business Day Onsite 5 Years • ProSupport: 7x24 Technical Support, 5 Years • Dell 22 Monitor P2217H 		
2	UNINTERRUPTIBLE POWER SUPPLY(UPS) BATTERY BACKUP, 850VA (WORKSTATIONS)	\$147.00	\$294.00
2	*SYMANTEC ENDPOINT PROTECTION 14.2	\$61.00	\$122.00
2	*ADOBE ACROBAT STANDARD XI	\$412.00	\$824.00
2	WINDOWS 7 ENTERPRISE - UPGRADE	\$287.00	\$574.00
MISCELLANEOUS COMPONENTS			
4	DELKIN USB MEDIA - BITLOCKER	\$33.00	\$132.00

2	OKI B432DN MONO LASER DUPLEX PRINTER	\$416.00	\$832.00
2	LD 6' USB 2.0 A-B CABLE,T,IVOTR,RTAL 6' USB CABLE	\$4.00	\$8.00
	SERVICES		
1	EMS NETWORK INSTALLATION ON CUSTOMER PREMISES <ul style="list-style-type: none"> • Installation activities of EMS network performed on customer premises by ES&S Technical Services technician. <ul style="list-style-type: none"> ○ ES&S Technical Services technician travels to customer location to complete physical installation and all related installation activities. ○ Includes the installation, configuration, and testing of EMS network connectivity. • Technical Services technician provides customer walkthrough of EMS network equipment while on-site. • EMS installation summary documentation provided to customer upon completion of installation. 	\$3,000.00	\$3,000.00
	Order Total		\$9,026.00

Invoicing and Payment Terms:

100% of Order Total Due Thirty (30) Calendar Days after the later of (a) Equipment Delivery, or (b) Receipt of corresponding ES&S Invoice.

Note 1: Pricing of purchase order is valid for 30 days due to fluctuating pricing in 3rd party hardware and software. Agreements will need to be updated if not executed within 30 days.

Note 2: In no event shall Customer's payment obligations hereunder, or the due dates for such payments, be contingent or conditional upon Customer's receipt of federal and/or state funds.

Note 3: Any applicable (City & State) sales taxes have not been included in pricing and are the responsibility of the customer.

Note 4: Shipping and Handling is not included in the Order Total and will be invoiced separately.

Note 5: Network Cabling is not included.

Customer acknowledges that ES&S is purchasing the third party items set forth herein ("Third Party Items") for resale to Customer, and that the proprietary and intellectual property rights to the Third Party Items are owned by parties other than ES & S ("Third Parties"). Customer further acknowledges that except for the payment to ES& S for the Third Party Items, all of its rights and obligations with respect thereto flow from and to the Third Parties. ES&S shall provide Customer with copies of all documentation and warranties for the Third Party Items which are provided to ES & S.

Customer Signature Date

Title



11208 JOHN GALT BLVD
 OMAHA, NE 68137-2364
 (402) 593-0101

Sales Order Agreement

Customer P.O. #: _____

1st Election Date: November 3, 2020

Estimated Delivery Date: June 2020

Phone Number: (530) 251-8216

Fax Number: (530) 257-3480

Customer Contact, Title: Julie Bustamante

Customer Name: Lassen County, California

Type of Sale: **NEW**
 Type of Equip: **NEW** **REFURBISHED**

Bill To: _____
Lassen County, California
Julie Bustamante
220 S. Lassen Street - Suite 5
Susanville, CA 96130

Ship To: _____
Lassen County, California
Julie Bustamante
220 S. Lassen Street - Suite 5
Susanville, CA 96130

Item Description Qty Price Total

<u>Item</u>	<u>Description</u>	<u>Qty</u>	<u>Price</u>	<u>Total</u>
1 DS200	Model DS200 Scanner with Internal Backup Battery, Plastic Ballot Box with Steel Door and e-Bin, Paper Roll, and One (1) Standard 4GB Memory Device	10	\$5,750.00	\$57,500.00
2 DS200	Model DS200 Scanner with Internal Backup Battery, Paper Roll, and One (1) Standard 4GB Memory Device	1	\$5,035.00	\$5,035.00
3 DS200	Soft-Sided Nylon Case	11	\$110.00	\$1,210.00
4 DS200	Paper Roll (Additional)	25	\$1.75	\$43.75
5 DS450	Model DS450 High Speed Digital Image Scanner with Steel Table, Reports Printer, Audit Printer, Start-Up Kit, Dust Cover, Battery Backup, Two (2) USB Cables, and Two (2) Standard 8GB Memory Devices	1	\$51,450.00	\$51,450.00
6 ExpressVote BMD	ExpressVote BMD Terminal with Internal Backup Battery, ADA Keypad, Headphones, Power Supply with AC Cord, and One (1) Standard 4GB Memory Device	12	\$3,325.00	\$39,900.00
7 ExpressVote BMD	Soft-Sided Carrying Case	12	\$175.00	\$2,100.00
8 ExpressVote BMD	ExpressVote Printer	10	\$725.00	\$7,250.00
9 DS200-ExpressVote BMD	Standard 4GB Memory Device (Additional)	21	\$105.00	\$2,205.00
10 Software	ElectionWare Software - PYO Standard	1	\$15,500.00	\$15,500.00
11 Software	ExpressLink Software	1	\$2,035.00	\$2,035.00
12 DS200	Equipment Installation	11	\$115.00	\$1,265.00
13 DS450	Equipment Installation	1	\$1,925.00	\$1,925.00
14 ExpressVote BMD	Equipment Installation	12	\$105.00	\$1,260.00
15 Services	Project Management Day	7	\$1,700.00	\$11,900.00
16 Services	Equipment Operations Training Day	3	\$1,700.00	\$5,100.00
17 Services	Poll Worker Train-the-Trainer Day	1	\$1,700.00	\$1,700.00
18 Services	Software Training Day	5	\$1,700.00	\$8,500.00

Sales Order Agreement

19	Services	Election On-Site Support Event	1	\$4,675.00	\$4,675.00
20	Trade-In Allowance	Equipment Being Traded-In by Customer Includes: 20 - AccuVote OS Scanner with Ballot Box 14 - AccuVote TSX Terminal	1	(\$3,050.00)	(\$3,050.00)
21	Shipping	Shipping & Handling	1	\$2,530.00	\$2,530.00
Order Total				\$	220,033.75

Freight Billable: yes no

Chelsea Machado
Regional Sales Manager

Customer Signature Date

V.P. of Finance Date

Title

Trade-In Equipment:	
ES&S will coordinate and pay for the pickup and transportation of the trade-in equipment from Customer's site on a date to be mutually agreed upon by the parties. ES&S is responsible for preparing, packaging and palletizing the trade-in equipment for shipment.	
<p style="text-align: right;">\$110,016.87 of Order Total will be invoiced upon Contract Execution.</p> <p style="text-align: right;">\$110,016.88 of Order Total will be invoiced as Tabulation, BMD Equipment and Software are provided to Customer.</p> <p>Payment Terms</p> <p>Invoices are due net 30 from invoice date.</p> <p>Note 1: Any applicable state and local taxes are not included, and are the responsibility of the Customer.</p> <p>Note 2: In no event shall Customer's payment obligations hereunder, or the due dates for such payments, be contingent or conditional upon Customer's receipt of federal and/or state funds.</p>	
Warranty Period (Years):	One (1) Year From Equipment Delivery
Hardware Maintenance and Software License, Maintenance and Support Services (Post-Warranty Period)	
The terms, conditions, and pricing for the Hardware Maintenance and Software License, Maintenance and Support Services (Post-Warranty Period) are set forth in Exhibit A attached hereto.	

SEE GENERAL TERMS AND CONDITIONS



Lassen

Total Quote
\$ 270,625

California Voting System Proposal
Lassen County
Julie Bustemante, County Clerk, Recorder, Registrar of Voters
Registered Voters: 14,156 Precincts: 15 Polling Locations: 9
Version: 5.2
New System Proposal

Date: 07/01/2019

Product Name	Description	QTY	UNIT PRICE	EXTENSION
Central Scanning Solution: Absentee / Vote By Mail Hardware				
ImageCast Central Kit - G1130	Includes Canon Model DR-G1130, Computer w/ 23" Monitor, Keyboard & Mouse, One 8GB USB Flash Drive & One I-Button, patch cable	1	\$25,000.00	\$25,000.00
			Sub-Total:	\$25,000.00
In-Person Voting Solution: Polling Location Hardware				
ImageCast X Kit - Classic BMD 21"	Includes 21.5" tablet, laser printer, printer cable, USB ATI cable, 5 voter smart cards.	12	\$3,175.00	\$38,100.00
Smart-UPS C 1500VA LCD 120V 1000W		9	\$555.00	\$4,995.00
Precint Scanner		12	\$3,900.00	\$46,800.00
Colapsible Ballot Box		12	\$900.00	\$10,800.00
			Sub-Total:	\$100,695.00
Peripherals				
Accessories				
ATI Kit - ICX - USB		12	\$375.00	\$4,500.00
ImageCast X Classic BMD Transport Bag Kit (15"/21" Tablet + Printer)		12	\$125.00	\$1,500.00
USB Flash Drive - 8GB - 3.0		5	\$16.60	\$83.00
ICX BMD Table Top Privacy		12	\$25.00	\$300.00
			Sub-Total:	\$6,383.00

Election Management Hardware

EMS Standard Server Kit (R630/WS2012/SS2016)	Includes PowerEdge R630 rack server, 24 port switch, 24" monitor, keyboard/mouse, patch cable, Cepstral, Avast.	2	\$17,000.00	\$34,000.00
EMS Client Workstation Kit (3420)	Includes Dell 3420, 24" monitor, iButton programmer, high speed media reader, patch cable, smart card reader/writer.	2	\$1,700.00	\$3,400.00
EMS Adjudication Workstation Kit (3420)	Includes Dell 3420, 24" monitor, SQL Server 2016 CAL, cables, Windows 10 Pro.	1	\$1,700.00	\$1,700.00
Reformatting Station Kit		1	\$1,200.00	\$1,200.00
			Sub-Total:	\$40,300.00

Software

Democracy Suite Light (0 - 15K)		1	\$8,500.00	\$8,500.00
Adjudication Module (0 - 15K)		1	\$5,000.00	\$5,000.00
Remote UOCAVA Module (0 - 15K)		1	\$3,500.00	\$3,500.00
			Sub-Total:	\$17,000.00

Support Services

Implementation	Days			
System Acceptance Testing	1	\$1,500.00	\$1,500.00	
			Sub-Total:	\$1,500.00
Training	Days			
Train The Trainer: Poll worker	2	\$1,500.00	\$3,000.00	
Democracy Suite Full System Training	8	\$1,500.00	\$12,000.00	
ImageCast Central Operator Training	1	\$1,500.00	\$1,500.00	
ImageCast Central Adjudication Training	1	\$1,500.00	\$1,500.00	
ImageCast X Operator Training	1	\$1,500.00	\$1,500.00	
ImageCast Precinct Training	1	\$1,500.00	\$1,500.00	
			Sub-Total:	\$21,000.00

Total Purchase Subtotal			\$211,878.00
Discount			(\$61,444.62)
Year 1 Purchase Total			\$150,433.38

Annual Licenses

Democracy Suite Light Annual License Fee (0 - 15K)	1	\$1,700.00	\$1,700.00
Adjudication Annual Software License Fee (0 - 15K)	1	\$1,000.00	\$1,000.00
Remote UOCAVA Module Annual Software License Fee (0 - 15K)	1	\$700.00	\$700.00
ImageCast Central Annual Firmware License - G1130	1	\$2,575.00	\$2,575.00
ImageCast X Annual Firmware License - Classic BMD 21"	12	\$150.00	\$1,800.00
ImageCast Precint 2 Annual Firmware License	12	\$150.00	\$1,800.00
		Sub-Total:	\$9,575.00
		Discount:	(\$1,915.00)
		Total:	\$7,660.00

Warranty

ImageCast Central Annual Hardware Warranty - G1130	1	\$1,500.00	\$1,500.00
ImageCast X Annual Hardware Warranty - Classic BMD 21"	12	\$155.00	\$1,860.00
ImageCast Precint 2 Annual Hardware Warranty	12	\$155.00	\$1,860.00
		Sub-Total:	\$5,220.00
		Discount:	(\$1,044.00)
		Total:	\$4,176.00

