



# LASSEN COUNTY SHERIFF'S OFFICE

1415 Sheriff Cady Lane Susanville, CA 96130

**Administration**  
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Fax: (530) 251-2884

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Phone: (530) 257-6121  
Fax: (530) 257-9363

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**Adult Detention Facility**  
Phone: (530) 251-5245  
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**Dean F. Growdon**  
Sheriff - Coroner

To: Lassen County Board of Supervisors

From: Lieutenant Dave Woginrich

A handwritten signature in dark ink, appearing to read "D.F. Growdon", is written over the name "Lieutenant Dave Woginrich".

Date: October 6, 2020

Agenda Date: October 13, 2020

## Discussion:

The Lassen County Sheriff's Office is responsible for patrolling the navigable waterways in Lassen County. Commonly, the Lassen County Sheriff's Office conducts boat patrols on Eagle Lake. The boats that have been utilized to conduct these patrols are over 25 years old, are in a state of disrepair and unreliable. To assist in funding the purchase of a new boat, the Lassen County Sheriff's Office applied for a grant through the Department of Parks and Recreation Division of Boating and Waterways. On September 19, 2020, the Lassen County Sheriff's Office was selected and awarded the grant in the amount of \$155,000. Before utilizing this grant, the grant agreement must be signed by authorized representatives of both the Lassen County Sheriff's Office and the Division of Boating and Waterways. The Division of Boating and Waterways requires a resolution from the Board of Supervisors authorizing Sheriff Dean F. Growdon to sign and execute the grant.

Funding: No funding costs.

Recommendation: That the Board of Supervisors authorizes Sheriff Dean F. Growdon to sign and execute the grant.

RESOLUTION NO. \_\_\_\_\_

Authorization for Lassen County Sheriff Dean F. Growdon to sign and execute the Boating Safety and Enforcement Equipment Grant Agreement for Fiscal Year 2020-2021 (Grant #C20L0614).

WHEREAS it is the responsibility of the Lassen County Sheriff's Office to patrol the navigable waterways in Lassen County. Primarily, the Lassen County Sheriff's Office conducts boat patrols on Eagle Lake. The boats being used for these patrols are over 25 years old and are either in need of extensive repair or whole replacement.

WHEREAS the Lassen County Sheriff's Office applied for and was awarded the Boating Safety and Enforcement Equipment Grant in the amount of \$155,000 to replace one boat for boat patrol on Eagle Lake.

NOW, THEREFORE, BE IT RESOLVED AND ORDERED that Lassen County Sheriff Dean F. Growdon, or his designee, is hereby authorized and directed on behalf of the COUNTY OF LASSEN, a political subdivision of the State of California, to sign and execute the Boating Safety And Enforcement Equipment Grant Agreement for Fiscal Year 2020-2021 (Grant #C20L0614).

BE IT FURTHER RESOLVED that the Lassen County Board of Supervisors authorizes Lassen County Sheriff Dean F. Growdon, or his designee, to sign and execute the Boating Safety And Enforcement Equipment Grant Agreement for Fiscal Year 2020-2021.

This Resolution was adopted on the \_\_\_\_ day of October 2020, at a special meeting of the Lassen county Board of Supervisors in Susanville, California by the following vote:

AYES: \_\_\_\_\_

NOES: \_\_\_\_\_

ABSTAIN: \_\_\_\_\_

ABSENT: \_\_\_\_\_

\_\_\_\_\_  
CHAIRMAN OF THE BOARD OF SUPERVISORS  
COUNTY OF LASSEN, STATE OF CALIFORNIA

Resolution No. \_\_\_\_\_

ATTEST:

JULIE BUSTAMANTE

Clerk of the Board

BY: \_\_\_\_\_

Michele Yderraga, Deputy Clerk of the Board

I, MICHELE YDERRAGA, Deputy Clerk of the Board of the Board of Supervisors, County of Lassen, do hereby certify that the foregoing resolution was adopted by the said Board of Supervisors at a regular meeting thereof held on the \_\_\_\_\_ day of October, 2020.

\_\_\_\_\_  
Deputy Clerk of the County of Lassen County  
Board of Supervisors

State of California – Natural Resources Agency  
DEPARTMENT OF PARKS AND RECREATION  
DIVISION OF BOATING AND WATERWAYS

**GRANT AGREEMENT**

**GRANTEE:** Lassen County Sheriff's Department  
**GRANT TITLE:** Boating Safety and Enforcement Equipment Grant - FY 2020 / 21  
**GRANT NUMBER:** C20L0614  
**GRANT AMOUNT:** 155,000.00

**GRANT AGREEMENT TERM:** Date Fully Executed\* through fifteen (15) years.

**GRANT PERFORMANCE PERIOD:** Date Fully Executed\* through one (1) year.

The Grantee agrees to the terms and conditions of this contract, hereinafter referred to as Agreement, and the State of California, acting through its Director of the Department of Parks and Recreation, and pursuant to the State of California agrees to fund the total state grant amount indicated below. The GRANTEE agrees to complete the SCOPE OF WORK as defined in the Agreement.

Exhibit A Grant Terms and Conditions, Exhibit B General Terms and Conditions, Exhibit C Contractor Certification Clauses, Exhibit D Doing Business with California, Exhibit E Darfur Contracting Act, Exhibit F Recycled Content Certification, and Exhibit G Scope of Work/Budget (Application), are attached and made a part of and incorporated into the Grant Agreement.

This grant award is funded by the Sport Fish Restoration and Boating Trust Fund, administered by California Department of Parks and Recreation, Division of Boating and Waterways. The Federal FY 20 award, 3320FAS200106 to California; \$5,826,180.

Grantee: Lassen County Sheriff's Department Address: 1415 Sheriff Cady Lane, Susanville, CA 96130  Name of Authorized Representative:  Title of Authorized Representative:  Authorized Signature:  Date:  Name of Project Representative:  Phone:  Email:	Agency: Department of Parks and Recreation Division of Boating and Waterways  ATTN: Johanna Naughton  Address: One Capitol Mall, Suite 500 Sacramento, CA 95814  Authorized Signature:  Printed Name: Keren Dill Title: Staff Services Manager II  Date:
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**CERTIFICATE OF FUNDING  
(FOR STATE USE ONLY)**

**GRANTEE:** Lassen County Sheriff's Department

**THE TERM OF THIS AGREEMENT IS:** Date Fully Executed\* through fifteen (15) years.

**GRANT TITLE:** LAW ENFORCEMENT EQUIPMENT GRANT PROGRAM

**GRANT NUMBER:** C20L0614

**PURCHASE ORDER NUMBER:**

CONTRACT NO C20L0614	AMENDMENT NO	SUPPLIER ID 0000003046		PROJECT NO 379065600200
AMOUNT ENCUMBERED BY THIS DOCUMENT \$155,000.00	FUND DESCRIPTION Federal Trust Fund #0890		AGENCY BILLING CODE NO 032011	
REPORTING STRUCTURE 37900706	ITEM 3790-101-0890	CHAPTER 6	STATUTE 20	FISCAL YEAR 2020/21
BUSINESS UNIT 3790	INDEX 1706	OBJECT CODE 702	ACTIVITY CODE 68458	ACCOUNT 5432000
T.B.A. NO	<i>I hereby certify upon my own personal knowledge that the budgeted funds are available for this encumbrance.</i>			
B.R.NO	ACCOUNTING OFFICER'S SIGNATURE		DATE	

**BOATING SAFETY  
AND  
ENFORCEMENT ENFORCEMENT  
GRANT AGREEMENT**

**Lassen County Sheriff's Department  
C20L0614**



**State of California  
Department of Parks and Recreation  
Division of Boating and Waterways**

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**EXHIBIT A**  
**GRANT TERMS AND CONDITIONS**

**1. DEFINITIONS**

- A. **"DEPARTMENT"**: The Department of Parks and Recreation, Division of Boating and Waterways (DBW).
- B. **"EFFECTIVE DATE"**: The date the GRANT AGREEMENT face page is signed by the DEPARTMENT'S accounting officer.
- C. **"EQUIPMENT"**: Boating-specific equipment or other support equipment used to implement or conduct boating safety and boating law enforcement activities. EQUIPMENT may also include PATROL BOAT.
- D. **"GRANT"**: Funds provided by the DEPARTMENT, from the Federal Recreational Boating Safety (RBS) Grant, to the GRANTEE to finance all or part of the PURCHASE COSTS for items that assist in meeting the purposes of the Boating Safety Equipment and Enforcement (BSEE) and RBS programs.
- E. **"GRANT AGREEMENT"**: The contract to which these grant terms and conditions are attached.
- F. **"GRANTEE"**: The person or entity identified as the Grantee on the face page of the Agreement.
- G. **"GRANTEE FUNDS"**: Any funds provided by the GRANTEE for the purchase or operation and maintenance of the EQUIPMENT/PATROL BOAT.
- H. **"PATROL BOAT"**: A DEPARTMENT approved, heavy aluminum or fiberglass, equipped boat [with or without trailer and/or outboard motor] purchased for use in boating safety and law enforcement activities.
- I. **"PURCHASE COSTS"**: Those costs incurred by the GRANTEE in purchasing the EQUIPMENT/PATROL BOAT; such PURCHASE COSTS shall not include any operation or maintenance costs, nor any costs incurred prior to the EFFECTIVE DATE of this GRANT, nor any indirect or overhead costs claimed by the GRANTEE.