Annual Fees \$11,836.00

Total Cost of 8 Year Contract \$241,126.28

**Offer Valid for 30 days*



Poll Scanners

Quote Number

00005652

Account Name

Lassen County, CA

Grand Total

\$212,626.00



Item	Description	Unit Price	Quantity	Total Price
Verity Scan	Digital ballot scanner	\$6,100.00	10	\$61,000.00
Verity Ballot Box	Ballot box w/ transport bag and privacy screens included with Verity Scan		10	
Secure Ballot Transport Bag	Bag for easy and secure removal and transport of ballots from ballot box	\$50.00	10	\$500.00
Verity Touch Writer w/ Access	Ballot marking device with accessibility	\$5,300.00	10	\$53,000.00
Verity Accessible Booth	Wheelchair-accessible voting booth w/ transport bag and privacy screens included with Verity Touch Writer w/ Access		10	
Okidata B430 Series Printer	Laser printer w/ starter cartridge included with Verity Touch Writer for ballot printing		10	
Printer Stand	Small table for printer included with Verity Touch Writer		10	
Verity Build	Verity Build software; includes Verity Data	\$30,000.00	1	\$30,000.00
Verity Count	Verity Count software	\$12,000.00	1	\$12,000.00
Verity Central	Verity Central software (server)	\$35,000.00	1	\$35,000.00
Verity Workstation	Workstation for Verity software w/ 5-year warranty	\$5,900.00	3	\$17,700.00
23" Flat Panel Monitor	Monitor for use with Verity Workstation		3	
Canon DR-G1100 Central Scanner	Central ballot scanner w/ 1-year warranty	\$7,500.00	1	\$7,500.00
Okidata B430 Series Printer	Laser printer w/ starter cartridge for report printing	\$380.00	3	\$1,140.00
vDrive	Flash memory card/audio card for use with Verity devices	\$66.00	35	\$2,310.00
Verity Key	Electronic security token	\$109.00	3	\$327.00
Battery Charger, 6 Bay	6-bay charger for Verity voting device battery	\$540.00	1	\$540.00
New Implementation Services	Includes training, acceptance testing, project management, and on-site support for the first election on the Verity voting system. Additional services, if required, must be purchased separately.	\$20,000.00	1	\$20,000.00
License and Support	Annual license and support fee	\$18,592.00	1	\$18,592.00

*Units
10 @ \$212,626
+ 2 @ 22,900

12 units
\$ 235,526 Total*

Subtotal	\$259,609.00
Shipping and Handling (Estimated)	\$1,525.00
Solution Price	\$261,134.00
Special Discount	(\$48,508.00)
Grand Total	\$212,626.00



Quote Number

00005652

Account Name

Lassen County, CA

Grand Total

\$212,626.00

Bill To 220 S Lassen St., Suite 5
Susanville, CA 96130

Ship To 220 S Lassen St., Suite 5
Susanville, CA 96130

Customer Contact

Contact Name Julie Bustamante

Email jbustamante@co.lassen.ca.us

Phone (530) 251-8216

General Information

Expiration Date 7/31/2019

Instructions Please fax with signature to or scan and email to kclakeley@hartic.com to order.

Payment Terms Net 30

Terms and Conditions

Subsequent License and Support will be billed annually per contract terms.
Delivery includes removal and salvage of customer's existing voting system at no extra charge.
Pricing subject to inventory availability at time of quote execution and acceptance.
Taxes will be calculated in conjunction with the Customer based on the final approved price list. Estimated tax is \$15,415.39.

Hart Approval

Prepared By Karen Clakeley

Title Director, Strategic Accounts

Signature

Customer Approval

Name: _____

Title: _____

Customer Approval: _____

Date: _____

County of Amador
REQUEST FOR PROPOSALS

RFP No. 19-19



Contract Services

For a

Voting System Solution

Deadline for Submission: **1:30 p.m. Thursday, August 8, 2019**

UNDER NO CIRCUMSTANCES WILL LATE PROPOSALS BE ACCEPTED

Prepared for:
Elections Office Department
County of Amador
810 Court Street
Jackson, CA. 95642

COUNTY OF AMADOR
REQUEST FOR PROPOSALS 19-19

Voting System Solution

PROJECT DESCRIPTION SUMMARY

The County of Amador is requesting proposals from qualified Respondents for a Voting System Solution. It is anticipated that one firm will be selected to perform all services related to this RFP in collaboration with Amador County Election's Office. The County's vision for a Voting System is a solution that is ideal for a Vote Center voting model by utilizing modern technology in a transparent, secure, and cost-effective manner. The optimal solution will have the ability to integrate with preexisting components as applicable. These areas of integration are identified in the Scope of Work/Services section.

The County reserves the right to terminate this project prior to selection of a qualified Respondent as well as reject any and all responses submitted.

BACKGROUND

The County of Amador is located in the north-eastern portion of the Central Valley and has an estimated growing population of 38,700. The county seat is the City of Jackson. The county is home to five (5) cities: Jackson, Ione, Plymouth, Sutter Creek and Amador City.

Amador serves approximately 23,000 voters. Currently only English is required under the federal Voting Rights Act on the County's ballot. In addition, Spanish is required under the California Voting Rights Act.

The County of Amador Elections Office conducts all local, city, county, state, and federal elections for the citizens of the County. On average, two (2) elections per year are conducted by the County in even numbered years, and one election in odd numbered years. Simultaneous to the County's growth is a change in voting trends. As with other California counties, the County of Amador is experiencing an increasing number of voters who are casting their ballots by mail, rather than visiting their polling place on Election Day as voters are requiring greater flexibility and more options for casting their ballots. Under California Senate Bill (SB) 450, the California Voter's Choice Act, every voter in the County would receive a vote-by-mail ballot.

The County is responsible for administering countywide elections for approximately 23,000 registered voters. In the 2018 Gubernatorial General Election, the County experienced high voter turnout at 80%. Of the 17,784 ballots cast, 12,793 were vote-by-mail ballots and 4,991 were precinct ballots cast at one of 26 polling places; 74% of all County registered voters have signed up for permanent vote-by-mail status. On Election Night, all precinct materials are returned to the County Elections Office. Election materials were printed in English with facsimile ballots provided at all polling places in Spanish.

Below is a look at previous election statistics:

Election	Registered Voters	Total Ballots Turnout	Vote-by-Mail Ballots Cast	Percent Turnout	Number of Polling Places
2018 General Election	22,305	17,784	12,793	79.73	26
2018 Primary Election	21,875	13,168	9,413	60.20	26
2016 General Election	22,417	18,289	12,407	81.59	26
2016 Primary Election	21,266	12,836	8,682	60.36	26
2014 General Election	20,798	13,083	8,543	62.91	26
2014 Primary Election	21,200	9,898	6,743	46.69	26

Amador County has chosen to opt into the California Voter’s Choice Act (Senate Bill 450) of 2016, which changes the way Californians cast their ballots. The County of Amador is a 2020 launch county for Senate Bill 450 and is working toward compliance of its requirements. With the passage of the California Voter’s Choice Act in late 2016, some California counties are now permitted to conduct elections using Vote Centers instead of polling places (preceded by 5 counties in 2018, and followed by additional counties in 2022).

Election officials are required to replace polling places with a certain minimum number of ballot drop-off locations and Vote Centers. In the County, there will be 5 drop-off locations and approximately 3 Vote Centers.

Each Vote Center must be able to perform certain voter-related services, including enabling voters to:

- a. Cast a ballot in-person
- b. Drop-off their voted ballot
- c. Access same-day voter registration
- d. Receive a replacement ballot
- e. Use accessible voting machines
- f. Access language assistance and translated materials

California Elections Code section 19006(a) further requires the County use a voting system that the Secretary of State has approved for use in California. Still, the County encourages all organizations and firms that are developing voting systems that are yet to obtain approval from the Secretary of State to respond to this RFP, especially if those organizations and firms are nearing the testing phase of their systems. All Respondents must anticipate CVSS certification prior to November 1st, 2019.

For additional information on Voting System Certification in California, go to: <http://www.sos.ca.gov/administration/regulations/current-regulations/elections/voting-system-certification-regulations/>.

The Voting System shall also meet all requirements of federal law and State of California law/rules that address accessibility, information and use of Voting Systems. These laws/rules include:

- a. The Help America Vote Act (HAVA) of 2002
- b. The Americans with Disabilities Act (ADA) of 1990

- c. The Federal Rehabilitation Act
- d. The Voting Rights Act (VRA) of 1965
- e. The Election Assistance Commission's Voluntary Voting System Guidelines (VVSG)
- f. State of California Election Code and California Voting System Standards (CVSS)

The Respondent is to customize, configure, test, implement and support a Voting System Solution that fully complies with State requirements.

Also, the County's current voting system is over thirteen (13) years old and in need of replacement and modernization.

THE COUNTY'S ROLE

Amador County Election's personnel will provide coordination and oversight of the work. Election's personnel will also serve as a resource in a collaborative effort with the successful Respondent.

COMPENSATION AND REIMBURSEMENT OF EXPENSES

Compensation for the services listed in the proposal shall not exceed the total projected costs for the work. Respondent shall submit for review and approval invoices detailing the services provided during each invoice period. The invoice shall utilize a simple, easy to understand format that has been approved by the County.

The California Constitution requires that any County contract that extends beyond the current fiscal year must be subject to future appropriations.

PROPOSAL CONTENT

In order for the County to compare and evaluate proposals effectively and efficiently, all proposals shall be organized in the specific format outlined below. Failure to comply with this requirement may cause disqualification. The proposal shall include the following as a minimum:

1. **Cover Page:** Firm name, title of proposal, and date of proposal.
2. **Transmittal Letter:** Shall include the legal name of the agency or organization making the proposal, business address, name, title, address, email address, and telephone number of person(s) authorized to negotiate and contractually obligate the Respondent firm and the contact person(s) authorized by the firm to be contacted for clarifications. The letter shall be signed by a principal or officer of the firm.
3. **Scope of Work/Services:** Respondents shall:
 - A. Present the Respondent's basic scope of services, objectives and intended results of the services to be provided.
 - B. Should summarize how the proposal meets the RFP requirements and why the Respondent is best qualified to perform these services.

C. Discuss any requirements **not** addressed in this RFP and **any constraints** in providing the services listed herein.

Respondents shall provide all labor, tax, bonds, insurance, permits, premiums, shipping, transportation, services, disposal, equipment, materials, training, installation, integration, on-going support and appurtenant facilities for a Voting System Solution.

The solution must implement and comply with the California Voter's Choice Act (Senate Bill 450).

California Elections Code section 19006(a) further requires the County use a voting system that the Secretary of State has approved for use in California.

The County is looking for solutions for the following Voting System Solutions with the Respondent to provide a detailed scope of work for each numbered item below (1 through 5).

- 3.1 Scope of Respondents Services
- 3.2 System Instructions and Training Program
- 3.3 Primary and Supplemental Services
- 3.4 Deliverables
- 3.5 Solution Component Responses

3.1 Scope of Respondent Services

The Respondent must provide the Services scope of this project in a professional manner using only experienced individuals. The Respondent shall demonstrate how they will provide the following services within the five (5) Voting System Solutions (1-5) as follows:

- a. System development, delivery, installation, testing, and appropriate operational diagnostics.
- b. Software and hardware improvements, upgrades, and modifications throughout the term of the agreement.
- c. County staff training. Initial training (use of the system demonstrating end user proficiency, etc.) and supplemental training (changes and upgrades, etc.) concurrent with any improvements, upgrades or modifications to any part of the system.
- d. Outreach component with an emphasis on familiarizing the County's residents with the Respondent's system(s), using a variety of media-ready messages and materials.
- e. Financing options for the acquisition of the proposed system.

3.2 System Instruction and Training Program

The Respondent is requested to provide a comprehensive program with documentation (written procedures) to train County staff in all aspects of the use of the proposed systems, and with all systems updates. After this training, County staff will be able to fully utilize and support the proposed system without the intervention of Respondent staff. The County prefers that any data conversion be tested and validated before general user training is started. Respondents should provide a response depicting how they will validate changes, conversions and integration of existing County equipment and data.

All training is expected to be delivered before the system goes live. The Respondent must provide on-site training for system administrators and general users of the Voting Systems provided. Web-based or virtual online classes may also be used, if available, to train general users not able to attend classroom training. The County and Respondent will coordinate delivery of user training such that the timing is agreeable to the County and Respondent within the project schedule timeline. In addition, Respondent may provide a variety of instructional materials on the use of the system for dissemination to the County's voters.

***Note that training for both the Technical System Administrator's and System Administrators should begin soon after the contract has been awarded to allow for them participate in the system configuration, implementation and data conversion testing/acceptance.

Respondents shall identify all training requirements, i.e. time estimated for training, skill sets needed to train Administrators, General Users and Offsite Users, and incorporate this into the project schedule.

3.3 Primary and Supplemental Services

Respondent agrees to provide services to the County required for the development, implementation, and continued support of the system during the term of the agreement and shall provide a plan outlining how and when support will be provided. These services will include any supplemental services that are requested by the County and added to the scope of work. . The Respondent shall provide training documentation and written procedures with system updates. Respondents are expected to provide technical support for both hardware and software to County Administrators, Monday through Friday, 8:00 am to 5:00 pm Pacific Time, with a predefined time allotted for recovery from system failures. Eighty-Eight (88) days prior to any election Respondents shall provide a written response regarding their availability for service and repairs; preferably 24/7 Monday through Sunday.

Respondent will provide maintenance for system software and documentation to include upgrades for a term of three (3) years. Respondent will include the costs for support and maintenance in the cost proposal.

3.4 Deliverables

The Deliverables for this project are to be the products and services necessary for the successful acquisition and implementation of new voting technology solution for the County in order to conduct successful elections in 2020 and beyond with this new technology. Respondent shall provide an explanation of how their products and services will assist in successful future elections. The Respondent is to provide a list of the proposed deliverables and estimated timelines for each, including certification of any solution or component requiring Voting System Certification by the California Secretary of State's Office.

3.5 Solution Component Responses

The Respondent is requested to respond to each of the Solution Components below they are proposing.

a. Vote Center Ballot Printing On Demand

Solution Description: The County is seeking a Vote Center Voting Solution to support our 23,000 registered voters. Each of the County’s approximately 3 Vote Centers must be able to process over 500 voters each day throughout an 11-day election period. On-Demand Ballot Printing for use in a Vote Center environment is part of this overall Vote Center Voting Solution. Additionally, the County requires an additional On-Demand Printing unit for use in the Elections Office for printing “one off” vote-by-mail ballots, test deck ballots and blank duplication ballots. Respondents shall provide a response to each of the following solutions:

- I. Has the ability to print issued ballots directly out of the EMS system at voter check-in
- II. Can integrate seamlessly with DFM’s EIMS Election Management System
- III. Has the ability to print independent of EMS system in the event of loss of connection
- IV. Can print ballots up to 19 inches long, on both sides, including stubs if desired
- V. Can easily handle two card ballots
- VI. Can issue paper ballots identical to vote-by-mail ballots, for correct precinct, party, and language
- VII. Equipment proposed can be easily transported and stored between elections
- VIII. Can print in color to allow for State required watermark

b. Vote Center Accessible Ballot Marking Device

Solution Description: The County is seeking a Vote Center Voting Solution to support our 23,000 registered voters. Each of the County’s approximately 3 Vote Centers must be able to process over 500 voters each day throughout an 11-day election period. Accessible Voting/Onscreen Ballot Marking Device for use in a Vote Center environment is part of this overall Voting System Solution. Respondents shall provide a response to each of the following solutions:

- I. Be accessible, self-explanatory, and have audio capabilities for blind and visually impaired, as well as other voters requiring accessibility
- II. Have ports available for voter-supplied accessibility tools and assistive devices
- III. Meet the standards for accessible voting systems in California
- IV. Be physically accessible for wheelchairs with front approach
- V. Be lightweight, easily transported with battery backup for use with curbside voting
- VI. Be easy to set-up and operate
- VII. Be secured from tamper or interference
- VIII. Be self-contained and independent from precinct tabulator, to allow for voter privacy
- IX. Have the ability to be stored between elections, using minimal space
- X. Be able to be activated independently by the voter

c. Vote Center Tabulation

Solution Description: The County is seeking a Voting System Solution to support 23,000 registered voters. Each of the County’s approximately 3 Vote Centers must be able to process over 500 voters each day throughout an 11-day election period. Vote Center Tabulation for use in a Vote Center environment is part of this overall Vote Center Voting Solution. Respondents shall provide a response to each of the following solutions:

- I. Be lightweight and transportable, and easily stored between elections using limited space
- II. Be easily replaceable in the event of error

- III. Have a lightweight, transportable collapsible ballot box, easily stored using limited space
- IV. Capture ballot image and provide Cast Vote Record for auditing
- V. Be able to process vote-by-mail ballots, on-demand ballot, or ADA device ballot
- VI. Have the ability to tabulate and print results, summary and by precinct, independently of the reporting software
- VII. Notify the voters of exceptions such as errors, over-votes, under-votes, etc. before accepting the ballot providing “second chance” voting
- VIII. Handle, and reliably account for, multipage ballots, including when the pages become separated from each other
- IX. Include a public counter, which shows the number of ballots processed
- X. Contain a battery backup, in the event of loss of power
- XI. Be easy to set-up and operate, including opening and closing of polls
- XII. Be secured from tamper or interference
- XIII. Be self-contained and independent from ADA device to allow for voter privacy
- XIV. Tabulate ballots in any of the four (4) possible orientations

d. Central Count Scanning and Tabulation

Solution Description: The County is seeking a mid-speed or high-speed Central Count Tabulation Solution to support its 23,000 active voters. This solution requires flexible resolving options and data integration, with the majority of tabulation to be completed in a 10-day period for an election. The County could potentially be centrally tabulating approximately 50,000 ballot sheets in the 2020 Primary Election if a two card ballot is required, and the voter registration rises to 25,000 as anticipated. Respondents shall provide a response to each of the following solutions:

- I. Tabulate ballots at a rate of at least 75 ballots per minute
- II. Tabulate, capture ballot image, produce cast vote record, and sort write-ins and uncounted ballots on both sides in a single pass
- III. Have the ability to sort out and separate write-ins for review during tabulation
- IV. Have the ability to sort out blank, over-voted or under-voted ballots during tabulation
- V. Have the ability to tabulate and print results, summary and by precinct, independently of the reporting software
- VI. Have the ability to restrict results access until polls close on Election Day
- VII. In the event of a ballot jam, be able to be cleared easily and quickly
- VIII. Have the ability to view individual ballots that contain write-ins, over-votes, under-votes, and errors prior to saving a batch
- IX. Have the ability to support the mandatory 1% manual tally, and risk limiting audits
- X. Tabulate ballots in any of the four (4) possible orientations
- XI. Be able to tabulate ballots for an individual precinct, sorting out ballots not from that precinct
- XII. Have the ability to have individual precincts, OR individual batches deleted for re-run

e. Election Reporting and Adjudication

Solution Description: The County is seeking an Election Reporting and Adjudication Solution to support its 23,000 active voters. Respondents shall provide a response to each of the following solutions:

- I. Have the ability to report contests by precinct, district or voting method
- II. Have the ability to report results electronically to the Secretary of State's ENR system
- III. Have the ability to use templates to create custom reports such as jurisdiction specific reports or a Statement of Vote
- IV. Report voter turnout based on a specific ballot card in the case of a multiple card ballot
- V. Have the ability to quickly adjudicate or resolve over-votes, under-votes, write-ins, and damaged voting targets (voting targets that the system can't read)
- VI. Have the ability to easily manage the adjudication process
- VII. Have the ability to quickly adjudicate write-ins
- VIII. Have the ability to filter and view adjudicated contests
- IX. Have the ability to put adjudication ballots on hold for further review
- X. Have the ability to export results data for use in other software programs

4. **Staffing:** List of personnel who will be directly assigned to provide services, together with a discussion of each member's responsibilities and resumes. Include an organizational chart of personnel providing services. Also identify the extent of County personnel involvement deemed necessary, including number of estimated hours required and expertise required of the County.
5. **Statement of Experience and Qualifications:** Description of the nature of the Respondent's present work, including a comprehensive list of current and past work on similar projects, staff who worked on those projects, and corresponding client's names, titles, addresses and phone numbers. For any projects referenced in the Statement of Experience and Qualifications, list the involvement of the proposed staff members. Include evidence of any special licensing or qualifications required to perform the work.
6. **Execution of Sample Agreement:** A statement that the Sample Agreement (**see Attachment A**) has been reviewed by the principal or officer of the firm, and a list of any exceptions or changes that are requested prior to execution of the Agreement. The specific services to be incorporated into the agreement will be based upon the RFP as well as the responses prepared by Respondents, and may include those negotiated items between the County and the successful Respondent(s).

No requests for changes to the Agreement will be entertained other than those presented in the proposal.

7. **Cost Proposal:** A detailed, task-related or scheduled-related cost proposal based upon anticipated hours for services to be provided, each task and hourly rates for each assigned employee, supplies and equipment costs, travel, office support, all other overhead costs and any reimbursable expenses. Each proposed task should be accompanied by a "not to exceed" cap on costs. Basis for charging on a time and materials basis for preparation of optional elements should be listed and fully explained. The Respondent shall submit a detailed budget that includes without limitation costs of required staffing and all associated costs to complete the Work/Project.
8. **Compensation:** Respondent's should provide for how it expects to be compensated for all of the services required by the Agreement.
9. **Project Schedule:** The Respondent shall submit a detailed proposed schedule identifying all tasks and projected dates of completion for project milestones. The following schedule sets forth the dates and deadlines applicable to this RFP. The specified dates are merely estimates and are not

binding on the County. Nevertheless, by submitting a response, each Respondent agrees to complete its performance in compliance with the dates and deadlines set forth in the following schedule, unless the County expressly agrees to modify such schedule.

Each Respondent shall provide a written statement with their schedule that states the Respondent has the ability to comply with such schedule.

Tentative Schedule	
Date	Action
November 1, 2019	Final Contract Execution
November 15, 2019	Delivery of Voting System components begins; Acceptance testing of system components begins
November 22, 2019	Vendor training on system use begins
November 21, 2019	All Delivery and Acceptance Testing complete
March 3, 2020	Presidential Primary Election

PROPOSAL SUBMITTAL:

Proposals submitted must meet the following criteria to be acceptable for consideration regarding this project:

1. Submit **one (1)** original signed proposal marked “Original”, **four (4)** bound proposal copies and **one (1)** electronic copy (CD or flash drive) in Microsoft Word formats no later than **1:30 p.m. Thursday, August 8, 2019 to:**

Mail: Amador County General Services Administration, 12200-B Airport Road, Jackson, CA. 95642;

Physical Location: Amador County General Services Administration, 12200-B Airport Road, Martell, CA. 95654.

Normal business hours are Monday through Friday 8:00 a.m. to 5:00 p.m. and staff can be reached at (209) 223-6375.

(Note: Firms selected for interview may be required to submit additional copies.)

2. The proposal must be submitted in an envelope clearly marked with the name and title of the proposal **RFP 19-19 Voting System Solution**. The Respondent’s return address and the proposal

number must appear on the outside of the envelope. The Cost Proposal must be submitted in a separate sealed envelope along with your proposal which specifies on its face "Cost Proposal".

3. Proposals received incomplete or late, for any reason, may not be accepted.
4. All proposals submitted in response to this RFP will become the property of the County and will not be returned. The County reserves the right to make use of any information or ideas contained in the proposals. The proposal itself shall not constitute a contract, but will, if accepted, be incorporated into the contract between the County and the selected Respondent.
5. Proposals submitted to the County become public documents subject to disclosure once the proposal is awarded. If the Respondent considers any portion of their proposal to be confidential, the Respondent must label each and every page of the confidential portions as: "Trade Secret", "Confidential" or "Proprietary." The Respondent must also list the confidential material at the beginning of its proposal, and provide justification for not making such material public. The County shall have the sole discretion to disclose or not disclose such material, subject to any protective order that the Respondent may obtain, but it is incumbent on the Respondent to assert its rights to confidentiality.

Please note: The successful Respondent shall be expected to fully meet all representations made in its proposal, including demonstration of the requirements outlined in this RFP.

6. Respondents may withdraw their proposals at any time prior to the RFP submission deadline. A signed withdrawal request by the Respondent's duly authorized representative must be provided to General Services

EXCHANGE OF INFORMATION & CONFLICTS OF INTEREST

Exchanges of information between interested Respondents and the County is encouraged to improve the understanding of the requirements set forth in this RFP. Questions concerning the project and/or RFP requirements should be directed to www.publicpurchase.com. Posting questions to www.publicpurchase.com ensures questions and answers are available for all Respondents to view and respond to ensuring an open, fair and equal process. Should a Respondent have difficulty with gaining access to www.publicpurchase.com, please contact General Services at (209) 223-6375 for assistance.

Respondents are specifically directed not to communicate with or make attempts to influence any members of the County's Evaluation Committee or other department representatives involved in the selection process that would create a conflict of interest or unfair advantage.

Failure to adhere to this instruction may result in disqualification of the Respondent.

EVALUATION OF PROPOSALS

Evaluations are described below and performed by County staff which may also include individuals from an external department and/or agency.

1. Proposals shall be opened and examined to ensure that each complies with the requirements of the RFP. The absence of required information may render the proposal non-responsive and may be cause for rejection.

2. Prior to final selection, a short list of qualified and responsive Respondents may be requested to participate in an interview and/or be asked to submit additional information for clarification. The purpose of the interview and acquisition of additional information will be to provide an opportunity for each Respondent to present their qualifications and proposals in person and/or to answer any questions that the County may have regarding the Respondent's submittals. If interviews are to be held, the time and place of the interview will be arranged after the short list is compiled. It is anticipated that not less than three (3) firms will be selected to be interviewed to discuss their proposal and qualifications; however, based upon qualifications, the County may at its option choose to interview more than three (3) qualified Respondents or only one Respondent or select a Respondent based solely on evaluating written proposals.
3. The County is an Equal Opportunity/Affirmative Action Employer and the successful Respondent will be required to comply with the provisions of Federal Executive Order 11246 and applicable state and federal laws. Respondents should be familiar with the Employers' Practical Guide to Reasonable Accommodations Under the Americans with Disabilities Act as published by the Job Accommodation Network, a service of the U.S. Department of Labor's Office of Disability Employment Policy.
4. All proposals are evaluated to determine whether or not they met all the requirements of the RFP. Responses are individually scored as assigned to a category. Individual scores are averaged and entered into that category column. Each category is assigned a weight and the result is a weighted score. Each category is assigned a point range of **0 to 20** (0 = Non-existent 4 = Poor 8 = Marginal 12 = Acceptable 16 = Very Good 20 = Excellent).
5. Normally, cost is taken into consideration after the "qualitative" factors have been evaluated. Cost is usually identified as a percentage of the total available points and cost proposals from all Respondents are "normalized" meaning that the lowest cost proposal receives 100% of the points available and the other higher cost proposals receive a percentage of the available points based on their submitted cost.