**2. GENERAL**

- A. This GRANT AGREEMENT shall not exceed the amount specified in this GRANT AGREEMENT and shall be used for the purchase of EQUIPMENT and/or PATROL BOAT for Boating Safety and Enforcement activities in accordance with all Exhibits incorporated and referenced herein.
- B. The term of this GRANT AGREEMENT shall begin on the EFFECTIVE DATE of the GRANT AGREEMENT and shall continue for FIFTEEN [15] YEARS from such date unless terminated in accordance with the terms and conditions of this GRANT AGREEMENT.
- C. No amendment or variation of the terms of this GRANT AGREEMENT shall be valid unless made in writing and signed by an authorized representative of the DEPARTMENT and the GRANTEE. Oral understandings are not binding on any of the parties.
- D. EQUIPMENT/PATROL BOAT purchase shall be completed no later than August 31, 2021.
- E. GRANTEE hereby certifies that the obligations created by this GRANT AGREEMENT



do not violate the provisions of Sections 1090 to 1096 of the Government Code.

- F. This GRANT AGREEMENT is not fully executed until signed by the last required signature which is the DEPARTMENT'S Accounting Officer. Grantee may not go out to bid until GRANT AGREEMENT is fully executed and equipment specifications and cost estimates have been approved in writing by the DEPARTMENT.
- G. GRANTEE hereby certifies that during the performance of this GRANT AGREEMENT, GRANTEE and any sub-grantees shall fully comply with State regulations regarding the implementation of Disabled Veteran business participation goals as set forth in **Disabled Veteran Business Enterprise Participation Requirements, Recycling Certification and, Contractor Certification Clauses.**
- H. GRANTEE shall continue with the responsibilities of this GRANT AGREEMENT during any dispute.
- I. GRANTEE acknowledges that failure to perform the duties and responsibilities under this grant may negatively impact the DEPARTMENT'S consideration of future grant applications for up to three (3) years.
- J. **Subvention agencies:** GRANTEES participating in the DEPARTMENT'S Subvention Financial Aid Program certifies that failure to fully spend subvention funding in the prior fiscal year will cause a negative impact in the DEPARTMENT'S consideration of future grant applications for up to three (3) years.
- K. **Annual Reports:** Grantee shall submit an Annual Report beginning August 31st, 2021 and each and every year by August 31 for the term of this agreement (15 years). Reports shall include maintenance records, number of hours BSEE equipment is used, additional equipment or modifications of any equipment or patrol boats, any loss or damage to equipment or patrol boat.
- L. GRANTEE is required to participate in at least one (1) outreach event per year, such as Operation Dry Water, to conduct boating under the influence (BUI) check-points and educate boaters on the importance of boating sober.
- M. GRANTEE shall submit accident reports to DBW within 30 days of responding to a boating accident in waterbodies within GRANTEE'S area of responsibility.
- N. GRANTEE, representatives, agents or employees shall not act or represent themselves as officers, employees or agents of the DEPARTMENT in the performance of this GRANT AGREEMENT.

**3. PAYMENT PROVISIONS AND DISBURSEMENT OF GRANT**

- A. Reimbursements must be requested on GRANTEE'S official agency letterhead and include:
- Amount requested in reimbursement
  - GRANT AGREEMENT number
  - Statement of acceptance of the vessel or equipment as meeting DBW's approved specifications
  - Certification that you complied with all procurement procedures outlined this agreement;
  - Name of payee and address where payment is to be sent
  - Location of performance (where the equipment will be used)
  - Entity's congressional district and DUNS
  - Signature of the person authorized in the resolution or minute order to

execute the agreement

- Copies of brand name, description, make, model and serial number
- Proof of payment for purchase including invoices showing cost and sales tax
- For all motorized vessels: Department of Motor Vehicle (DMV) proof showing the DEPARTMENT as the legal owner and GRANTEE as the registered owner
- Certificate of Origin for all PATROL BOATS and trailers.

- B. GRANTEE shall request grant reimbursements no later than September 30, 2021 by mailing one (1) complete reimbursement request package to:

DBW  
Attn: BSEE Grant Manager  
1 Capitol Mall #500  
Sacramento, CA 95814

Or by emailing (1) complete reimbursement request to the DBW assigned grant manager.

- C. No funds shall be reimbursed for purchases made prior to the EFFECTIVE DATE of this agreement.
- D. The DEPARTMENT shall have no obligation to disburse the GRANT unless and until the GRANTEE obtains the prior written approval by the DEPARTMENT of the type and cost of the EQUIPMENT/PATROL BOAT.
- E. The DEPARTMENT will disperse the grant to the GRANTEE in arrears for the approved PURCHASE COST of the EQUIPMENT/PATROL BOAT
- F. The DEPARTMENT may withhold any grant disbursement if the GRANTEE fails to comply with any of the provisions of this GRANT AGREEMENT.

**4. EQUIPMENT/PATROL BOAT OWNERSHIP**

The DEPARTMENT shall be the legal owner of the EQUIPMENT/PATROL BOAT. The GRANTEE shall be the registered owner of PATROL BOAT or any other registered vessels purchased with GRANT FUNDS. The GRANTEE shall not assign, mortgage, hypothecate or transfer its interest in the EQUIPMENT/PATROL BOAT without the prior written approval of the DEPARTMENT.

**5. OPERATION AND MAINTENANCE OF EQUIPMENT/PATROL BOAT**

- A. The GRANTEE shall use the EQUIPMENT/PATROL BOAT for the purpose of promoting recreational boating safety and boating law enforcement and shall keep the EQUIPMENT/PATROL BOAT available for search and rescue operations and other projects or programs supported by the federal government provided that such use will not interfere with the program for which it was acquired (CFR 200.313.c.2)
- B. The GRANTEE shall be responsible for the costs of operating and maintaining the EQUIPMENT/PATROL BOAT for fifteen (15) years from EFFECTIVE DATE of this GRANT AGREEMENT; the DEPARTMENT shall not be liable for such costs.
- C. The GRANTEE shall maintain the EQUIPMENT/PATROL BOAT in good repair according to all manufacturer recommendations and shall make all repairs necessary to keep EQUIPMENT/PATROL BOAT functioning through the term of the GRANT AGREEMENT.
- D. The GRANTEE, at its own expense, agrees to replace the EQUIPMENT/PATROL BOAT if it is destroyed or rendered useless prior to the expiration of this GRANT AGREEMENT.

- E. GRANTEE shall submit maintenance records to the DEPARTMENT with each annual report.
- F. The GRANTEE shall seek DEPARTMENT approval for the purchase and installation of additional equipment or modifications to any registered vessel purchased with grant funds. Complete and accurate records of all such modifications shall be reported to the DEPARTMENT in the GRANTEE'S annual report and made available to the DEPARTMENT or authorized representative for inspection upon request.
- G. Each GRANTEE shall report to the DEPARTMENT loss or damage to any equipment purchased with grant funds within 30 days of occurrence.
- H. Each GRANTEE shall ensure that any PATROL BOAT/EQUIPMENT purchased with grant funds is operated solely by qualified Boating Safety and Boating Law Enforcement Officers. Operators must fully comply with GRANTEE'S documented training and certification requirements to be deemed qualified, which shall include, at a minimum, receipt of a California Boater Card.
- I. GRANTEE shall obtain prior DBW approval before submitting requests for modification or survey of a grant-funded PATROL BOAT/EQUIPMENT to California Department of General Services (DGS).

**6. TERMINATION OF GRANT AGREEMENT**

- A. Either DEPARTMENT or GRANTEE may unilaterally terminate this GRANT AGREEMENT if a material breach of the GRANT AGREEMENT is made by the other; such termination shall become effective NINETY [90] DAYS following the date of receipt by either the DEPARTMENT or the GRANTEE of a written notice of termination from the party initiating the termination.
- B. The GRANTEE may terminate this GRANT AGREEMENT if the GRANTEE becomes financially or legally unable to comply with the terms and conditions of this GRANT AGREEMENT; such termination shall become effective NINETY [90] DAYS following receipt by the DEPARTMENT of a written notice of termination from the GRANTEE.
- C. The DEPARTMENT may terminate this GRANT AGREEMENT immediately and be relieved of any payments should the legislative body of the GRANTEE fail to appropriate GRANTEE FUNDS for purchase costs, if required, or if the GRANTEE fails to perform the requirements of this Agreement at the time and in the manner herein provided; such termination to become effective upon receipt by the GRANTEE of a written termination notice from the DEPARTMENT.

**7. REVERSION OF EQUIPMENT/PATROL BOAT TO DEPARTMENT**

If EQUIPMENT/PATROL BOAT is no longer needed or this GRANT AGREEMENT is terminated prior to the expiration of the term of the GRANT AGREEMENT:

- A. The GRANTEE shall deliver the fully functioning EQUIPMENT/PATROL BOAT to the DEPARTMENT for reassignment and shall execute any document necessary to effect appropriate changes in pertinent public records; the reversion of registered title is hereby declared to be in addition to, and not in lieu of, any other remedies for breach of this GRANT AGREEMENT which may be available to the DEPARTMENT.
- B. The GRANTEE shall be prohibited from receiving DEPARTMENT BSEE Grants for a period of three (3) years if EQUIPMENT/PATROL BOAT is not fully functional at time of termination.

8. **LIABILITY**

- A. The GRANTEE waives all claims and recourse against the DEPARTMENT, including the right to contribution for any loss or damage arising from, growing out of or in any way connected with or incident to this GRANT AGREEMENT.
- B. GRANTEE agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this GRANT AGREEMENT, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by GRANTEE in the performance of this Agreement. GRANTEE warrants, represents and agrees that it and its subgrantees, subcontractors, employees and representatives shall at all times comply with all applicable State contracting laws, codes, rules and regulations in the performance of this GRANT AGREEMENT.
- C. If the DEPARTMENT is named as a co-defendant, the GRANTEE shall notify the DEPARTMENT and represent it unless the DEPARTMENT elects to represent itself. If the DEPARTMENT undertakes its own defense, it shall bear its own litigation costs, expenses and attorney's fees.