The total points available for cost in this RFP are **twenty (20)** points.

The following is an example of some typical evaluation criteria for cost normalization used for this RFP.

Sample Cost Normalization	Points
Respondent 1 - Low Bidder at \$26,000 gets maximum points	20
Respondent 2 - Next Low Bidder at \$28,400 gets 91.5% of points (26000 divided by 28400 times 20)	18.2
Respondent 3 - High Bidder at \$40,000 gets 65% of points (26000 divided by 40000 times 20)	13

The rating scale establishes standards by which points are assigned to proposals, and it ensures that members of the Evaluation Committee evaluate each proposal with consistency. An

Evaluation Committee is formed and the number of its members is determined by the County's Purchasing Agent based upon each member's professional background, interest and complexity of the RFP. Each committee member conducts an individual evaluation of each proposal. Each member's total points are combined and averaged to determine those top ranked proposals. The County may choose to develop a Short List and/or select a proposal using this criterion. If a Short List is developed, those Respondents offered an interview may have the opportunity to make a presentation and/or answer questions the Committee may have. The purpose is to seek further clarification and understanding of each proposal.

Proposals will be evaluated based on the rating scale and criteria listed below:

<u>CATEGORIES</u>	Possible Points	Weight
<u>Quality and Responsiveness of the Proposal</u>	20	10%
<u>Scope of Work/Services</u>	20	40%
<u>Experience and Qualifications</u>	20	15%
<u>Project Schedule</u>	20	15%
<u>Cost Proposal.</u>	20	20%
	Total	100
		100%

TERMS OF REQUEST FOR PROPOSALS

1. **Acceptance of Proposals:** The County reserves the right to reject any and all proposals and to waive any informality, technical defect, or clerical error in any proposal and to request additional information from all Respondents. This RFP is not an offer to contract. The County reserves the right to request clarification of any information submitted, interview firms and/or request additional information during interviews. The County further reserves the right to enter into negotiations with one or more firms and/or enter into multiple contracts and/or not award a contract.
2. **Oral Communications:** Any oral communication between the County and the Respondent is not binding, nor will it modify the RFP in any way.
3. **Amendments and/or Addendums:** We expect that you may have questions as you prepare your response to the RFP and/or changes may be necessary. To handle questions or changes most effectively and to ensure that everyone has the same information, we request that you promptly send your question(s) to www.publicpurchase.com. Respondent's must register at <https://www.publicpurchase.com/gems/amadorco.ca/buyer/public/home> to view answers to posted questions, see amendments or addendums and/or download information regarding this RFP (please see Electronic Disclaimer below). Questions must be received no later than **August 2, 2019 at 1:30 p.m.** Should it be found necessary, the County of Amador General Services Administration Director, or his designee, shall issue a written amendment/addendum or respond to questions which will be posted to www.publicpurchase.com. Anyone acquiring "hard copies"

from the office of General Services shall be placed on a “Holders List” and notified of any changes. Amendments/addendums issued must be signed and included in your proposal.

4. **Proposal Cost:** The cost of developing the proposal is the sole responsibility of the Respondent; the County is not liable for any costs incurred by those submitting proposals.
5. **Proposal Submission:** Respondents may submit proposals by any means (e.g., U.S. Mail, Fed Ex, courier, etc.). Proposals must be received at the physical address noted by the deadline. **(This RFP prohibits the submission of more than one proposal by a Respondent)**
6. **Basis for Proposal:** The RFP and any amendments to it represent the most definitive statement the County will make concerning information upon which proposals are to be based. Respondents will be assumed to have thoroughly examined these documents.
7. **Deliverables:** If this Proposal is awarded, and the successful Respondent fails to sign and return to the County within **five (5) days** (i) the Contract, (ii) any bonds required, and (iii) the required insurance certificates as specified in the Sample Contract/Agreement information and documents with an insurer satisfactory to the County Risk Manager, the County may, at its option, determine the Respondent has abandoned the Contract. In that case, the Proposal and the acceptance thereof shall be null and void, and any security shall be forfeited and become the property of the County of Amador.
8. **Right to Negotiate:** The County reserves the right to negotiate any fee or any provision, accept any part, or all parts of any and all Proposals whichever is in the best interest of the County. The County intends to negotiate only with those firms whose Proposals meet the requirements of the County.
9. **County’s Official Hard-Copies:** Failure to obtain the County’s official hard-copies of this RFP and contract documents may result in prospective Respondents being disqualified and may not be notified of any amendments or changes which may also disqualify any Respondents. Respondents obtaining this RFP and contract documents directly from the Department of General Services will be placed on the official RFP holders list. In order to be placed on the County’s RFP holders list, a purchase or receipt of the RFP information and documents from General Services Administration office is required (email is an acceptable form of a receipt only if confirmed by both the County and Respondent).

All Respondents must contact the County to receive copies of current RFP documentation. Respondents who rely on information obtained from plan rooms or any web site accept responsibility for any inaccurate or incomplete information. **DISCLAIMER REGARDING ELECTRONIC INFORMATION:** Computer-based and electronic information (“Electronic Information”) for Amador County **RFP 19-19 Voting System Solution** is provided solely for the convenience of prospective Respondents, and are not considered part of the contract documents. No representation or warranty is made, either expressed or implied, with regard to the accuracy or suitability of said Electronic Information for any purpose whatsoever. It is the responsibility of prospective Respondents to verify all aspects of the Electronic Information against the County’s official hard-copies of the contract documents. In the event of any conflict between the County’s official hard-copies of the contract documents and the Electronic Information, the official hard-copies of the contract documents shall govern. Utilization or viewing of said Electronic

Information shall constitute implicit acknowledgement and acceptance of the provisions of this paragraph.

10. **Verbal Agreement or Conversation:** No prior, current, or post award verbal conversations or agreement(s) with any officer, agent, or employee of County shall affect or modify any terms or obligations of this RFP, or any contract resulting from this RFP.
11. **Background Check:** The County reserves the right to conduct a background inquiry of each Respondent, which may include collection of appropriate criminal history information, contractual and business associations, business practices, employment histories, and reputation in the business community. By submitting a proposal to the County, the Respondent consents to such an inquiry and agrees to make available to the County such books and records the County deems necessary to conduct the inquiry.
12. **Piggyback Procurement:** Other public agencies within California may desire to award a contract in response to this Request for Proposal. If authorized by Respondent under schedule of this invitation, Respondent shall provide services to agencies at the same prices and upon the same terms and conditions. If the successful firm agrees to this provision, participating agencies may enter into a contract with the successful firm for the purchase of the services and goods described herein based on the terms, conditions, prices, and percentages offered by the successful firm to the County. Minor changes in terms and conditions may be negotiated by participating agencies for a period of time no longer than stated in the contract following the award of this contract (**this contract term shall be three years**).

PROTEST PROCEDURE

1. Respondents may file a written protest with the Amador County Purchasing Agent not later than **five (5) working days** after of the date of **evaluation notification by the Purchasing Agent or his designee which may be by telephone, letter and/or email.**
2. The protest shall be delivered or sent by registered mail to the Purchasing Agent.
3. The protest filed with the Purchasing Agent shall:
 - a. Include the name, address, and business telephone number of the protestor;
 - b. Identify the project under protest by name, RFP/Q number, and RFP/Q date;
 - c. Contain a concise statement of the grounds for protest including alleged violations of Federal, State, or local law; provided, however, RFP or RFQ process and procedures, including evaluation criteria, shall not be proper grounds for protest. Concerns related to those issues should be raised and addressed, if at all prior to the proposal opening date to allow adjustments before evaluation of proposals and;
 - d. Provide all supporting documentation, if any. Documentation submitted after filing the protest will not be considered during review of the protest or during any appeal.

PROTEST REVIEW

1. Upon receipt of a protest, the Purchasing Agent shall review all the submitted materials and shall create and retain a written record of the review. The Purchasing Agent shall respond in writing at least generally to each material issue raised in the protest not later than **fifteen (15)** working days after receipt of the protest.
2. If the protested procurement involves Federal or State funds, the Purchasing Agent shall give notice to the interested party that he or she has the right to appeal to the appropriate Federal or State agency which shall be identified by name and address. An appeal hereunder shall be filed with the appropriate agency within **five (5)** working days of the dispatch of notification to the interested party(ies).
3. Purchasing Agent decisions may be appealed in writing to the Amador County Board of Supervisors prior to the award date, which is given in the notification. The Board of Supervisors shall review and decide the appeal based on the grounds and documentation set forth in the original protest to the Purchasing Agent. The appealing party may be represented by legal counsel if desired. Each party shall bear its own costs and expenses involved in the protest and appeal process, including any subsequent litigation. The decision of the Board of Supervisors shall be final unless the protested procurements are obtained in whole or in part with Federal or State funds.

I. ATTACHMENTS

A: Sample Professional Services Agreement

*All exhibits annexed and attachments hereto are expressly made a part of this RFP as though fully set forth herein.

GENERAL SERVICES ADMINISTRATION

MAIL: 12200-B Airport Road, Jackson, CA 95642

LOCATION: 12200-B Airport Road, Martell, CA

PHONE: (209) 223-6377 FAX: (209) 223-0749 E-MAIL: dwhitaker@amadorgov.org



September 9, 2019

Election Systems & Software, LLC
Thomas F. O'Brien, Executive Vice President
11208 John Galt Blvd.
Omaha, NE 68137
tfobrien@essvote.com

RE: RFP 19-19 Voting System Solutions

Dear Mr O'Brien,

Of the four (4) responses received for RFP 19-19 Voting System Solutions Project, your proposal and approach scored the highest. Therefore, I am pleased to inform you that the County has decided to take your proposal forward to the next level and intends to recommend to the Board of Supervisors awarding RFP 19-19 to you on September 24, 2019 at their next regularly scheduled Board meeting. The next step in the process will be to develop acceptable contracts between the County and your organization

If you have, any questions or concerns please feel free to contact me directly at (209) 223-6377.

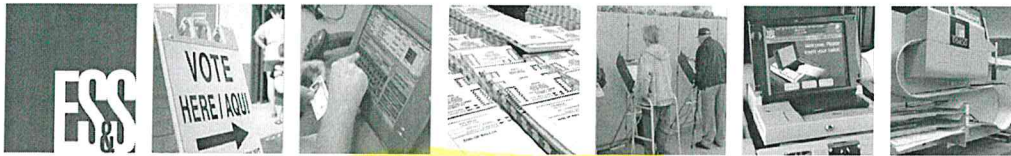
Again, thank you so much for your participation and efforts.

Sincerely,

A handwritten signature in cursive script, appearing to read "D. Whitaker".