9. **WAIVER OF RIGHTS**

It is the intention of the parties hereto that from time to time either party may waive certain of its rights under this GRANT AGREEMENT. Any waiver at this time by either party hereto of its rights with respect to a default or any other matter arising in connection with this GRANT AGREEMENT shall not be deemed to be a waiver with respect to any other default or matter.

10. **REMEDIES NOT EXCLUSIVE**

The use by either the DEPARTMENT or GRANTEE of any remedy specified in this GRANT AGREEMENT for the enforcement of this GRANT AGREEMENT is not exclusive and shall not deprive the party using such remedy of, or limit the application of, any other remedy provided by law.

11. **OPINIONS AND DETERMINATIONS**

Where the terms of GRANT AGREEMENT provide for action to be based upon the opinion, judgment, approval, review, or determination of either the DEPARTMENT or GRANTEE, such terms are not intended to be and shall never be construed as permitting such opinion, judgment, approval, review, or determination to be arbitrary, capricious, or unreasonable.

12. **PROCUREMENT PROCEDURES**

- A. The GRANTEE must use procurement procedures that reflect applicable State and local public procurement laws and regulations, provided that the procedures conform to applicable Federal law and the standards identified in 2 CFR §200.318.

**There shall be no changes, corrections, modifications or exceptions to DEPARTMENT approved specifications without advance written approval by the DEPARTMENT.** Procurement procedures used by the GRANTEE must conform to State law and regulations regarding **Disabled Veteran Business Enterprise Participation Requirements, Recycling Certification, AND CONTRACTORS CERTIFICATION CLAUSES.** The GRANTEE is responsible, in its sole discretion, for the review of all bids for compliance.

- B. Procurement for boats and other registered vessels must be conducted using Invitation for Bid and must adhere to the specific procurement standards identified by GRANTEE'S governing board regarding advertising, adequate purchase descriptions,

sealed bids, and public openings.

**C. EQUIPMENT AND ELECTRONICS PROCUREMENT PROCEDURES:**

Grantee must obtain at least three (3) bids or rate quotations from qualified sources for each item that has a unit cost of \$10,000 or more. The bids may be obtained over the phone, but must be verified with written documentation from the vendor, and must include the make, model, size, name of vendor, date, and cost of item.

**D. DEPARTMENT REVIEW**

- (1) GRANTEE must submit to the DEPARTMENT proposed technical specifications of PATROL BOATS and other registered vessels for review and approval prior to solicitation of bids.
- (2) Grantees and sub-grantees must make available on request to the DEPARTMENT procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, etc. and must retain such documents for four years after equipment acquisition for auditing purposes.

**13. DISPOSITION OF EQUIPMENT/PATROL BOAT**

In accordance with 2 CFR 200.313 e.1 and 2, upon completion of the term of the grant agreement, GRANTEE may continue to use EQUIPMENT/PATROL BOAT if needed. If EQUIPMENT/PATROL BOAT is no longer needed, an assessment must be done to establish the per-unit fair-market value. If the per-unit fair-market value is \$5,000 or less, EQUIPMENT/PATROL BOAT may be retained, sold, or otherwise disposed of by the GRANTEE with no further obligation to the DEPARTMENT. If the per-unit fair-market value exceeds \$5,000, GRANTEE must deliver equipment to the California Department of General Services (DGS) for sale at auction. Prior to delivering equipment to DGS, GRANTEE must receive approval from the DEPARTMENT to request that DGS complete an OFA6. Once DGS has completed the OFA6, GRANTEE will submit the OFA6 to the DEPARTMENT with an official letter requesting to surrender the vessel. The DEPARTMENT will provide the GRANTEE with an OFAM27 and instructions for delivering the EQUIPMENT/PATROL BOAT to auction via email. GRANTEE will deliver the EQUIPMENT/PATROL BOAT and OFAM27 to the auction as instructed by the DEPARTMENT. GRANTEE shall have the OFAM27 signed at the auction and shall return the signed OFAM27 to the DEPARTMENT.

**14. DISPOSITION OF PROCEEDS FROM SALE OF EQUIPMENT INSTALLED AND PARTIALLY FUNDED EQUIPMENT**

If the GRANTEE has contributed funding in excess of the GRANT to the PURCHASE COSTS of the **EQUIPMENT/PATROL BOAT**, and in the event of a sale of the EQUIPMENT/PATROL BOAT after the expiration or termination of this GRANT AGREEMENT or the reversion of the EQUIPMENT/PATROL BOAT to the DEPARTMENT, then the proceeds of the EQUIPMENT/PATROL BOAT sale shall be distributed between the DEPARTMENT and the GRANTEE in proportion to their respective contributions of the PURCHASE COSTS, e.g.: if the PURCHASE COSTS totaled \$100,000 and the GRANT contribution amounts to \$60,000, then the DEPARTMENT would receive 60 percent of the EQUIPMENT/PATROL BOAT sale proceeds and the GRANTEE would receive 40 percent.

**15. FEDERAL TERMS, CONDITIONS AND REGULATIONS**

This GRANT is funded by the Federal Recreational Boating Safety (RBS) Grant and as such, GRANTEE shall comply with all the governing regulations, namely 2 CFR 200. The most applicable of which have been included here for reference. For clarity, "Non-federal entity" shall be understood to be "DEPARTMENT" and "Award" shall be understood to be "GRANT".

GRANTEES are subject and must adhere to the provisions set forth in the 2020 DHS Standard Terms and Conditions which are available online at: [https://www.dhs.gov/sites/default/files/publications/fy20\\_dhs\\_standard\\_terms\\_and\\_conditions\\_v10.1\\_dated\\_12-31-2019.pdf](https://www.dhs.gov/sites/default/files/publications/fy20_dhs_standard_terms_and_conditions_v10.1_dated_12-31-2019.pdf) and made a part of this agreement by reference. GRANTEES are subject to and must adhere to the regulations set forth in the 2 CFR 200 available online at: <https://www.govinfo.gov/content/pkg/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1-part200.pdf> and made a part of this agreement by reference.

**16. COMPLIANCE WITH LAW, REGULATION AND POLICY**

It is understood and agreed that the Grantee shall comply with all applicable laws and regulations of the State of California, U.S. Coast Guard Code of Federal Regulations: 2 CFR identified in section §§ 200.318 General Procurement standards through 200.326 Contract provisions, 50 CFR 80 and 50 CFR 85, Equal Opportunity (41 CFR 60-1.4(b)), Davis-Bacon Act, as amended (40 U.S.C. 3141-3148), Copeland "Anti-Kickback" Act (40 U.S.C. 3145), Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708), "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements" (37 CFR Part 401), Clean Air Act (42 U.S.C. 7401-7671q.), and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387), Debarment and Suspension (Executive Orders 12549 and 12689), Byrd Anti-Lobbying amendment (31 U.S.C. 1352) and all policies of DBW.

**A. MANDATORY DISCLOSURES**

The non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Failure to make required disclosures can result in any of the remedies described in §200.338 remedies for noncompliance, including suspension or debarment. (See also 2 CFR part 180 and 31 U.S.C. 3321).

**B. ENHANCEMENT OF RECIPIENT AND SUBRECIPIENT EMPLOYEE WHISTLEBLOWER PROTECTION**

This award, related subawards, and related contracts over the simplified acquisition threshold and all employees working on this award, related subawards, and related contracts over the simplified acquisition threshold are subject to the whistleblower rights and remedies established at 41 USC 4712.

Recipients, their subrecipients, and their contractors awarded contracts over the simplified acquisition threshold related to this award, shall inform their employees in writing, in the predominant language of the workforce, of the employee whistleblower rights and protections under 41 USC 4712.

The recipient shall insert this clause, including this paragraph, in all subawards and in contracts over the simplified acquisition threshold related to this award.

**C. Federally assisted construction Grants.** Except as otherwise provided, each administering agency shall require the inclusion of the following language as a condition of any grant, contract, loan, insurance, or guarantee involving federally assisted construction which is not exempt from the requirements of the equal opportunity clause:

The applicant hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification

thereof, as defined in the regulations of the Secretary of Labor at 41 CFR chapter 60, which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to a grant, contract, loan insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the following equal opportunity clause:

During the performance of this grant, the grantee agrees to sections i-vii below:

**Government contracts.** Except as otherwise provided, each granting agency shall include the following Equal Opportunity clause contained in section 202 of the order in each of its Government grants (and modifications thereof if not included in the original grant):

During the performance of this grant, the grantee agrees to sections i-vii below:

- i. The grantee will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The grantee will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The grantee agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the granting officer setting forth the provisions of this nondiscrimination clause.
- ii. The grantee will, in all solicitations or advertisements for employees placed by or on behalf of the grantee, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
- iii. The grantee will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided by the agency granting officer, advising the labor union or workers' representative of the grantee's commitments under section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- iv. The grantee will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- v. The grantee will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the granting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- vi. In the event of the grantee's non-compliance with the nondiscrimination clauses of this grant or with any of such rules, regulations, or orders, this

grant may be canceled, terminated or suspended in whole or in part and the grantee may be declared ineligible for further Government grants in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

- vii. The grantee will include the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The grantee will take such action with respect to any subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions including sanctions for noncompliance: Provided, however, that in the event the grantee becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the grantee may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: Provided, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the grant.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction grants or contracts pursuant to the Executive order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon grantees and contractors by the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

**Subcontracts.** Each nonexempt prime contractor or subcontractor shall include the equal opportunity clause in each of its nonexempt subcontracts.

- I. *Incorporation by reference.* The equal opportunity clause may be



incorporated by reference in all Government contracts and subcontracts, including Government bills of lading, transportation requests, contracts for deposit of Government funds, and contracts for issuing and paying U.S. savings bonds and notes, and such other contracts and subcontracts as the Deputy Assistant Secretary may designate.