D. Whitaker
Purchasing Manager

Cc: Chuck Iley, CAO
Jon Hopkins, Director General Services
Greg Gillott, County Counsel
Kim Grady, Clerk/Recorder
Teri Hurtado, Chief Deputy Clerk
file



Amador County, California
Purchase Proposal Quote
 Submitted by Election Systems & Software

Purchase Solution Includes:

<u>Quantity</u>	<u>Item Description</u>	<u>Price</u>
Vote Center Ballot Printing on Demand		
4	REFURBISHED Compact Printer with Firmware and One (1) Year Warranty	\$7,640.00
4	Laptop Computer with Router	\$5,280.00
4	Balotlar 1-Year Software License with Single and Multiple Request Capability	\$11,380.00
Vote Center Accessible Ballot Marking Device		
ExpressVote Ballot Marking Device:		
12	ExpressVote BMD Terminal (Includes Terminal, Internal Backup Battery, ADA Keypad, Headphones, Power Supply with AC Cord, and One (1) Standard 4GB Memory Device)	\$39,900.00
12	Soft-Sided Case	\$2,100.00
ExpressVote Add-Ons:		
3	ExpressVote Printer (For Printing of ExpressVote Activation Cards)	\$2,175.00
1	ExpressLink Software (For Printing of ExpressVote Activation Cards)	\$3,050.00
Vote Center Tabulation		
Model DS200 Precinct Scanner:		
4	Model DS200 (Includes Scanner, Internal Backup Battery, Collapsible Ballot Box, Soft-Sided Nylon Case, Paper Roll and One (1) Standard 4GB Memory Device)	\$21,400.00
Central Count Scanning and Tabulation		
Model DS450 High Speed Digital Image Scanner:		
2	Model DS450 (Includes Scanner, Steel Table/Car, Start-up Kit, Dust Cover, Reports Printer, Audit Printer, Battery Backup, Two (2) USB Cables, and Two (2) Standard 8GB Memory Devices)	\$99,900.00
2	DS450 Initial Annual License Fee	Included
X	Credit for Central Count Scanning Fees Paid	(\$13,815.65)
1	Ballot Folder	\$2,355.00
Election Reporting and Adjudication		
1	ElectionWare Software - EVS 6.x.x.x Base Package - Reporting Only	\$5,625.00
Third Party Products		
1	EMS 3rd Party Hardware with Pre-Loaded Software	\$3,548.00
Election Services		
3	Implementation Services (Does not include Coding, Voice Files or Ballots)	\$5,100.00
X	Equipment Operations Training	
X	Software Training	
1	Election On-Site Support (One Event includes a person on-site the day before, day of, and day after election)	\$4,675.00
X	Equipment Installation	\$6,245.00
X	1 Year Hardware and Software Warranty	Included
Shipping & Other		
X	Shipping and Handling	\$1,725.00
X	Applicable Sales Tax	\$15,971.05
X	Customer Discount	(\$11,950.00)
	Trade-In Allowance, Equipment Being Traded-In by Customer Includes: 40-AutoMARK	(\$8,000.00)
Total Purchase Solution		\$199,303.40

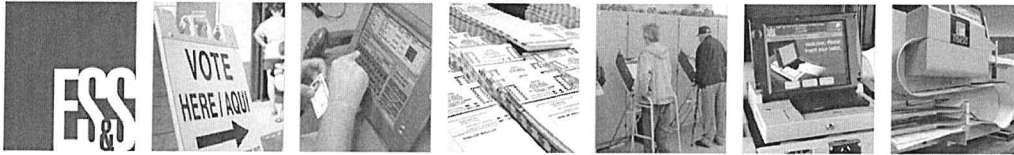
Purchase Payment Terms:

Amount due within thirty (30) calendar days of contract execution:	\$49,825.00
Amount due within thirty (30) calendar days as deliverables are provided:	\$149,478.40

<u>Lease/Purchase Financing Option:</u>	<u>Annual Pmt</u>
Annual Payment for a Five (5) Year Term	\$40,321.00
Applicable Sales Tax	\$3,513.00
Total Annual Payment With Tax	\$43,834.00

Lease/Purchase Financing Notes:

- ES&S is able to provide direct financing for the Total Purchase Price through its affiliate, Nationwide Capital.
- First Payment due at contract execution and annually thereafter.
- Payments do not include Annual Post-Warranty License and Maintenance and Support Fees which will be invoiced separately.
- The Lease/Purchase Annual Payment amount is valid for thirty (30) days and subject to change thereafter.
- The Applicable Sales Tax is an estimate and the actual tax that applies will be billed to the Customer at the time of invoicing.



Amador County, California
Purchase Proposal Quote
 Submitted by Election Systems & Software

Purchase Solution Includes:

<u>Quantity</u>	<u>Item Description</u>	<u>Price</u>
Annual Post-Warranty License and Maintenance and Support Fees		
(Fees are Based Upon a 2-Year Customer Commitment to Subscribe to the Following Services)		
Annual Post-Warranty Hardware Maintenance and Support Fees:		
12	HMA ExpressVote BMD - Extended Warranty with Annual Maintenance	\$1,440.00
4	HMA DS200 - Extended Warranty with Annual Maintenance	\$740.00
2	HMA DS450 - Extended Warranty with Annual Maintenance	\$5,460.00
Annual Post-Warranty Firmware License and Maintenance and Support Fees:		
12	Firmware License - ExpressVote	\$780.00
4	Firmware License - DS200	\$320.00
2	Firmware License - DS450	\$3,150.00
Annual Post-Warranty Software License and Maintenance and Support Fees:		
1	ElectionWare Software - EVS 6.x.x.x Base Package - Reporting Only	\$5,625.00
1	ExpressLink Software (For Printing of ExpressVote Activation Cards)	\$3,050.00
4	Balotar Software License with Single and Multiple Request Capability	\$7,380.00
Total Annual Post-Warranty License and Maintenance and Support Fees		\$27,945.00
Applicable Sales Tax		\$1,573.64
Total Annual Post-Warranty License and Maintenance and Support Fees including Tax		\$29,518.64

Initial Ballot On Demand Election Set-Up Fee per Election Event:

Black and White Ballot Set-Up:
 \$450.00 per election set-up + \$1.00 per unique PDF for first Computer,
 \$75.00 for each additional Computer Set-up

Color Ballot Set-Up:
 \$550.00 per election set-up + \$1.00 per unique PDF for first Computer,
 \$75.00 for each additional Computer Set-up

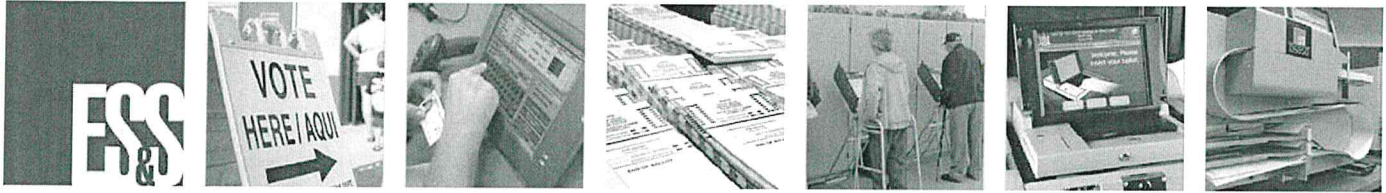
Rework of set up due to customer changes after initial set up is complete:
 \$175.00 per change event for first Computer Set-Up
 \$75.00 for each additional Computer Set-Up

\$350.00 fee for L&A Test Deck Creation

On-Site Set-Up: \$1,700.00 per person, per day

Footnotes:

1. This quote is an estimate and is subject to final review and approval by both ES&S and the Customer.
2. Rates valid for 60 days and thereafter may change.
3. The Applicable Sales Tax is an estimate and the actual tax that applies will be billed to the Customer at the time of invoicing.
4. The Election Implementation Services (which are billable based on a daily rate) reflect a reasonable estimate for implementation election services. Travel and overhead costs are included in the daily rate. Quantities may change depending on specific Customer needs.
5. ES&S will coordinate and pay for the pickup and transportation of the trade-in equipment from Customer's site on a date to be mutually agreed upon by the parties. ES&S is responsible for preparing, packaging and palletizing the trade-in equipment for shipment.



Election Systems & Software, LLC

11208 John Galt Blvd
Omaha, NE 68137

**EVS 6.0.4.2 PYO – Standalone EMS
System Purchase Order**

March 18, 2020



Modoc County, California

204 S Court St
Alturas, CA 96101

Qty Ord.	Description	Price	Ext. Price
	EMS WORKSTATION		
2	DELL OPTIPLEX 5050 (DESKTOP)	\$1,620.00	\$3,240.00
	<ul style="list-style-type: none"> • OptiPlex 5050 SFF XCTO • Intel Core i5-6500 Processor (Quad Core, 6MB, 4T, 3.2GHz, 65W) • OptiPlex 5050 SFF with 180W up to 85% efficient Power Supply (80Plus Bronze) • TPM Enabled • 8GB DDR4 2400MHz (8GBX1)Memory • 3.5 inch 500GB 7200rpm Hard Disk Drive • AMD Radeon R5 430, 2GB (DP, SL-DVI-I) • DVD+/-RW Bezel, Small Form Factor • No Out-of-Band Systems Management • Chassis Intrusion Switch SFF • Dell KB216 Wired Multi-Media Keyboard English Black • Dell MS116 Wired Mouse, Black • Adobe Reader 11 • Waves MaxxAudio • Windows 7 Professional English 64bit DT (Includes Windows 10Pro License, Microsoft Volume License Only) • Intel Core i5 Processor Label • Dell Limited Hardware Warranty Plus Service • ProSupport: Next Business Day Onsite 5 Years • ProSupport: 7x24 Technical Support, 5 Years • Dell 22 Monitor P2217H 		
2	UNINTERRUPTIBLE POWER SUPPLY (UPS) BATTERY BACKUP, 850VA (WORKSTATIONS) - <i>Optional</i>	\$147.00	\$294.00
2	*SYMANTEC ENDPOINT PROTECTION 14.2	\$61.00	\$122.00
2	*ADOBE ACROBAT STANDARD XI	\$412.00	\$824.00
2	WINDOWS 7 ENTERPRISE - UPGRADE	\$287.00	\$574.00
	MISCELLANEOUS COMPONENTS		
4	DELKIN USB MEDIA - BITLOCKER	\$33.00	\$132.00

Modoc (mc)



11208 JOHN GALT BLVD
OMAHA, NE 68137-2364
(402) 593-0101

Sales Order Agreement

Customer P.O. #: _____

1st Election Date: To be Agreed Upon by the Parties

Estimated Delivery Date: To be Agreed Upon by the Parties

Phone Number: (530) 233-6207

Fax Number: _____

Customer Contact, Title: Stephanie Wellemeyer

Customer Name: Modoc County, California

Type of Sale: NEW

Type of Equip: NEW REFURBISHED

Bill To: _____
Modoc County, California
Stephanie Wellemeyer
108 E. Modoc Street
Alturas, CA 96101

Ship To: _____
Modoc County, California
Stephanie Wellemeyer
204 S. Court Street
Alturas, CA 96101

Item Description Qty Price Total

Item	Description	Qty	Price	Total
1	DS200 Model DS200 Scanner with Internal Backup Battery, Plastic Ballot Box with Steel Door and e-Bin, Paper Roll, and One (1) Standard 4GB Memory Device	9	\$5,750.00	\$51,750.00
2	DS200 Soft-Sided Nylon Case	9	\$110.00	\$990.00
3	DS200 Standard 4GB Memory Device (Additional)	9	\$105.00	\$945.00
4	DS200 Paper Roll (Additional)	40	\$1.75	\$70.00
5	DS450 Model DS450 High Speed Digital Image Scanner with Steel Table, Reports Printer, Audit Printer, Start-Up Kit, Dust Cover, Battery Backup, Two (2) USB Cables, and Two (2) Standard 8GB Memory Devices	1	\$49,950.00	\$49,950.00
6	DS450 DS450 Cart Shelf Kit	1	\$200.00	\$200.00
7	DS450 Standard 8GB Memory Device (Additional)	1	\$210.00	\$210.00
8	ExpressVote BMD ExpressVote BMD Terminal with Internal Backup Battery, ADA Keypad, Headphones, Power Supply with AC Cord, and One (1) Standard 4GB Memory Device	9	\$3,325.00	\$29,925.00
9	ExpressVote BMD Soft-Sided Carrying Case	9	\$175.00	\$1,575.00
10	ExpressVote BMD Privacy Canopy	9	\$39.95	\$359.55
11	ExpressVote BMD ExpressVote Printer	2	\$725.00	\$1,450.00
12	Software ElectionWare Software - PYO Standard	1	\$15,500.00	\$15,500.00
13	Software ExpressLink Software	1	\$2,035.00	\$2,035.00
14	DS200 Equipment Installation	9	\$115.00	\$1,035.00
15	DS450 Equipment Installation	1	\$1,925.00	\$1,925.00
16	ExpressVote BMD Equipment Installation	9	\$105.00	\$945.00
17	Services Project Management Day	5	\$1,700.00	\$8,500.00
18	Services Equipment Operations Training Day	3	\$1,700.00	\$5,100.00
19	Services Poll Worker Train-the-Trainer Day	2	\$1,700.00	\$3,400.00

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2	OKI B432DN MONO LASER DUPLEX PRINTER - <i>Optional</i>	\$416.00	\$832.00
2	LD 6' USB 2.0 A-B CABLE,T,IVOTR,RTAL 6' USB CABLE	\$4.00	\$8.00
	SERVICES		
1	EMS NETWORK INSTALLATION ON CUSTOMER PREMISES <ul style="list-style-type: none"> • Installation activities of EMS network performed on customer premises by ES&S Technical Services technician. <ul style="list-style-type: none"> ○ ES&S Technical Services technician travels to customer location to complete physical installation and all related installation activities. ○ Includes the installation, configuration, and testing of EMS network connectivity. • Technical Services technician provides customer walkthrough of EMS network equipment while on-site. • EMS installation summary documentation provided to customer upon completion of installation. 	\$3,000.00	\$3,000.00
	Order Total		\$9,026.00

Invoicing and Payment Terms:

100% of Order Total Due Thirty (30) Calendar Days after the later of (a) Equipment Delivery, or (b) Receipt of corresponding ES&S Invoice.

Note 1: Pricing of purchase order is valid for 30 days due to fluctuating pricing in 3rd party hardware and software. Agreements will need to be updated if not executed within 30 days.

Note 2: In no event shall Customer's payment obligations hereunder, or the due dates for such payments, be contingent or conditional upon Customer's receipt of federal and/or state funds.