- II. *Incorporation by operation of the order.* By operation of the order, the equal opportunity clause shall be considered to be a part of every contract and subcontract required by the order and the regulations in this part to include such a clause whether or not it is physically incorporated in such contracts and whether or not the contract between the agency and the contractor is written.

**Adaptation of language.** Such necessary changes in language may be made in the equal opportunity clause as shall be appropriate to identify properly the parties and their undertakings.

## **EXHIBIT B**

### **General Terms and Conditions (GTC 04/2017)**

**1. APPROVAL:**

This Agreement is of no force or effect until signed by both parties and approved by the Department of General Services, if required. Contractor /GRANTEE may not commence performance until such approval has been obtained.

**2. AMENDMENT:**

No amendment or variation of the terms of this Agreement shall be valid unless made in writing, signed by the parties and approved as required. No oral understanding or Agreement not incorporated in the Agreement is binding on any of the parties.

**3. ASSIGNMENT:**

This Agreement is not assignable by the Contractor/GRANTEE, either in whole or in part, without the consent of the State in the form of a formal written amendment.

**4. AUDIT:**

Contractor/GRANTEE agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor/GRANTEE agrees to maintain such records for possible audit for a minimum of three (3) years after final payment, unless a longer period of records retention is stipulated. Contractor//GRANTEE agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, Contractor/GRANTEE agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (Gov. Code §8546.7, Pub. Contract Code §10115 et seq., CCR Title 2, Section 1896).

**5. INDEMNIFICATION:**

Contractor/GRANTEE agrees to indemnify, defend and save harmless the State, its officers, agents and employees from any and all claims and losses accruing or resulting to any and all GRANTEES, contractors, subcontractors, suppliers, laborers, and any other person, firm or corporation furnishing or supplying work services, materials, or supplies in connection with the performance of this Agreement, and from any and all claims and losses accruing or resulting to any person, firm or corporation who may be injured or damaged by Contractor/GRANTEE in the performance of this Agreement.

**6. DISPUTES:**

Contractor/GRANTEE shall continue with the responsibilities under this Agreement during any dispute.

**7. TERMINATION FOR CAUSE:**

The State may terminate this Agreement and be relieved of any payments should the Contractor/GRANTEE fail to perform the requirements of this Agreement at the time and in the manner herein provided. In the event of such termination the State may proceed with the work in any manner deemed proper by the State. All costs to the State shall be deducted from any sum due the Contractor/GRANTEE under this Agreement and the balance, if any, shall be paid to the Contractor/GRANTEE upon demand.

**8. INDEPENDENT CONTRACTOR:**

Contractor/GRANTEE, and the agents and employees of Contractor/GRANTEE, in the performance of this Agreement, shall act in an independent capacity and not as officers or

employees or agents of the State.

**9. RECYCLING CERTIFICATION:**

The Contractor/GRANTEE shall certify in writing under penalty of perjury, the minimum, if not exact, percentage of post-consumer material as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether the product meets the requirements of Public Contract Code Section 12209. With respect to printer or duplication cartridges that comply with the requirements of Section 12156(e), the certification required by this subdivision shall specify that the cartridges so comply (Pub. Contract Code §12205).

**10. NON-DISCRIMINATION CLAUSE:**

During the performance of this Agreement, Contractor/GRANTEE and its subcontractors shall not deny the contract's benefits to any person on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status, nor shall they discriminate unlawfully against any employee or applicant for employment because of race, religious creed, color, national origin, ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender expression, age, sexual orientation, or military and veteran status. Contractor/GRANTEE shall insure that the evaluation and treatment of employees and applicants for employment are free of such discrimination. Contractor/GRANTEE and subcontractors shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12900 et seq.), the regulations promulgated thereunder (Cal. Code Regs., tit. 2, §11000 et seq.), the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Gov. Code §§11135-11139.5), and the regulations or standards adopted by the awarding state agency to implement such article.

Contractor/GRANTEE shall permit access by representatives of the Department of Fair Employment and Housing and the awarding state agency upon reasonable notice at any time during the normal business hours, but in no case less than 24 hours' notice, to such of its books, records, accounts, and all other sources of information and its facilities as said Department or Agency shall require to ascertain compliance with this clause. Contractor/GRANTEE and its subcontractors shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other agreement. (See Cal. Code Regs., tit. 2, §11105.)

Contractor/GRANTEE shall include the nondiscrimination and compliance provisions of this clause in all subcontracts to perform work under the Agreement.

**11. CERTIFICATION CLAUSES:**

The CONTRACTOR CERTIFICATION CLAUSES contained in the document CCC 04/2017 are hereby incorporated by reference and made a part of this Agreement by this reference as if attached hereto.

**12. TIMELINESS:**

Time is of the essence in this Agreement.

**13. COMPENSATION:**

The consideration to be paid Contractor/GRANTEE, as provided herein, shall be in compensation for all of Contractor's/GRANTEE'S expenses incurred in the performance hereof, including travel, per diem, and taxes, unless otherwise expressly so provided.

**14. GOVERNING LAW:**

This contract is governed by and shall be interpreted in accordance with the laws of the State of California.

**15. ANTITRUST CLAIMS:**

The Contractor/GRANTEE by signing this agreement hereby certifies that if these services or goods are obtained by means of a competitive bid, the Contractor/GRANTEE shall comply with the requirements of the Government Codes Sections set out below.

- a. The Government Code Chapter on Antitrust claims contains the following definitions:
  - 1) "Public purchase" means a purchase by means of competitive bids of goods, services, or materials by the State or any of its political subdivisions or public agencies on whose behalf the Attorney General may bring an action pursuant to subdivision (c) of Section 16750 of the Business and Professions Code.
  - 2) "Public purchasing body" means the State or the subdivision or agency making a public purchase. Government Code Section 4550.
- b. In submitting a bid to a public purchasing body, the bidder offers and agrees that if the bid is accepted, it will assign to the purchasing body all rights, title, and interest in and to all causes of action it may have under Section 4 of the Clayton Act (15 U.S.C. Sec. 15) or under the Cartwright Act (Chapter 2 (commencing with Section 16700) of Part 2 of Division 7 of the Business and Professions Code), arising from purchases of goods, materials, or services by the bidder for sale to the purchasing body pursuant to the bid. Such assignment shall be made and become effective at the time the purchasing body tenders final payment to the bidder. Government Code Section 4552.
- c. If an awarding body or public purchasing body receives, either through judgment or settlement, a monetary recovery for a cause of action assigned under this chapter, the assignor shall be entitled to receive reimbursement for actual legal costs incurred and may, upon demand, recover from the public body any portion of the recovery, including treble damages, attributable to overcharges that were paid by the assignor but were not paid by the public body as part of the bid price, less the expenses incurred in obtaining that portion of the recovery. Government Code Section 4553.
- d. Upon demand in writing by the assignor, the assignee shall, within one year from such demand, reassign the cause of action assigned under this part if the assignor has been or may have been injured by the violation of law for which the cause of action arose and (a) the assignee has not been injured thereby, or (b) the assignee declines to file a court action for the cause of action. See Government Code Section 4554.

**16. CHILD SUPPORT COMPLIANCE ACT:**

For any Agreement in excess of \$100,000, the contractor/GRANTEE acknowledges in accordance with Public Contract Code 7110, that:

- a. The contractor/GRANTEE recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- b. The contractor/GRANTEE, to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the California Employment Development Department.

**17. UNENFORCEABLE PROVISION:**

In the event that any provision of this Agreement is unenforceable or held to be unenforceable, then the parties agree that all other provisions of this Agreement have force and effect and shall not be affected thereby.

**18. PRIORITY HIRING CONSIDERATIONS:**

If this Contract includes services in excess of \$200,000, the Contractor/GRANTEE shall give priority consideration in filling vacancies in positions funded by the Contract to qualified recipients of aid under Welfare and Institutions Code Section 11200 in accordance with Pub. Contract Code §10353.

**19. SMALL BUSINESS PARTICIPATION AND DVBE PARTICIPATION REPORTING REQUIREMENTS:**

- a. If for this Contract Contractor/GRANTEE made a commitment to achieve small business participation, then Contractor/GRANTEE must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) report to the awarding department the actual percentage of small business participation that was achieved. (Govt. Code § 14841.)
- b. If for this Contract Contractor/GRANTEE made a commitment to achieve disabled veteran business enterprise (DVBE) participation, then Contractor/GRANTEE must within 60 days of receiving final payment under this Contract (or within such other time period as may be specified elsewhere in this Contract) certify in a report to the awarding department: (1) the total amount the prime Contractor/GRANTEE received under the Contract; (2) the name and address of the DVBE(s) that participated in the performance of the Contract; (3) the amount each DVBE received from the prime Contractor/GRANTEE; (4) that all payments under the Contract have been made to the DVBE; and (5) the actual percentage of DVBE participation that was achieved. A person or entity that knowingly provides false information shall be subject to a civil penalty for each violation. (Mil. & Vets. Code § 999.5(d); Govt. Code § 14841.)

**20. LOSS LEADER:**

If this contract involves the furnishing of equipment, materials, or supplies then the following statement is incorporated: It is unlawful for any person engaged in business within this state to sell or use any article or product as a "loss leader" as defined in Section 17030 of the Business and Professions Code. (PCC 10344(e).)

## EXHIBIT C

### CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Grantee to the clause(s) listed below. This certification is made under the laws of the State of California.