Note 3: Any applicable (City & State) sales taxes have not been included in pricing and are the responsibility of the customer.

Note 4: Shipping and Handling is not included in the Order Total and will be invoiced separately.

Note 5: Network Cabling is not included.

Customer acknowledges that ES&S is purchasing the third party items set forth herein ("Third Party Items") for resale to Customer, and that the proprietary and intellectual property rights to the Third Party Items are owned by parties other than ES & S ("Third Parties"). Customer further acknowledges that except for the payment to ES& S for the Third Party Items, all of its rights and obligations with respect thereto flow from and to the Third Parties. ES&S shall provide Customer with copies of all documentation and warranties for the Third Party Items which are provided to ES & S.

Customer Signature

Date

Title

MODOC

Sales Order Agreement

20	Services	Software Training Day	5	\$1,700.00	\$8,500.00
21	Services	Election On-Site Support Event	1	\$4,675.00	\$4,675.00
22	Trade-In Allowance	Equipment Being Traded-In by Customer Includes: 10 - AccuVote OS Scanner with Ballot Box 8 - AccuVote TSX Terminal	1	(\$1,600.00)	(\$1,600.00)
23	Shipping	Shipping & Handling	1	\$2,150.00	\$2,150.00
				Order Total	\$ 189,589.55

Freight Billable: yes no

Chelsea Machado
Regional Sales Manager

Customer Signature Date

V.P. of Finance Date

Title

Trade-In Equipment:
ES&S will coordinate and pay for the pickup and transportation of the trade-in equipment from Customer's site on a date to be mutually agreed upon by the parties. ES&S is responsible for preparing, packaging and palletizing the trade-in equipment for shipment.

Payment Terms

\$94,794.77 of Order Total will be invoiced upon Contract Execution.

\$94,794.78 of Order Total will be invoiced as Tabulation, BMD Equipment and Software are provided to Customer.

Invoices are due net 30 from invoice date.

Note 1: Any applicable state and local taxes are not included, and are the responsibility of the Customer.

Note 2: In no event shall Customer's payment obligations hereunder, or the due dates for such payments, be contingent or conditional upon Customer's receipt of federal and/or state funds.

Warranty Period (Years): One (1) Year From Equipment Delivery

Hardware Maintenance and Software License, Maintenance and Support Services (Post-Warranty Period)
The terms, conditions, and pricing for the Hardware Maintenance and Software License, Maintenance and Support Services (Post-Warranty Period) are set forth in Exhibit A attached hereto.

SEE GENERAL TERMS AND CONDITIONS

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**Schedule A1
Pricing Summary**

Summary:		
Description	Refer To	Amount
ES&S Hardware Maintenance Fees	ES&S Hardware Maintenance Description and Fees Below	\$16,425.00
ES&S Software License, Maintenance and Support Fees	ES&S Software License, Maintenance and Support Description and Fees Below	\$52,605.00
ES&S Firmware License, Maintenance and Support Fees	ES&S Firmware License, Maintenance and Support Description and Fees Below	\$8,640.00
Total Maintenance Fees for the Initial Term:		\$77,670.00
Payment Terms: ES&S shall Invoice Customer annually for each year of the Initial Term. Payment is due before the start of each period within the Initial Term.		
Terms & Conditions:		
Note 1: Any applicable state and local taxes are not included, and are the responsibility of Customer.		

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ES&S HARDWARE MAINTENANCE DESCRIPTION AND FEES

Initial Term: Expiration of the Warranty Period through the **third** anniversary thereof

Qty	Description	Coverage Period	Annual Maintenance Fee Per Unit	Maintenance Fee In Total
9	Model DS200 Scanner	Year 1	\$185.00	\$1,665.00
1	Model DS450 Scanner	Year 1	\$2,730.00	\$2,730.00
9	ExpressVote BMD Terminal	Year 1	\$120.00	\$1,080.00
Total Maintenance Fees for Year 1				\$5,475.00
<hr/>				
9	Model DS200 Scanner	Year 2	\$185.00	\$1,665.00
1	Model DS450 Scanner	Year 2	\$2,730.00	\$2,730.00
9	ExpressVote BMD Terminal	Year 2	\$120.00	\$1,080.00
Total Maintenance Fees for Year 2				\$5,475.00
<hr/>				
9	Model DS200 Scanner	Year 3	\$185.00	\$1,665.00
1	Model DS450 Scanner	Year 3	\$2,730.00	\$2,730.00
9	ExpressVote BMD Terminal	Year 3	\$120.00	\$1,080.00
Total Maintenance Fees for Year 3				\$5,475.00
Total Hardware Maintenance Fees for the Initial Term				\$16,425.00

Note 1: The Per-Unit Fees if Customer requests more than one Routine Maintenance visit in a 12-month period shall be 55% of the then current maintenance fee per unit.

Note 2: Surcharge for Emergency Repair Services shall be the daily maintenance service rate in effect at the time such service is requested.

Note 3: Customer's Designated Location: Modoc County, California

Note 4: The Per Unit Surcharge for performance of Routine Maintenance visit at more than one Customer Designated Location shall be \$25.00 per unit for all units located at second or more locations.

Hardware Maintenance Services Provided by ES&S Under this Schedule A1

1. Telephone Support.
2. Issue Resolution.
3. Technical Bulletins will be available through Customer's ES&S Web-based portal.
4. Routine Maintenance Services.

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- Onsite scheduled maintenance inspection per Article II, Section 1(a). The Inspection includes:
 - Service performed by an ES&S trained and certified technician.
 - Performance of factory approved diagnostics on the unit, identifying and making adjustments where necessary as indicated by the testing.
 - Replacement of worn or defective parts with new or remanufactured federally and state certified parts.
 - Conducting a final test to verify that the unit is working according to manufacturer's specifications.
 - Use of a checklist tailored for each piece of ES&S Equipment.

5. Repair Services.

- Customer will receive coverage for interim repair calls.
 - Interim repair calls may be provided during a scheduled Routine Maintenance Services event or scheduled in conjunction with other service work being performed in close proximity to Customer's location if such repairs are not election critical.
 - A Product may be sent to ES&S' Depot location for repairs at a time to be mutually agreed upon by ES&S and Customer.

6. Priority Services.

- Customer has access to the ES&S Help Desk for assistance.
- The customer receives priority on service calls.
- The customer receives priority on response time.
- The customer receives priority on certified ES&S parts inventory.

Note: Except for those Hardware Maintenance Services specifically set forth herein, ES&S is under no obligation and shall not provide other Hardware Maintenance Services to the Customer unless previously agreed upon in writing by the parties.

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**ES&S SOFTWARE LICENSE, MAINTENANCE AND SUPPORT DESCRIPTION AND FEES
SOFTWARE**

Initial Term: Expiration of the Warranty Period through the third anniversary thereof

Listed below is the Software and Fees for which Software License, Maintenance and Support will be provided:

Qty	Description	Coverage Period	Software License, Maintenance and Support Fee In Total
1	ElectionWare Software – PYO Standard	Year 1	\$15,500.00
1	ExpressLink Software	Year 1	\$2,035.00
Total License, Maintenance and Support Fees for Year 1			\$17,535.00
1	ElectionWare Software – PYO Standard	Year 2	\$15,500.00
1	ExpressLink Software	Year 2	\$2,035.00
Total License, Maintenance and Support Fees for Year 2			\$17,535.00
1	ElectionWare Software – PYO Standard	Year 3	\$15,500.00
1	ExpressLink Software	Year 3	\$2,035.00
Total License, Maintenance and Support Fees for Year 3			\$17,535.00
Total Software License, Maintenance and Support Fees for the Initial Term			\$52,605.00

**ES&S SOFTWARE LICENSE, MAINTENANCE AND SUPPORT DESCRIPTION AND FEES
FIRMWARE**

Initial Term: Expiration of the Warranty Period through the third anniversary thereof

Listed below are the Hardware Products and Fees for which Firmware License, Maintenance and Support will be provided:

Qty	Description	Coverage Period	Annual Firmware License, Maintenance and Support Fee Per Unit	Firmware License, Maintenance and Support Fee In Total
9	Model DS200 Scanner	Year 1	\$80.00	\$720.00
1	Model DS450 Scanner	Year 1	\$1,575.00	\$1,575.00
9	ExpressVote BMD Terminal	Year 1	\$65.00	\$585.00
Total License, Maintenance and Support Fees for Year 1				\$2,880.00
9	Model DS200 Scanner	Year 2	\$80.00	\$720.00
1	Model DS450 Scanner	Year 2	\$1,575.00	\$1,575.00

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Qty	Description	Coverage Period	Annual Firmware License, Maintenance and Support Fee Per Unit	Firmware License, Maintenance and Support Fee In Total
9	ExpressVote BMD Terminal	Year 2	\$65.00	\$585.00
Total License, Maintenance and Support Fees for Year 2				\$2,880.00
9	Model DS200 Scanner	Year 3	\$80.00	\$720.00
1	Model DS450 Scanner	Year 3	\$1,575.00	\$1,575.00
9	ExpressVote BMD Terminal	Year 3	\$65.00	\$585.00
Total License, Maintenance and Support Fees for Year 3				\$2,880.00
Total Firmware License, Maintenance and Support Fees for the Initial Term				\$8,640.00

Software License, Maintenance and Support Services Provided by ES&S under the Agreement

1. Telephone Support.
2. Issue Resolution.
3. Technical Bulletins will be available through Customer's ES&S Web-based portal.

Note: Except for those Software License, Maintenance and Support services specifically set forth herein, ES&S is under no obligation and shall not provide other Software License, Maintenance and Support services to the Customer unless previously agreed upon by the parties.

Software License, Maintenance and Support and Hardware Maintenance and Support Services – Customer Responsibilities

1. Customer shall have completed a full software training session for each product selected.
 - Customer shall have completed training at a proficiency level to successfully use the hardware (firmware) and software products.
 - Customer shall have the ability to install firmware and application software and make changes to date and time settings.
 - Customer shall have the ability to change consumable items. Any other changes made by the customer must be pre-approved in writing by ES&S.
2. Customer shall have reviewed a complete set of User Manuals.
3. Customer shall be responsible for the installation and integration of any third-party hardware or software application or system purchased by the Customer, unless otherwise agreed upon, in writing, by the parties.
4. Customer shall be responsible for data extraction from Customer voter registration system.
5. Customer shall be responsible for implementation of any security protocols physical, network or otherwise which are necessary for the proper operation of the ES&S Equipment and ES&S Software.

Julie M Bustamante
COUNTY CLERK-RECORDER
REGISTRAR OF VOTERS
CLERK FOR THE BOARD OF
SUPERVISORS



220 S Lassen St Suite 5
Susanville CA 96130
(530) 251-8217
FAX (530) 257-3480
Email lcclerk@co.lassen.ca.us
Website www.lassencounty.org

Office of the County Clerk-Recorder

*Lassen County Courthouse
Susanville CA 96130*

Lassen County Board of Supervisors
221 S Roop St.
Susanville, CA 96130

June 11, 2019

Dear Chairman Hemphill,

I respectfully request the Board of Supervisors to adopt the attached Resolution Authorizing the County to Enter into an Agreement with the California Secretary of State for State Funds to be used for Voting System Modernization Replacement.

On February 27, 2019 Secretary of State Padilla issued a Notice of Withdrawal of Certification of the Global Election Management System (GEMS) Voting System Lassen County currently uses, and has used for the past nineteen years, to conduct elections. Counties are required to replace their voting systems with systems that have passed the California Voting System Standards (CVSS).

The State of California 2018/19 Fiscal Year budget includes \$134 million for the purposes of upgrading or replacing aging voting systems. Lassen County is eligible for up to \$107,500 of these reimbursable state funds if we enter into an agreement with the state before the end of this fiscal year. The Standard Agreement must include a resolution authorizing the County Elections Officer (aka: Registrar of Voters) to conduct all negotiation, execute and submit all payments request, etc., which may be necessary for the completion of the projects.

The Resolution 12-017 adopted by the Board of Supervisors on March 27, 2012, referred to HAVA (Help America Vote Act) and/or federal funds cannot be accepted for this state funding.

Thank you for your consideration.

Best regards,


Julie M. Bustamante
Registrar of Voters

RESOLUTION NO. 19-028

RESOLUTION OF THE LASSEN COUNTY BOARD OF SUPERVISORS
AUTHORIZING THE COUNTY TO ENTER INTO AN AGREEMENT WITH THE CALIFORNIA
SECRETARY OF STATE FOR STATE FUNDS TO BE USED FOR VOTING SYSTEM
MODERNIZATION/REPLACEMENT

COUNTY OF LASSEN
California Secretary of State - Voting System Replacement Funds

WHEREAS, the California Secretary of State has the responsibility for the administration of funds allocated to California counties for the purpose of securing a voting system replacement.

WHEREAS, the California Secretary of State will provide the County of Lassen, as appropriated by Assembly Bill 1824, Chapter 38 (Stats. 2018), (Voting System Replacement Contracts), pursuant to California Election code sections 19400 and 19402, administered by the Secretary of State, with state funds in the amount of \$107,500 for reimbursement of voting system replacement activities.