Grantee Agency Name (Printed) Lassen County Sheriff's Department	Federal ID Number 94-6000517
By Authorized Signature:	
Printed Name and Title of Person Signing	
Date Executed	Executed in the County of Lassen

### GRANTEE CERTIFICATION CLAUSES

#### 1. STATEMENT OF COMPLIANCE:

Grantee has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)

#### 2. DRUG-FREE WORKPLACE REQUIREMENTS:

18.10 Grantee will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

- a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
- b. Establish a Drug-Free Awareness Program to inform employees about:
  - 1) the dangers of drug abuse in the workplace;
  - 2) the person's or organization's policy of maintaining a drug-free workplace;
  - 3) any available counseling, rehabilitation and employee assistance programs; and,
  - 4) penalties that may be imposed upon employees for drug abuse violations.
- c. Every employee who works on the proposed Agreement will:
  - 1) receive a copy of the company's drug-free workplace policy statement; and,
  - 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

#### 3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION:

Grantee certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Grantee within the immediately preceding two-year period because of Grantee's failure to comply with an order of a Federal court, which orders Grantee to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

#### 4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT:

Grantee hereby certifies that Grantee will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Grantee agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the agreement equal to the lessor of 30 multiplied by the

number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its agreement with the State.

Failure to make a good faith effort may be cause for non-renewal of a state agreement for legal services, and may be taken into account when determining the award of future contracts/agreements with the State for legal services.

**5. EXPATRIATE CORPORATIONS:**

18.40 Grantee hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

**6. SWEATFREE CODE OF CONDUCT:**

- a. All Grantees contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The Grantee further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at [www.dir.ca.gov](http://www.dir.ca.gov), and Public Contract Code Section 6108.
- b. The Grantee agrees to cooperate fully in providing reasonable access to the Grantee's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the Grantee's compliance with the requirements under paragraph (a).

**7. DOMESTIC PARTNERS:**

For contracts over \$100,000 executed or amended after January 1, 2007, the Grantee certifies that Grantee is in compliance with Public Contract Code section 10295.3.

## EXHIBIT D

### DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

#### 1. CONFLICT OF INTEREST:

Grantee needs to be aware of the following provisions regarding current or former state employees. If Grantee has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (PCC 10410):

- 1) No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- 2) No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

- 1) For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
- 2) For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Grantee violates any provisions of above paragraphs, such action by Grantee shall render this Agreement void. (PCC 10420).

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (PCC 10430 (e)).

#### 2. LABOR CODE/WORKERS' COMPENSATION:

Grantee needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Grantee affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700).

#### 3. AMERICANS WITH DISABILITIES ACT:

Grantee assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

#### 4. GRANTEE NAME CHANGE:

An amendment is required to change the Grantee's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said



amendment. Any changes of the Grantee's representative shall be notified to DBW within 30 days written notice on Grantee's letterhead.

**5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:**

- a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the Grantee is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.
- b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate Grantee performing within the state not be subject to the franchise tax.
- c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California.  
  
Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

**6. RESOLUTION:**

A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

**7. AIR OR WATER POLLUTION VIOLATION:**

Under the State laws, the Grantee shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

**8. PAYEE DATA RECORD FORM STD. 204:**

This form must be completed by all Grantees that are not another state agency or other governmental entity.

## **EXHIBIT E**

### **Bid/Proposal Attachment regarding the Darfur Contracting Act of 2008**

Effective January 1, 2009, all Invitations for Bids (IFB) or Requests for Proposals (RFP) for goods or services must address the requirements of the Darfur Contracting Act of 2008 (Act). (Public Contract Code sections 10475, et seq.; Stats. 2008, Ch. 272). The Act was passed by the California Legislature and signed into law by the Governor to preclude State agencies generally from contracting with "scrutinized" companies that do business in the African nation of Sudan (of which the Darfur region is a part), for the reasons described in Public Contract Code section 10475.

A scrutinized company is a company doing business in Sudan as defined in Public Contract Code section 10476. Scrutinized companies are ineligible to, and cannot, bid on or submit a proposal for a contract with a State agency for goods or services. (Public Contract Code section 10477(a)).

Therefore, Public Contract Code section 10478 (a) requires a company that currently has (or within the previous three years has had) business activities or other operations outside of the United States to certify that it is not a "scrutinized" company when it submits a bid or proposal to a State agency. (See # 1 on the sample Attachment).

A scrutinized company may still, however, submit a bid or proposal for a contract with a State agency for goods or services if the company first obtains permission from the Department of General Services (DGS) according to the criteria set forth in Public Contract Code section 10477(b). (See # 2 on the sample Attachment).

The following sample Attachment may be included in an IFB or RFP to satisfy the Act's certification requirements of bidders and proposers.

### Exhibit E - DARFUR CONTRACTING ACT

Pursuant to Public Contract Code section 10478, if a bidder or proposer currently or within the previous three years has had business activities or other operations outside of the United States, it must certify that it is not a "scrutinized" company as defined in Public Contract Code section 10476.

**Therefore, to be eligible to submit a bid or proposal, please complete only one of the following three paragraphs (via initials for Paragraph # 1 or Paragraph # 2, or via initials and certification for Paragraph # 3): YOUR BID OR PROPOSAL WILL BE DISQUALIFIED UNLESS YOUR BID OR PROPOSAL INCLUDES THIS FORM WITH EITHER PARAGRAPH #1 OR #2 INITIALED OR PARAGRAPH #3 INITIALED AND CERTIFIED.**

1. \_\_\_\_\_ We do not currently have, or we have not had within the previous three years, business activities or other operations outside of the United States.

**OR**

2. \_\_\_\_\_ We are a scrutinized company as defined in Public Contract Code section 10476, but we have received written permission from the Department of General Services (DGS) to submit a bid or proposal pursuant to Public Contract Code section 10477(b). A copy of the written permission from DGS is included with our bid or proposal.

**OR**

3. \_\_\_\_\_ We currently have, or we have had within the previous three years, business activities or other operations outside of the United States, but we certify below that we are not a scrutinized company as defined in Public Contract Code section 10476.

#### **CERTIFICATION For # 3.**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective proposer/bidder to the clause listed above in # 3. This certification is made under the laws of the State of California.

Grantee Agency Name (Printed)		Federal ID Number
Lassen County Sheriff's Department		94-6000517
By (Authorized Signature)		
Printed Name and Title of Person Signing		
Date Executed	Executed in the County of	
	Lassen	

**YOUR BID OR PROPOSAL WILL BE DISQUALIFIED UNLESS YOUR BID OR PROPOSAL INCLUDES THIS FORM WITH EITHER PARAGRAPH #1 OR #2 INITIALED OR PARAGRAPH #3 INITIALED AND CERTIFIED**

**EXHIBIT F**  
**SUGGESTED LANGUAGE FOR RECYCLING CERTIFICATION**

State law requires that state contracts shall have Recycling Certification in writing under penalty of perjury, the minimum, if not exact, percentage of recycled content, both post-consumer waste and secondary waste as defined in the Public Contract Code, Sections 12161 and 12200, in materials, goods, or supplies offered or products used in the performance of this Agreement, regardless of whether the product meets the required recycled product percentage as defined in the Public Contract Code, Sections 12161 and 12200. Contractor may certify that the product contains zero recycled content. (PCC 10233, 10308.5, 10354)

**RECYCLED CONTENT CERTIFICATION**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that  
I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

Name and Title of Person Signing	Date Executed
Authorized Signature	Executed in the County of Lassen
Title	Telephone Number
Legal Business Name Lassen County Sheriff's Department	Federal ID Number 94-6000517

The Contractor hereby certifies under penalty of perjury, that {min\_recycle\_pct} percent of the materials, goods, supplies offered, or products used in the performance of this contract meets the or exceeds the minimum percentage of recycled material as defined in Sections 12161 and 12200 of the Public Contract Code. The Contractor may certify that the product contains zero recycled content.

**General****1 Applicant Information**

- a. Applicant Name Lassen County Sheriff's Department
- b. Organizational Unit
- c. Address 1415 Sheriff Cady Lane
- d. Address 2
- e. City Susanville State CA Zip 96130
- f. Federal ID Number 94-6000517 Reference No. 0000003046
- g. Agency Type
- ☐ City ☒ County
- ☐ State Agency ☐ District

**2 Project Information**

- a. Project Name Boating Safety and Enforcement Equipment Grant - FY 2020 / 21
- b. Is implementing agency same as Applicant ☒ Yes ☐ No
- c. Implementing Agency Name
- d. Project Start Date Oct-01-2020 End Date Sep-01-2021
- e. Amount of Funds Requested \$155,000.00 Project Cost \$155,000.00

**3 Contacts**

a. Authorized Representative

Name	Jim Uptegrove				
Title	Deputy				
Mailing Address	1415 Sheriff Cady Lane				
City	Susanville	State	CA	Zip	96130
Telephone	(209) 993-9355			Fax	
E-mail Address	juptegrove@co.lassen.ca.us				

b. Project Administrator

Name	Dean Growdon				
Title	Sheriff				
Mailing Address	1415 sheriff cady lane				
City	Susanville	State	CA	Zip	96130
Telephone	(530) 257-6121			Fax	
E-mail Address	dgrowdon@co.lassen.ca.us				

## Exhibit G - BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANTEE APPLICATION

### 1. Minimum Qualifications

Attach a Letter of Intent as required by Title 14. A template letter is in the 'Show Documents' area.

[25582\\_0\\_190\\_Letter of Intent.pdf](#)

As a subcontractor for this federal grant award, your agency must be registered in the Federal System of Award Management (<https://www.sam.gov/SAM/pages/public/searchRecords/search.jsf>) Your agency's registration must be current in that system at the time you submit your application.