WHEREAS, the Secretary of State has established procedures to require the County to certify by resolution the approval of its submission of the application to the Secretary of State;

NOW, THEREFORE, BE IT RESOLVED that the Lassen County Board of Supervisors:

1. Authorizes the County to enter into an Agreement with the California Secretary of State; and
2. Certifies the County understands the assurances and certification in the Application form; and
3. Certifies the County has reviewed and understands the Application and procedures; and
4. Appoints the County Elections Officer to conduct all negotiations, execute and submit all documents including, but not limited to Applications, State of California Standard Agreements, payment requests etc., which may be necessary for the completion of the projects.

I, the undersigned, hereby certify that the foregoing Resolution was adopted at a regular meeting of the Lassen County Board of Supervisors, held on the 11th day of June 2019 by the following vote:

Ayes:

Noes:

Abstain:

Absent:

Chair of the Lassen County Board of Supervisors

ATTEST:

JULIE BUSTAMANTE

Clerk of the Board of Supervisors

By: _____

Michele Yderraga, Deputy Clerk of the Board

I, Michele Yderraga, Deputy Clerk of the Board of Supervisors, County of Lassen Do hereby certify that at the foregoing resolution was adopted by the said Board of Supervisors at a regular meeting thereof held on the _____ day of _____, 20_____.

Deputy Clerk of the County of Lassen Board of Supervisors



ALEX PADILLA | SECRETARY OF STATE | STATE OF CALIFORNIA
1500 11th Street | Sacramento, CA 95814 | Tel 916.653.7244 | Fax 916.653.4620 | www.sos.ca.gov

August 29, 2018

County Clerk/Registrar of Voters (CC/ROV) Memorandum #18206

TO: All County Clerks/Registrars of Voters

FROM: /s/ Susan Lapsley
Deputy Secretary of State and Counsel

RE: New State and Federal Help America Vote Act (HAVA) Funding Available

New state and federal HAVA funding has been authorized by the state and federal governments to be used for election purposes. Currently, for fiscal year 2018-2019, the following funds will be made available to counties:

- \$134 million in state funds for voting system modernization;
- \$1.5 million in federal funds for costs associated with cyber security risks and associated infrastructure needs related to VoteCal; and
- \$1.5 million in federal funds for costs associated with polling place accessibility.

As with all previous funding, these county funds will be allocated through state contracts with the counties and be reimbursement based. Approval by your County Board of Supervisors along with the appropriate County Resolution will be required. Draft contracts will be sent at the end of September.

State Funds:

The Governor's fiscal year 2018-2019 state budget provides \$134 million for counties for the replacement of voting systems, including all tabulation equipment, accessible equipment, election management system software and hardware, electronic poll books and ballot on demand printers. This cost includes a one-time purchase of all the necessary hardware, software, peripherals and one year's worth of software licenses.

The \$134 million dollars will require that the county match funds for eligible expenditures on a dollar-for-dollar basis, up to the allocated amount on a reimbursement basis. See Assembly Bill 1824, Chapter 38 (Stats. 2018), which adds sections 19400 and 19402 to the Elections Code and specifies details around the funding.

Specifically:

- Counties may seek reimbursement for payments made pursuant to a purchase agreement, lease agreement, or other contract made after April 29, 2015.
- Counties may seek reimbursement where the county has spent matching county funds on voting system replacement activities on a dollar-for-dollar basis, up to the maximum amount of funds allocated for the contract.
- Reimbursable voting system replacement activities include reimbursement for:
 - New voting systems that have been certified pursuant to the California Voting System Standards.
 - Electronic poll books certified by the Secretary of State.
 - Ballot on demand systems certified by the Secretary of State.
 - Vote by mail ballot drop boxes that comply with any applicable regulations adopted by the Secretary of State.
 - Remote accessible vote by mail systems certified or conditionally approved by the Secretary of State.
 - Telecommunication technologies to facilitate electronic connection, for the purpose of voter registration, between polling places, vote centers, and the office of the county elections official or the Secretary of State's office.
 - Vote by mail ballot sorting and processing equipment.
 - Research and development of a new voting system using only nonproprietary software and firmware with disclosed source code that has not been certified or conditionally approved by the Secretary of State, but that would result in a voting system certified by the Secretary of State to comply with the California Voting System Standards.
 - Manufacture of voting system units reasonably necessary for either of the purposes described in Elections Code sections 19209 to 19214.

The funding for each county for voting system replacement was approved and set through the fiscal year 2018-2019 budget process. The amount allocated for each county is fixed and was based on a variety of factors and assumptions, including such things as county size, number of precincts, and voting equipment needs. See Attachment A for the breakdown by county for these funds. Reimbursement will be allowed for qualifying payments made pursuant to a purchase agreement, lease agreement, or other contract made after April 29, 2015, through June 2021.

Federal Funds:

The United States Congress passed and the President signed the Consolidated Appropriations Act of 2018 which appropriated \$380 million dollars in 2018 HAVA Election Security Fund grants for election security. The U.S. Election Assistance Commission (EAC) awarded California \$34,558,874, to assist with activities to improve the administration of elections for Federal office. The EAC has identified several areas of suggested use primarily focused around cybersecurity. The Secretary of State has submitted our plan for the \$34 million, which will help address cyber security risks, polling place and vote center accessibility, capital costs, infrastructure needs, and

equipment costs associated with vote center implementation, and efforts associated with risk limiting audits, including by enhancing election technology and making election security improvements, as authorized under Section 101 of HAVA. See Attachment B for the Secretary of State's plan for utilizing the funding.

For fiscal year 2018-2019 the following federal funding for counties has been authorized.

- \$1.5 million for county support for Cyber Security related to VoteCal associated with cyber security risks and associated infrastructure needs related to VoteCal.
- \$1.5 million for county support for Polling Place Accessibility and Improving the Administration of Elections.

This funding does not require a county match. See Attachment A for the breakdown by county for these funds. Reimbursement will be allowed for qualifying payments made through June 2019.

Kathryn Chaney (KC) will be the contact for the contract process for all of these funds. Any questions you have about the status of your contract or reimbursement claims should be directed to Ms. Chaney. She can be reached by telephone at (916) 695-1657 or by email kchaney@sos.ca.gov.

Attachment A

County Allocation of FY 18-19 Federal and State Funding

County	Cyber Security	Polling Place Accessibility	Voting System Replacement
	<ul style="list-style-type: none"> Federal HAVA Funds No county match required. 	<ul style="list-style-type: none"> Federal HAVA Funds No county match required. 	<ul style="list-style-type: none"> State Funds Dollar-for-dollar county match required.
Alameda	\$ 55,000.00	\$ 55,000.00	\$ 5,621,500.00
Alpine	\$ 10,000.00	\$ 10,000.00	\$ 50,000.00
Amador	\$ 10,000.00	\$ 10,000.00	\$ 173,000.00
Butte	\$ 25,000.00	\$ 25,000.00	\$ 821,500.00
Calaveras	\$ 10,000.00	\$ 10,000.00	\$ 199,500.00
Colusa	\$ 10,000.00	\$ 10,000.00	\$ 152,500.00
Contra Costa	\$ 55,000.00	\$ 55,000.00	\$ 3,647,000.00
Del Norte	\$ 10,000.00	\$ 10,000.00	\$ 190,500.00
El Dorado	\$ 25,000.00	\$ 25,000.00	\$ 757,000.00
Fresno	\$ 25,000.00	\$ 25,000.00	\$ 2,886,500.00
Glenn	\$ 10,000.00	\$ 10,000.00	\$ 253,000.00
Humboldt	\$ 25,000.00	\$ 25,000.00	\$ 542,000.00
Imperial	\$ 25,000.00	\$ 25,000.00	\$ 419,500.00
Inyo	\$ 10,000.00	\$ 10,000.00	\$ 73,500.00
Kern	\$ 25,000.00	\$ 25,000.00	\$ 2,291,000.00
Kings	\$ 25,000.00	\$ 25,000.00	\$ 341,500.00
Lake	\$ 10,000.00	\$ 10,000.00	\$ 246,000.00
* Lassen	\$ 10,000.00	\$ 10,000.00	\$ 107,500.00
Los Angeles	\$ 200,000.00	\$ 200,000.00	\$ 43,128,000.00
Madera	\$ 25,000.00	\$ 25,000.00	\$ 378,000.00
Marin	\$ 25,000.00	\$ 25,000.00	\$ 1,038,000.00
Mariposa	\$ 10,000.00	\$ 10,000.00	\$ 207,000.00
Mendocino	\$ 10,000.00	\$ 10,000.00	\$ 337,500.00
Merced	\$ 25,000.00	\$ 25,000.00	\$ 657,000.00
Modoc	\$ 10,000.00	\$ 10,000.00	\$ 145,000.00
Mono	\$ 10,000.00	\$ 10,000.00	\$ 116,000.00
Monterey	\$ 25,000.00	\$ 25,000.00	\$ 1,160,500.00
Napa	\$ 25,000.00	\$ 25,000.00	\$ 499,500.00
Nevada	\$ 25,000.00	\$ 25,000.00	\$ 449,500.00
Orange	\$ 55,000.00	\$ 55,000.00	\$ 9,823,000.00

CCROV 18206

New State and Help America Vote Act (HAVA) Funding Available

Page 5

Placer	\$ 25,000.00	\$ 25,000.00	\$ 1,410,500.00
Plumas	\$ 10,000.00	\$ 10,000.00	\$ 206,500.00
Riverside	\$ 55,000.00	\$ 55,000.00	\$ 6,156,500.00
Sacramento	\$ 55,000.00	\$ 55,000.00	\$ 4,714,500.00
San Benito	\$ 10,000.00	\$ 10,000.00	\$ 348,500.00
San Bernardino	\$ 55,000.00	\$ 55,000.00	\$ 5,903,000.00
San Diego	\$ 55,000.00	\$ 55,000.00	\$ 10,685,000.00
San Francisco	\$ 25,000.00	\$ 25,000.00	\$ 3,011,500.00
San Joaquin	\$ 25,000.00	\$ 25,000.00	\$ 2,060,500.00
San Luis Obispo	\$ 25,000.00	\$ 25,000.00	\$ 1,043,000.00
San Mateo	\$ 25,000.00	\$ 25,000.00	\$ 2,474,000.00
Santa Barbara	\$ 25,000.00	\$ 25,000.00	\$ 1,357,500.00
Santa Clara	\$ 55,000.00	\$ 55,000.00	\$ 5,572,000.00
Santa Cruz	\$ 25,000.00	\$ 25,000.00	\$ 1,009,500.00
Shasta	\$ 25,000.00	\$ 25,000.00	\$ 686,500.00
Sierra	\$ 10,000.00	\$ 10,000.00	\$ 43,000.00
Siskiyou	\$ 10,000.00	\$ 10,000.00	\$ 190,000.00
Solano	\$ 25,000.00	\$ 25,000.00	\$ 1,409,500.00
Sonoma	\$ 25,000.00	\$ 25,000.00	\$ 1,681,000.00
Stanislaus	\$ 25,000.00	\$ 25,000.00	\$ 1,500,500.00
Sutter	\$ 10,000.00	\$ 10,000.00	\$ 313,000.00
Tehama	\$ 10,000.00	\$ 10,000.00	\$ 438,000.00
Trinity	\$ 10,000.00	\$ 10,000.00	\$ 169,000.00
Tulare	\$ 25,000.00	\$ 25,000.00	\$ 958,000.00
Tuolumne	\$ 10,000.00	\$ 10,000.00	\$ 209,000.00
Ventra	\$ 25,000.00	\$ 25,000.00	\$ 3,008,000.00
Yolo	\$ 25,000.00	\$ 25,000.00	\$ 699,500.00
Yuba	\$ 10,000.00	\$ 10,000.00	\$ 378,000.00
	<u>\$ 1,535,000.00</u>	<u>\$ 1,535,000.00</u>	<u>\$ 134,347,500.00</u>

Attachment B

**California Secretary of State HAVA Funding Narrative
Submitted to and Approved by the Election Assistance Commission July 2018**

\$1,564,000 – Fiscal Year 2018-2019

County Support for Cyber Security related to VoteCal

Support of county efforts associated with cyber security risks and associated infrastructure needs related to VoteCal.

\$1,564,000 – Fiscal Year FY 2018-2019

County Support for Polling Place Accessibility and Improving the Administration of Elections

Support of county efforts associated with polling place accessibility and improving the administration of elections.

\$20,000,000 – Fiscal Years 2018-2019, 2019-2020

County Support for Vote Center Implementation

County support for capital costs, infrastructure needs, and equipment costs associated with vote center implementation.

\$3,000,000 – Fiscal Year 2019-2020

County Support for Cyber Security

County support for efforts associated with cyber security risks and associated infrastructure needs.

\$4,000,000 – Fiscal Years 2019-2020, 2020-2021

VoteCal Security Enhancements

VoteCal technical and security enhancements.

\$3,000,000 – Fiscal Years 2019-2020, 2020-2021

County Cyber Security Training

Development of security training curriculum and training of counties annually.

\$400,000 – Fiscal Years 2019-2020, 2020-2021

Election Auditing

County support for county efforts associated with risk limiting audits.

\$1,030,874 – Fiscal Years 2018-2019, 2019-2020, 2020-2021

Personnel Costs

Secretary of State indirect costs including: personal services costs of administrative, supervisory, and executive staff; personal services costs of support units, including accounting, internal audits, legal, information technology, clerical support, etc.

Total: \$34,558,874

STATE OF CALIFORNIA
STANDARD AGREEMENT
 STD 213 (Rev 06/03)

AGREEMENT NUMBER 16G30109
REGISTRATION NUMBER

1. This Agreement is entered into between the State Agency and the Contractor named below:

STATE AGENCY'S NAME

Secretary of State

CONTRACTOR'S NAME

Lassen County

2. The term of this Agreement is: July 1, 2016, or upon approval by Dept. of General Service, if required, whichever is later **through** June 30, 2021

3. The maximum amount of this Agreement is: \$132,861.64
 One hundred thirty-two thousand, eight hundred sixty-one dollars and sixty-four cents

4. The parties agree to comply with the terms and conditions of the following exhibits which are by this reference made a part of the Agreement.

Exhibit A – Scope of Work	4 pages
Exhibit B – Budget Detail and Payment Provisions	3 pages
Exhibit C* – General Terms and Conditions	GTC-610
Check mark one item below as Exhibit D:	
<input checked="" type="checkbox"/> Exhibit - D Special Terms and Conditions (Attached hereto as part of this agreement)	3 pages
<input type="checkbox"/> Exhibit - D* Special Terms and Conditions	
Exhibit E – Additional Provisions	2 pages
Exhibit F – County Resolution	2 Page(s)
Exhibit G – Contractor HAVA Activity Report	1 pages

Items shown with an Asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto.
 These documents can be viewed at www.ols.dgs.ca.gov/Standard+Language

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR

CONTRACTOR'S NAME (if other than an individual, state whether a corporation, partnership, etc.)

Lassen County

BY (Authorized Signature)



DATE SIGNED(Do not type)

7-8-2016

PRINTED NAME AND TITLE OF PERSON SIGNING

Julie M. Bustamante, Lassen County Clerk-Recorder

ADDRESS

220 S. Lassen Street Suite 5
 Susanville, CA 96130

STATE OF CALIFORNIA

AGENCY NAME

Secretary of State

BY (Authorized Signature)



DATE SIGNED(Do not type)

8/11/2016

PRINTED NAME AND TITLE OF PERSON SIGNING

Cindy Hanneman, Chief, Management Services

ADDRESS

1500 11th Street, Sacramento, CA 95814

California Department of General Services Use Only

APPROVED

SEP 23 2016

OFFICE OF LEGAL SERVICES
 DEPT. OF GENERAL SERVICES





ALEX PADILLA | SECRETARY OF STATE | STATE OF CALIFORNIA
MANAGEMENT SERVICES | CONTRACT SERVICES

1500 11th Street, Room 460 | Sacramento, CA 95814 | Tel 916.653.5974 | Fax 916.653.8324 | www.sos.ca.gov

November 20, 2019

RECEIVED
Lassen County
Registrar of Voters

DEC -2 2019

Lassen County
Attn: **Julie Bustamante**
220 S. Lassen Street, Suite 5
Susanville, CA 96130

MAIL COUNTER
Agency Received From: _____

Subject: Contract Number **18G30118 AMDT. #01 (Voting System Replacement)**

Please see the marked instruction/information below. If requested, please complete the following marked item(s) and return to the address stated below within ten (10) business days*:

- STD. 213, Standard Agreement with attached Exhibits. Please acquire the appropriate original signature on the first page of the STD. 213, and the additional three single STD. 213's and return the originally signed agreement package to the address above. Please Note: Fax, photocopies and signature stamps are not acceptable. Therefore, please make sure the STD. 213's have been originally signed. A fully executed copy will be returned to you once the contract execution has been completed.
- STD. 213A, Standard Agreement Amendment with attached NEW Exhibit. Please acquire the appropriate signature for the first page of the STD. 213A and the additional three (3) single STD. 213A's. Please Note: Fax, photocopies and signature stamps are not acceptable. Therefore, please make sure all four (4) of the STD. 213A's have been originally signed. A fully executed copy will be returned to you once the contract execution has been completed. Please return the signed agreement amendment package to the following address (not returning the package this address will delay processing):

Attn: Contract Services Unit
Secretary of State
1500 11th Street, Room 460
Sacramento, CA 95814

***IMPORTANT:** If the signed agreement amendment package is not returned on or before June 1, 2020, this amendment may not be executed.

- Executed copy for your records.**
- Along with the above referenced STD. 213A agreement amendment package, please submit a copy of the resolution, order, motion, or ordinance of your local governing body (whichever is applicable for your County office), which by law has granted the authority to enter into the proposed contract amendment, authorizing execution of the agreement.

Please Note: If the resolution (or other applicable document stated above) submitted with the originally executed contract also provides for the authority to enter into contract amendments, and DOES NOT INCLUDE any specific original contract dollar amount or contract term information, a copy of that same resolution (or other applicable document) should be sufficient for processing this agreement amendment request. Otherwise, a new resolution (or other applicable document) will need to be submitted accordingly. Please direct questions regarding this to the SOS Project Contact in your originally executed contract (Exhibit A – Scope of Work, Section C.).

CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 1 Pages

AGREEMENT NUMBER 18G30118	AMENDMENT NUMBER 01
REGISTRATION NUMBER:	

- This Agreement is entered into between the State Agency and Contractor named below:
 STATE AGENCY'S NAME
Secretary of State
 CONTRACTOR'S NAME
Lassen County
- The term of this Agreement is: February 1, 2019, or upon approval by Dept. of General Services, if required, whichever is later through June 30, 2022
- The maximum amount of this Agreement after this amendment is: **\$ 174,264.00**
 One hundred seventy-four thousand two hundred sixty-four dollars and zero cents
- The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:
 - The amount of the Agreement is hereby increased by \$ 66,764.00 and shall not exceed \$ 174,264.00, which includes \$ 13,014.00 for election management system (EMS) specific funds.
 - The term of the Agreement is hereby extended through June 30, 2022.
 - Exhibit A – Scope of Work, Section E. Use of Funds. Item 9., is hereby added to the Agreement, as stated below:
9. The replacement or enhancement of a county Election Management System (EMS), used by the county to track voter registration or voter preference, including for example, a voter's vote-by-mail status. This shall not include maintenance and operations (M&O) expenses.
 - Exhibit B – Budget Detail and Payment Provisions, Item 7. Retroactive Payments, is hereby replaced in its entirety, as stated below:
7. Retroactive Payments
Counties may claim reimbursement for expenses and activities permissible under the terms of this Agreement that occur after April 29, 2015 and before June 30, 2022.
 - Exhibit D – Special Terms and Conditions, Section A. Auditing, Item 1., is hereby replaced in its entirety, as stated below:
 - Receipt of Voting System Replacement funds by County indicates agreement, to be reimbursed by the Secretary of State, by first providing matching funds spent on voting system replacement activities described in Exhibit A – Scope of Work, Section E – Use of Funds as follows:
 - Counties with 50 or fewer precincts will require no match of funds.
 - Counties with 51 or more precincts will require 3:1 or 75%:25% match of funds.
 - Exhibit B-1 – Funding Detail, is hereby added to the Agreement and attached hereto.

Except as stated herein, all other terms and conditions shall remain the same.

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR

CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.)

Lassen County

BY (Authorized Signature)

[Signature]

DATE SIGNED (Do not type)

10-03-2019

PRINTED NAME AND TITLE OF PERSON SIGNING

Julie Bustamante, County Clerk-Recorder

ADDRESS

220 S. Lassen Street, Suite 5
 Susanville, CA 96130

STATE OF CALIFORNIA

AGENCY NAME

Secretary of State

BY (Authorized Signature)

[Signature]

DATE SIGNED (Do not type)

10/22/19

PRINTED NAME AND TITLE OF PERSON SIGNING

Cindy Halverstadt, Chief, Management Services Division

ADDRESS

1500 11th Street, Sacramento, CA 95814

CALIFORNIA
 Department of General Services
 Use Only



[Signature]

EXHIBIT B-1
(Standard Agreement)

FUNDING DETAIL

The allotted contract amount with both fiscal year (FY) allotments are not to exceed a total contract budget of **\$ 174,264.00** as shown in the table below:

Total Budget	
FY 18/19 – Existing Contract Amount for replacement/upgrade to County voting systems	\$ 107,500.00
FY 19/20 – Additional Funding for replacement/upgrade to County voting systems	\$ 53,750.00
FY 19/20 – Additional funding specifically for election management system (EMS) replacement	\$ 13,014.00
New Contract Amount	\$ 174,264.00

ES+S Timeline

ID	Task Name	Start	Finish	ES&S	County
1	Project Initiation & Management				
1.1	Contract Signing	Monday, May 4, 2020	Monday, May 11, 2020	ES&S	County
1.2	Order Received	Monday, May 4, 2020	Monday, May 4, 2020	ES&S	County
1.3	Notification of Order to Affected Teams	Tuesday, May 5, 2020	Tuesday, May 5, 2020	ES&S	
1.4	Kickoff/Initial Planning Meeting	Wednesday, May 6, 2020	Wednesday, May 6, 2020	ES&S	
1.5	Finalize Implementation Plan	Thursday, May 7, 2020	Thursday, May 7, 2020	ES&S	County
2	Equipment Delivery	Monday, May 11, 2020	Monday, May 11, 2020	ES&S	County
2.1	Tabulation Hardware	Monday, June 1, 2020	Tuesday, June 16, 2020		
2.1.1	Stage & Prepare Tabulation Hardware for Shipment	Monday, May 25, 2020	Monday, June 1, 2020	ES&S	
2.1.2	Ship Tabulation Hardware to Customer	Monday, May 25, 2020	Thursday, May 28, 2020	ES&S	
2.1.3	On-site Delivery of Tabulation Hardware	Friday, May 29, 2020	Monday, June 1, 2020	ES&S	
2.1.4	Sign-off on Delivery	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	County
2.2	Election Management System	Monday, June 1, 2020	Monday, June 1, 2020		
2.2.1	Stage & Prepare EMS Workstation	Monday, May 25, 2020	Thursday, June 11, 2020	ES&S	
2.2.2	On-site Delivery of EMS Workstation	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	
2.2.3	Sign-off on Delivery	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	County
2.2.4	Installation of EMS	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	
2.3	Voting System Installation	Wednesday, June 10, 2020	Wednesday, June 24, 2020		
2.3.1	Schedule Voting System Installation Personnel	Wednesday, June 10, 2020	Friday, June 12, 2020	ES&S	
2.3.2	Installation of Tabulation Hardware	Monday, June 22, 2020	Wednesday, June 24, 2020	ES&S	
2.4	Acceptance Testing	Wednesday, June 24, 2020	Thursday, June 25, 2020		
2.4.1	Conduct Acceptance Testing on Voting Equipment	Wednesday, June 24, 2020	Thursday, June 25, 2020	ES&S	County
2.4.2	Sign-off on Acceptance Testing	Friday, June 26, 2020	Thursday, June 25, 2020	ES&S	County
3	Documentation	Monday, June 1, 2020	Monday, June 1, 2020		
3.1	Product Documentation Delivery - Portal	Monday, June 1, 2020	Monday, June 1, 2020		
3.1.1	Hardware Tabulation User Operations & Maintenance Manuals	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	County
3.1.2	EMS Software Use Operations Manual	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	County
3.2	Training Documentation	Monday, June 1, 2020	Monday, June 1, 2020		
3.2.1	Tabulation Hardware Pre-Election Day & Election Day	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	County
3.2.2	EMS Software Training	Monday, June 1, 2020	Monday, June 1, 2020	ES&S	County
4	Training	Monday, July 27, 2020	Tuesday, August 4, 2020		
4.1	EMS Software Training	Monday, July 27, 2020	Friday, July 31, 2020	ES&S	County
4.2	Tabulation Hardware Operations Training	Monday, August 3, 2020	Tuesday, August 4, 2020	ES&S	County
5	Pre-Election Testing	Monday, October 5, 2020	Thursday, October 8, 2020		
5.1	Perform Preliminary Logic & Accuracy Test	Monday, October 5, 2020	Wednesday, October 7, 2020	ES&S	County
5.2	Perform Public Accuracy Test	Thursday, October 8, 2020	Thursday, October 8, 2020		
6	Presidential Primary Election	Tuesday, November 3, 2020	Thursday, October 8, 2020		
6.1	Election Day	Tuesday, November 3, 2020	Tuesday, November 3, 2020	ES&S	County
6.2	Site Support	Monday, November 2, 2020	Wednesday, November 4, 2020	ES&S	