Attach a screenshot showing your registration is active. (A sample screenshot is available in the 'Show Documents' area)

[25584\\_0\\_379\\_Federal System of Award Management Screen Shot.pdf](#)

- 1 a. Do you have an active Boating Safety / Boating Law Enforcement Patrol? ☒ Yes ☐ No

- 1 b. What training and/or authorization does your agency have to perform boating safety and boating law enforcement in your jurisdiction?
- Every peace officer of the state, city, county, harbor district or other political subdivision of the state is empowered to enforce California boating law. These officers have the authority to stop and board any vessel where they have probable cause to believe that a violation of law exists. All Lassen County deputies are peace officers pursuant to section 830.1(a) of the California Penal Code.
- Additionally, all deputies assigned to the Lassen County Sheriff's Department boating program have completed a five day, 40-hour POST certified introductory course for boating enforcement officers. The POST course title, 'Boating Safety & Ops for Inland Waters, is designed to provide vessel operators with the skills necessary to safely operate a public safety vessel on inland rivers and lakes.

- 1 c. Do you certify that you know you are required to submit all boating accidents as required in Section 656 of Harbors and Navigation Code for the 15-year grant term if awarded this grant? An agency's failure to submit a report may result in ineligibility of future grant funds for up to 5 fiscal years. ☒ Yes ☐ No

**1. California State Senate Districts**

Select one or more of the California State Senate Districts where the proposed project activities will occur. Copy and Paste the URL ([http://www.legislature.ca.gov/legislators\\_and\\_districts/districts/districts.html](http://www.legislature.ca.gov/legislators_and_districts/districts/districts.html)) in your browser to determine the State Senate district(s).

- |   |  |  |  |  |
|---|--|--|--|--|
| <input checked="" type="checkbox"/> State Senate 01 | <input type="checkbox"/> State Senate 02 | <input type="checkbox"/> State Senate 03 | <input type="checkbox"/> State Senate 04 | <input type="checkbox"/> State Senate 05 |
| <input type="checkbox"/> State Senate 06            | <input type="checkbox"/> State Senate 07 | <input type="checkbox"/> State Senate 08 | <input type="checkbox"/> State Senate 09 | <input type="checkbox"/> State Senate 10 |
| <input type="checkbox"/> State Senate 11            | <input type="checkbox"/> State Senate 12 | <input type="checkbox"/> State Senate 13 | <input type="checkbox"/> State Senate 14 | <input type="checkbox"/> State Senate 15 |
| <input type="checkbox"/> State Senate 16            | <input type="checkbox"/> State Senate 17 | <input type="checkbox"/> State Senate 18 | <input type="checkbox"/> State Senate 19 | <input type="checkbox"/> State Senate 20 |
| <input type="checkbox"/> State Senate 21            | <input type="checkbox"/> State Senate 22 | <input type="checkbox"/> State Senate 23 | <input type="checkbox"/> State Senate 24 | <input type="checkbox"/> State Senate 25 |
| <input type="checkbox"/> State Senate 26            | <input type="checkbox"/> State Senate 27 | <input type="checkbox"/> State Senate 28 | <input type="checkbox"/> State Senate 29 | <input type="checkbox"/> State Senate 30 |
| <input type="checkbox"/> State Senate 31            | <input type="checkbox"/> State Senate 32 | <input type="checkbox"/> State Senate 33 | <input type="checkbox"/> State Senate 34 | <input type="checkbox"/> State Senate 35 |
| <input type="checkbox"/> State Senate 36            | <input type="checkbox"/> State Senate 37 | <input type="checkbox"/> State Senate 38 | <input type="checkbox"/> State Senate 39 | <input type="checkbox"/> State Senate 40 |

**2. California State Assembly Districts**

Select one or more of the California State Assembly Districts where the proposed project activities will occur. Copy and Paste the URL ([http://www.legislature.ca.gov/legislators\\_and\\_districts/districts/districts.html](http://www.legislature.ca.gov/legislators_and_districts/districts/districts.html)) in your browser to determine the State Assembly district(s).

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|---|--|--|--|
| <input checked="" type="checkbox"/> State Assembly 01 | <input type="checkbox"/> State Assembly 02 | <input type="checkbox"/> State Assembly 03 | <input type="checkbox"/> State Assembly 04 |
| <input type="checkbox"/> State Assembly 05            | <input type="checkbox"/> State Assembly 06 | <input type="checkbox"/> State Assembly 07 | <input type="checkbox"/> State Assembly 08 |
| <input type="checkbox"/> State Assembly 09            | <input type="checkbox"/> State Assembly 10 | <input type="checkbox"/> State Assembly 11 | <input type="checkbox"/> State Assembly 12 |
| <input type="checkbox"/> State Assembly 13            | <input type="checkbox"/> State Assembly 14 | <input type="checkbox"/> State Assembly 15 | <input type="checkbox"/> State Assembly 16 |
| <input type="checkbox"/> State Assembly 17            | <input type="checkbox"/> State Assembly 18 | <input type="checkbox"/> State Assembly 19 | <input type="checkbox"/> State Assembly 20 |
| <input type="checkbox"/> State Assembly 21            | <input type="checkbox"/> State Assembly 22 | <input type="checkbox"/> State Assembly 23 | <input type="checkbox"/> State Assembly 24 |
| <input type="checkbox"/> State Assembly 25            | <input type="checkbox"/> State Assembly 26 | <input type="checkbox"/> State Assembly 27 | <input type="checkbox"/> State Assembly 28 |
| <input type="checkbox"/> State Assembly 29            | <input type="checkbox"/> State Assembly 30 | <input type="checkbox"/> State Assembly 31 | <input type="checkbox"/> State Assembly 32 |
| <input type="checkbox"/> State Assembly 33            | <input type="checkbox"/> State Assembly 34 | <input type="checkbox"/> State Assembly 35 | <input type="checkbox"/> State Assembly 36 |
| <input type="checkbox"/> State Assembly 37            | <input type="checkbox"/> State Assembly 38 | <input type="checkbox"/> State Assembly 39 | <input type="checkbox"/> State Assembly 40 |
| <input type="checkbox"/> State Assembly 41            | <input type="checkbox"/> State Assembly 42 | <input type="checkbox"/> State Assembly 43 | <input type="checkbox"/> State Assembly 44 |
| <input type="checkbox"/> State Assembly 45            | <input type="checkbox"/> State Assembly 46 | <input type="checkbox"/> State Assembly 47 | <input type="checkbox"/> State Assembly 48 |
| <input type="checkbox"/> State Assembly 49            | <input type="checkbox"/> State Assembly 50 | <input type="checkbox"/> State Assembly 51 | <input type="checkbox"/> State Assembly 52 |
| <input type="checkbox"/> State Assembly 53            | <input type="checkbox"/> State Assembly 54 | <input type="checkbox"/> State Assembly 55 | <input type="checkbox"/> State Assembly 56 |
| <input type="checkbox"/> State Assembly 57            | <input type="checkbox"/> State Assembly 58 | <input type="checkbox"/> State Assembly 59 | <input type="checkbox"/> State Assembly 60 |
| <input type="checkbox"/> State Assembly 61            | <input type="checkbox"/> State Assembly 62 | <input type="checkbox"/> State Assembly 63 | <input type="checkbox"/> State Assembly 64 |
| <input type="checkbox"/> State Assembly 65            | <input type="checkbox"/> State Assembly 66 | <input type="checkbox"/> State Assembly 67 | <input type="checkbox"/> State Assembly 68 |
| <input type="checkbox"/> State Assembly 69            | <input type="checkbox"/> State Assembly 70 | <input type="checkbox"/> State Assembly 71 | <input type="checkbox"/> State Assembly 72 |
| <input type="checkbox"/> State Assembly 73            | <input type="checkbox"/> State Assembly 74 | <input type="checkbox"/> State Assembly 75 | <input type="checkbox"/> State Assembly 76 |
| <input type="checkbox"/> State Assembly 77            | <input type="checkbox"/> State Assembly 78 | <input type="checkbox"/> State Assembly 79 | <input type="checkbox"/> State Assembly 80 |

**3. California Congressional Districts**

Select one or more of the California Congressional Districts where the proposed project activities will occur. Copy and Paste the URL (<https://www.govtrack.us/congress/members/CA>) in your browser to determine the Congressional district(s).

- |  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Congressional District 1 | <input type="checkbox"/> Congressional District 2 | <input type="checkbox"/> Congressional District 3 |
| <input type="checkbox"/> Congressional District 4            | <input type="checkbox"/> Congressional District 5 | <input type="checkbox"/> Congressional District 6 |



## Exhibit G - BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANTEE APPLICATION

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Congressional District 7  | <input type="checkbox"/> Congressional District 8  | <input type="checkbox"/> Congressional District 9  |
| <input type="checkbox"/> Congressional District 10 | <input type="checkbox"/> Congressional District 11 | <input type="checkbox"/> Congressional District 12 |
| <input type="checkbox"/> Congressional District 13 | <input type="checkbox"/> Congressional District 14 | <input type="checkbox"/> Congressional District 15 |
| <input type="checkbox"/> Congressional District 16 | <input type="checkbox"/> Congressional District 17 | <input type="checkbox"/> Congressional District 18 |
| <input type="checkbox"/> Congressional District 19 | <input type="checkbox"/> Congressional District 20 | <input type="checkbox"/> Congressional District 21 |
| <input type="checkbox"/> Congressional District 22 | <input type="checkbox"/> Congressional District 23 | <input type="checkbox"/> Congressional District 24 |
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| <input type="checkbox"/> Congressional District 31 | <input type="checkbox"/> Congressional District 32 | <input type="checkbox"/> Congressional District 33 |
| <input type="checkbox"/> Congressional District 34 | <input type="checkbox"/> Congressional District 35 | <input type="checkbox"/> Congressional District 36 |
| <input type="checkbox"/> Congressional District 37 | <input type="checkbox"/> Congressional District 38 | <input type="checkbox"/> Congressional District 39 |
| <input type="checkbox"/> Congressional District 40 | <input type="checkbox"/> Congressional District 41 | <input type="checkbox"/> Congressional District 42 |
| <input type="checkbox"/> Congressional District 43 | <input type="checkbox"/> Congressional District 44 | <input type="checkbox"/> Congressional District 45 |
| <input type="checkbox"/> Congressional District 46 | <input type="checkbox"/> Congressional District 47 | <input type="checkbox"/> Congressional District 48 |
| <input type="checkbox"/> Congressional District 49 | <input type="checkbox"/> Congressional District 50 | <input type="checkbox"/> Congressional District 51 |
| <input type="checkbox"/> Congressional District 52 | <input type="checkbox"/> Congressional District 53 |  |

#### 4. County

Select one or more of the California Counties where the proposed project activities will occur.

- |                                       |  |                                      |  |                                     |  |
|---------------------------------------|--|--------------------------------------|--|-------------------------------------|--|
| <input type="checkbox"/> Alameda      | <input type="checkbox"/> Alpine        | <input type="checkbox"/> Amador      | <input type="checkbox"/> Butte           | <input type="checkbox"/> Calaveras  | <input type="checkbox"/> Colusa            |
| <input type="checkbox"/> Contra Costa | <input type="checkbox"/> Del Norte     | <input type="checkbox"/> El Dorado   | <input type="checkbox"/> Fresno          | <input type="checkbox"/> Glenn      | <input type="checkbox"/> Humboldt          |
| <input type="checkbox"/> Imperial     | <input type="checkbox"/> Inyo          | <input type="checkbox"/> Kern        | <input type="checkbox"/> Kings           | <input type="checkbox"/> Lake       | <input checked="" type="checkbox"/> Lassen |
| <input type="checkbox"/> Los Angeles  | <input type="checkbox"/> Madera        | <input type="checkbox"/> Marin       | <input type="checkbox"/> Mariposa        | <input type="checkbox"/> Mendocino  | <input type="checkbox"/> Merced            |
| <input type="checkbox"/> Modoc        | <input type="checkbox"/> Mono          | <input type="checkbox"/> Monterey    | <input type="checkbox"/> Napa            | <input type="checkbox"/> Nevada     | <input type="checkbox"/> Orange            |
| <input type="checkbox"/> Placer       | <input type="checkbox"/> Plumas        | <input type="checkbox"/> Riverside   | <input type="checkbox"/> Sacramento      | <input type="checkbox"/> San Benito | <input type="checkbox"/> San Bernardino    |
| <input type="checkbox"/> San Diego    | <input type="checkbox"/> San Francisco | <input type="checkbox"/> San Joaquin | <input type="checkbox"/> San Luis Obispo | <input type="checkbox"/> San Mateo  | <input type="checkbox"/> Santa Barbara     |
| <input type="checkbox"/> Santa Clara  | <input type="checkbox"/> Santa Cruz    | <input type="checkbox"/> Shasta      | <input type="checkbox"/> Sierra          | <input type="checkbox"/> Siskiyou   | <input type="checkbox"/> Solano            |
| <input type="checkbox"/> Sonoma       | <input type="checkbox"/> Stanislaus    | <input type="checkbox"/> Sutter      | <input type="checkbox"/> Tehama          | <input type="checkbox"/> Trinity    | <input type="checkbox"/> Tulare            |
| <input type="checkbox"/> Tuolumne     | <input type="checkbox"/> Ventura       | <input type="checkbox"/> Yolo        | <input type="checkbox"/> Yuba            |                                     |  |

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Exhibit G - BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANTEE APPLICATION

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**2. Citation Authority**

- 2 a. Number of Full-Time Boating Safety and/or Boating Law Enforcement Officers do you have? 2
- 2 b. Number of Part-Time Boating Safety and/or Boating Law Enforcement Officers do you have? 1
- How many hours per year do they work? 826
- Is this work seasonal or continuous? Seasonal
3. Does your boating safety and enforcement unit have citation authority? ☒ Yes ☐ No
- If YES, Code # 830.1(a) PC
- How many boating related citations did you have last year (2019)? 2
4. Does your boating safety and enforcement unit have arrest authority? ☒ Yes ☐ No
- If YES, Code # 830.1(a) PC
- How many boating related arrests did you have last year (2019)? 0

5. How many outreach events did your agency participate in to promote boating safety education in 2019? Please list these events.

In 2019 our agency assisted in hosting two boating safety events to recreational boaters at Eagle Lake. Additionally, we offered our services to the Ronald McDonald Camp which has a different group of special needs campers every week. Some of these groups participate in boating/water activities and some do not, depending on their special need. We also offer boating safety classes to the elementary school summer camp program at Eagle Lake along with the local year-round residential community at the lake.

**Exhibit G - BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANTEE APPLICATION**

**6. Jurisdiction Table**

**List All Waterbodies That Are In Your Jurisdiction and Fill in the Chart for Each**

<b>Waterbody</b>	<b>Size (area)</b>	<b>Boating activities (fishing, watersports, paddlecraft, etc.)</b>	<b>How many hours/year is this waterbody patrolled by your agency. If seasonal, list active months</b>	<b>Do you share jurisdiction on this waterbody</b>	<b>If shared jurisdiction, list other agency(s)</b>
Eagle Lake	24000 acres	Fishing, watersports, paddlecraft, sailing, diving.	Seasonal @ 800 hours a year between May and October	No	
Blue Lake	1300 acres	Fishing, watersports, paddlecraft, diving	Seasonal @ 10 hours between May and September.	No	
Crater Lake	544 acres	Fishing	Seasonal @ 10 hours between June and September	No	
Silver Lake	1300 acres	Fishing, watersports, paddlecraft, sailing, diving	Seasonal @ 5 hours between June and September	No	
Walker Lake	11840 acres	Fishing, watersports	Seasonal @ 2 hours. Between June and September	No	
Dodge Reservoir	1920 acres	Fishing	0 hours	No	
Tule Lake	2432 acres	Fishing	0 hours	No	
McCoy Reservoir	2880 acres	Fishing	Seasonal @ 10 hours between June and September	No	
Juniper Lake	640	Fishing	0 hours	No	

**7. Clearly identify the top three safety issues related to your request in your jurisdiction.**

The top three safety issues exist primarily on Eagle Lake. Eagle Lake is the second largest natural lake in the state of California. Our top three safety concerns are; underwater hazards, capsizing/swamping and inexperienced boaters. Due to the natural rise and fall of the lake, underwater hazards are common. We identify and mark these underwater hazards for boaters throughout the season. We both advise boaters of hazard and place hazard buoys to mark shallows and underwater rocks that appear when lake levels drop. This is a weekly activity.

Eagle Lake is frequently subject to high winds during the boating season. These winds create large waves which crest three to four feet high. The winds and waves catch boaters in the open waters subjecting them to capsizing. A majority of the boats on the lake are small crafts. Many not large enough to safely navigate the heavy waters back to shore. Much of the departments time consists of search and rescue operations through these waters. Assistance is given by transferring occupants from struggling vessels to the sheriff boat, towing distressed boats or breaking waves ahead of these vessels. These rescues occur in both shallow and deep water, requiring a specialized vessel to safely operate in these conditions. During the 2018/2019 boating season deputies towed 22 boats and assisted 73 persons.

The third top safety issue is inexperienced boat operators. Many of the boaters are vacationers from out of the area. Many boaters are inexperienced in navigating the natural dangers of the lake. The departments boating safety program is focused on educating this group of boaters on both state and local laws, as well as making them aware of dangerous and restricted areas. The departments primary focus is in conducting vessel safety inspections. Deputies conducted over a thousand safety inspections in 2018/2019 which we felt significantly reduced the number boaters who found themselves in unsafe conditions.

# Exhibit G - BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANTEE APPLICATION

## 8. Inventory

List all patrol boats, PWCs and inflatables in your current inventory (County and State owned)

Year	Make	CF Number	How many hours/year is this used?	What waterbodies is it used on?	Is this boat currently being surplus ed?	Is this a boat that was funded by a DBW Grant?	Vessel Status?
1,993	Design Concepts	CF3111XC	100	Eagle Lake	No	No	Non-Operational
1,993	Design Concepts	CF3122XC	300	Eagle Lake	No	No	Operational
2,001	Jetcraft	CF4161XC	400	Eagle Lake, Blue Lake, Silver Lake	No	No	Operational
2,004	Zodiac	CF4516XC	5	Blue Lake, Silver Lake	No	No	Operational
1,970	Northwood	CF0870XC	0	Not used	No	No	Non-Operational
2,015	Go-Devil	CF5709XC	5	Walker Lake	No	No	Operational

## 9. Project Type

Are you requesting a new Patrol Boat/PWC or Misc. Equipment.?

☒ New Patrol Boat/PWC

☐ Equipment / Repairs

*\* All items purchased with funding provided by the Division of Boating and Waterways are for the exclusive use of the Boating Safety and Enforcement Unit.*

**Patrol Boat Instructions**

**PLEASE READ THE FOLLOWING CAREFULLY**

**ENTER INFORMATION IN THIS SECTION ONLY IF YOU SELECTED 'PATROL' AS A PROPOSAL TYPE**

**IF YOU DID NOT SELECT 'PATROL BOAT' AS A PROPOSAL TYPE, CLICK ON THE NEXT TAB TO NAVIGATE TO THE NEXT SECTION**

**10 a. Describe exactly what you are requesting and how this equipment will help mitigate the boating safety issues you identified in Question 7.**

Our agency is requesting \$155,000 to replace one 1993 Design Concepts patrol boats. This vessel will be used to patrol Eagle Lake, the largest of our nine lakes. During the 2017/2018 season this Design Concept vessel was in the repair shop for the entire season. It was repaired and operational for a brief time during the 2018/2019 season but again is non-operational. As a backup we relied on the second Design Concept boat which is subject to its own mechanical issues. Although these vessels have served us well in the past, at 27 years old they are both unreliable. Replacing this vessels will provide the department with both a reliable and consistent means of patrolling our largest body of water. The new vessels equipment, engines, propulsion systems, cab and hull design have all been intentionally requested to permit the vessel to operate in both shallow waters as well as deep open waters subject to the high winds and waves. These two areas are the most frequently patrolled areas on this lake by our department to conduct search and rescue operations. The other vessels in our fleet are much smaller and are used to patrol our smaller lakes. They are not designed to safely navigate our largest lake under adverse conditions. Maintaining a full fleet of vessels is critical for enforcement of safe boating activities and preventing boating accidents on our lakes. However, maintaining a reliable larger vessel is essential for mitigating safety issues on Eagle Lake where a majority of our recreational boating takes place. The California Department of General Services (DGS) representative for our area is well aware of the age and poor mechanical condition of large boats. The boat listed for replacement has been repowered once in the past and has had the steering system retrofitted from its original design. This has been the cause of many mechanical breakdowns. The DGS representative is in full support of replacing this vessel.

**10 b. Describe any negative impacts to boating safety and/or boating enforcement if you do not get this grant.**

If we are unsuccessful in receiving this grant our boating program will be severely impacted limiting the department's ability to provide reliable safe boating enforcement on our largest and most visited recreational lake. As our two largest patrol boats continue to experience maintenance and reliability problems, and without the ability to replace one of these vessels, boating deputies will be unable to consistently and reliably patrol critical areas of the lake where search and rescue operations are more likely to occur. If the hazard areas of the lake cannot be regularly and safely proactively patrolled for dangers and distressed vessels, underwater hazard strikes in unmarked shallow areas as well as capsizing, swamping or even accidental drownings in areas of rough waters will become a more likely occurrence. The ability to have a large reliable vessel designed to navigate these waters on a consistent schedule, is critical to the ongoing success of our program.

**10 c. Classify this request by choosing one of the following options and present a strong justification for the request.**

**Classify this request by choosing one of the following options.**

- ☒ "Critical" (operations would cease without it)
- ☐ "Increased efficiency" (if it would save staff time, identify how much time)
- ☐ "Convenience" (it would make life a little easier)
- ☐ "Protection" of existing equipment (ex: shade structure, hoists to get the vessels out of the water, etc. to extend longevity)

## Exhibit G - BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANTEE APPLICATION

Justification Without the capability to patrol our largest and most visited recreational lake on a reliable and consistent basis, our ability to provide the required safety activities and patrols to proactively prevent, educate or assist stranded and distressed boaters would cease. This puts boaters in jeopardy, especially those who are inexperienced or operating small crafts. Because our current boats used on this lake are mechanically unreliable and frequently not even available because of mechanical issues, we are not able to provide dependable services to this large group of recreational boaters using this natural resource

- 10 d. If you are not awarded your full request, would your agency be able to supplement the difference? ☐ Yes ☒ No

If yes, what percentage can you supplement?

**10 e. How and why did you select this particular vessel? Explain if this is a standard patrol boat or do you need something specialized for your waterbody to meet your agency's needs? List less expensive models considered and explain why they are not being requested.**

The specifications for this vessel were specifically designed for this unique body of water. While it is operated as a patrol boat, the hull design, cab, engines and propulsion systems are intended to provide boat deputies the ability to operate the vessel in both deep and shallow waters and under extreme weather and sea conditions often experienced on this lake.

Other less expensive models were considered for this request, but are not able to provide the level of safety, protection, seaworthiness or maneuverability required for this large natural lake. Some of the less expensive models considered where:

Seahawk Outboard by North River Boats. This model is less expensive but is a soft top vessel that would not allow for security of the vessel when left unattended or docked for extended lengths of time. Additionally, the soft top would not allow for appropriate protection of operators or rescued passengers under adverse weather conditions. The vessel is also built with an outboard propulsion system. Vessels with outboard motors or propeller driven propulsion systems do not allow for safe operation in shallow waters for rescue operations or identifying and marking underwater hazards.

Seahawk Commander by North River Boats. This is a less expensive model does not have the deeper "V" design require for stability in rough waters and has lower sides which also allows for less stability. Vessels without a deep "V" design and broad beam do not allow for stable operation in rough waters and high waves for safe search and rescue operations. Additionally, this is also a soft top vessel.

Navigator by Boulton Powerboats. This less expensive model has a narrower beam allowing for less stability in rough waters along with less deck space for rescue operations. It is also does not have a lockable cab.

Hook by Boulton Powerboats. This model only comes with an outboard option.

Vessels under 23 to 25 feet. Vessels under 23 to 25 feet in length, although generally less expensive, were not considered because they are commonly less seaworthy in rough waters.

**10 f. Patrol Boat - Informational**

- 10 f. What body(s) of water will this boat be used on? Eagle Lake

- 10 g. How many hours per year will this equipment be used by your BS&E Unit for Boating Safety Enforcement? 600

Will it be used year-round or seasonally? Explain. (limit this to 100 characters?). The vessel will be used primarily seasonally from the middle of May through the end of the year.

- 10 h.

## Exhibit G - BOATING SAFETY AND ENFORCEMENT EQUIPMENT GRANTEE APPLICATION

Will this equipment be used for anything ☐ Yes ☒ No  
besides Recreational Boating Safety  
Enforcement (ie. Junior lifeguard, swimming  
rescues, enforcing commercial activities,  
etc.)?

If so, list activities and percentage of time vs.  
Recreational Boating Safety Enforcement

- 10 i. Upload boat/trailer specifications for review. [25634\\_0\\_819\\_Lassen Patrol Boat specs.pdf](#)  
The Specification Guidelines are in the  
'Show Documents' area. You cannot  
proceed without attaching specs.

- 10 j. Estimate the cost of the patrol boat and trailer 155,000

- 10 k. Attach two quotes. If you cannot provide a quote now, you must provide if you are awarded a grant.

Name	Attachment
North River "Seahawk"	<a href="#">25638_0_287_North River Seahawk Boat Quote.pdf</a>
Boulton Voyager XL	<a href="#">25638_1_36_Boulton Powerboats Voyager XL Quote.pdf</a>

- 10 l. Will this replace an existing boat? ☒ Yes ☐ No

Year, make and CF # of boat being replaced. 1993 Design Concepts CF# 3111XC

- 10 m. Has the vessel being replaced ever had it's ☒ Yes ☐ No  
engine or electronics replaced?

If yes, how many times? Explain.

This vessel has been repowered once in the past according to DGS representatives but exact dates cannot be located. The vessel has also had its steering system retrofitted and changed from the original design at some point which has always been problematic and the cause of many of the vessels mechanical issues. The DGS representative for our area is in full support of replacing this vessel.



**12 Previous BSEE Grants**

	<b>FY 19/20</b>	<b>FY 18/19</b>	<b>FY 17/18</b>
Did your agency apply for a BSEE grant ?	Yes	Yes	Yes
Were you awarded a BSEE grant? (leave blank if No)	No	No	No
Amount awarded: (List amount or leave blank if No or NA)			
Amount reimbursed: (List amount or leave blank if No or NA)			
List the Equipment Purchased: (List leave blank if No or NA)			

**Boating Safety and Enforcement Income**

**13. Revenue and Expenditures**

Boat Tax Revenue	10,245.08
<b>Other Revenue:</b>	
a) Other local revenue sources: (Example: launching facilities, campgrounds, parking, anything that goes toward marine patrol support)	0.00
b) Any State boating funding sources, including DBW subvention:	45,640.00
<b>TOTAL ANNUAL BOATING INCOME IN YOUR OPERATING BUDGET</b>	<b>55,885.08</b>
<b>TOTAL EXPENDITURES FOR BOATING SAFETY AND ENFORCEMENT</b>	<b>47,355.46</b>

14. If you participated in the subvention program, were all allocated funds expended in the previous closed year? ☒ Yes ☐ No ☐ NA
- If NO, state percentage of remaining funds.

Budget Detail for Boating Safety and Enforcement Equipment Grant - FY 2020 / 21  
 Agency: Lassen County Sheriff's Department  
 Application: Boating Safety and Enforcement Equipment Grant - FY 2020 / 21

Line Item	Qty	Rate	UOM	Total	Req Amount
<b>1 Patrol Boat / Equipment</b>					
Law Enforcement Patrol Boats	1.0000	148405.000	EA	148,405.00	148,405.00
Vessel Trailer	1.0000	6595.000	EA	6,595.00	6,595.00
<b>Total for Patrol Boat / Equipment</b>				155,000.00	155,000.00
<b>TOTAL EXPENDITURES</b>				<b>155,000.00</b>	<b>155,000.00</b>

Budget Summary for Boating Safety and Enforcement Equipment Grant - FY 2020 / 21  
 Agency: Lassen County Sheriff's Department  
 Application: Boating Safety and Enforcement Equipment Grant - FY 2020 / 21

	Category	Total	Req Amount	Narrative
1	Patrol Boat / Equipment	155,000.00	155,000.00	
<b>TOTAL EXPENDITURES</b>		<b>155,000.00</b>	<b>155,000.00</b>	

**Applicant Certification**

- a. ☒ Under penalty of perjury, I certify that I have examined this application and the document(s), proposal(s), and statement(s) submitted in conjunction herewith, and that to the best of my information and belief, the information contained herein is true, accurate, correct, and complete.
- b. ☒ I certify that I am the person authorized to submit this application on behalf of the applicant.

Name: Dean F. Growdon

Title: Sheriff

Date Signed: 04/29/2020  
0