

Treasurer/Tax Collector

County of Lassen




Nancy Cardenas, Treasurer/Tax Collector
Lassen County Courthouse, Suite 3
220 South Lassen Street
Susanville, CA 96130-4324

☎ 530/251-8221
FAX: 530/251-2677

DATE: June 8, 2021

TO: Board of Supervisors

FROM: Nancy Cardenas, Treasurer/Tax Collector 

RE: Extension of Banking Services

Recommendation: That the Board of Supervisors extend the contract for banking services with U.S. Bank National Association (US Bank).

Discussion: Lassen County has been contracting with US Bank for several years and has been very happy with their services. In order to ensure that the County is receiving competitive services and pricing I have worked with US Bank to review the services that are currently being provided to the County and Schools.,

I recommend that the Board extend the contract with US Bank and direct me to sign the new contract.

AGREEMENT BETWEEN LASSEN COUNTY

AND

US BANK

THIS AGREEMENT is made between the COUNTY OF LASSEN, a political subdivision of the State of California (hereinafter "COUNTY"), and US BANK GOVERNMENT BANKING, with a principal place of business at 621 CAPITOL MALL #800, SACRAMENTO CA 95814, (hereinafter "CONTRACTOR").

This Agreement is made with reference to the following facts and circumstances:

WHEREAS COUNTY has need for BANKING SERVICES and,

WHEREAS CONTRACTOR desires to provide those services.

In consideration of the services to be rendered, the sums to be paid, and each and every covenant and condition contained herein, the parties hereto agree as follows:

1. SERVICES.

The CONTRACTOR shall provide those services described in Attachment "A". CONTRACTOR shall provide said services at the time, place and in the manner specified in Attachment "A".

2. TERM.

The term of the agreement shall be for the period of July 1, 2021 through June 30, 2026.

3. PAYMENT.

COUNTY shall pay CONTRACTOR for services rendered pursuant to this Agreement at the time and in the amount set forth in Attachment "B". The payment specified in Attachment "B" shall be the only payment made to CONTRACTOR for services rendered pursuant to this Agreement. CONTRACTOR shall submit all billing for said services to COUNTY in the manner specified in Attachment "B".

4. FACILITIES, EQUIPMENT AND OTHER MATERIALS AND OBLIGATIONS OF COUNTY.

CONTRACTOR shall, at its sole cost and expense, furnish all facilities, equipment, and other materials which may be required for furnishing services pursuant to this Agreement.

COUNTY shall:

4.1 Pay the CONTRACTOR on the terms agreed upon herein in writing, provided that: (1) the CONTRACTOR timely submits appropriate invoices to the COUNTY, (2) the CONTRACTOR is not in breach of the terms and conditions of this Agreement, its attachments, or

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Contractor Initials 

the standards or/specifications referenced or applicable thereto; (3) the CONTRACTOR is not in violation of laws or regulations substantially impairing the value of the CONTRACTOR'S performance or the CONTRACTOR'S entitlement to payment; (4) funds to be paid to the CONTRACTOR are not the subject of any active levy, execution, claim, offset, or stop notice by any third party or the COUNTY; and (5) appropriate public funds are available to the COUNTY for such payment.

4.2 Retain ownership and have prompt access to any report, evaluations, intellectual property, findings, or data assembled/developed by CONTRACTOR under this Agreement.

5. ADDITIONAL PROVISIONS.

Those additional provisions unique to this Agreement are set forth in Attachment "C".

6. GENERAL PROVISIONS.

The general provisions set forth in Attachment "D" are part of this Agreement. Any inconsistency between said general provisions and any other terms or conditions of this Agreement shall be controlled by the other terms or conditions insofar as the latter are inconsistent with the general provisions.

7. DESIGNATED REPRESENTATIVES.

Nancy Cardenas, is the designated representative of the COUNTY and will administer this Agreement for the COUNTY. Don E. Raught is the authorized representative for CONTRACTOR. Changes in the designated representatives shall occur only by advance written notice to the other party.

8. ATTACHMENTS.

All attachments referred to herein are attached hereto and by this reference incorporated herein. Attachments include:

Attachment A-Services
Attachment B-Payment
Attachment C-Additional Provisions
Attachment D-General Provisions
Attachment E-No Third Party Beneficiaries

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the dates shown opposite their respective signatures.

CONTRACTOR

Dated: 5/25/2021

By: 

____ County Initials

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Dated: 5/25/2021


By: 
Don E. Raught, CTP, AAP Vice President | Government
Banking Relationship Manager

COUNTY
County of Lassen

Dated: _____

By: _____
Nancy Cardenas
Lassen County Treasurer/Tax Collector

Approved as to form:

By: 
Amanda Uhrhammer
Lassen County Counsel

[1Contract Standard Professional Services Master v20150602]

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[v.20150602]

AGREEMENT BETWEEN LASSEN COUNTY AND US BANK



Account Analysis & Billing
LASSEN COUNTY

Consolidated Analysis Summary

| | |
|--------------------------|--------------|
| Lead Account Number | 153401318941 |
| Earnings Credit Rate | 0.30% |
| Negative Collected Rate | 7.25% |
| Current Month Multiplier | 4,000.00 |
| Settlement Frequency | Monthly |

Balance Summary

| | | |
|------------------------------------|----|--------------|
| Average Collected Balance | = | 5,447,028.66 |
| Average Negative Collected | \$ | (855.38) |
| Average Positive Collected Balance | \$ | 5,447,884.04 |

Settlement Analysis

| | | |
|--|----|--------------|
| Collected Balance Available for Earnings Credit Services | = | 5,447,884.04 |
| Earnings Credit @ 0.3% | | 1,361.97 |
| Earnings Credit Based Service Charges | - | 6,273.40 |
| Current Month Surplus/(Deficit) Position | = | (4,911.42) |
| Net Service Charges | \$ | 4,911.42 |

| <u>Service</u> | <u>Volume</u> | <u>Unit Price</u> | <u>Total Price</u> |
|--------------------------------|---------------|-------------------|--------------------|
| Depository Services | | | |
| Account Maintenance | 18 \$ | 5.00 \$ | 90.00 |
| Monthly Maintenance | 2 \$ | 0.00 \$ | - |
| Monthly Maintenance | 1 \$ | 10.00 \$ | 10.00 |
| Stmt w/Ck Front & Back Images | 1 \$ | 12.00 \$ | 12.00 |
| Paper Credits | 110.29 \$ | 0.40 \$ | 44.12 |
| Electronic Credits | 67.56 \$ | 0.05 \$ | 3.38 |
| Paper Debits | 2,755.81 \$ | 0.05 \$ | 137.79 |
| Electronic Debits | 77.65 \$ | 0.05 \$ | 3.88 |
| Combined Transactions/Items | 3,896.45 \$ | 0.00 \$ | - |
| Reject Checks Paid | \$ | 0.00 | |
| For First 50 Per Acct | 13.49 \$ | 0.00 \$ | - |
| For Over 50 Per Acct | \$ | 0.05 \$ | - |
| Deposited Item | 456.06 \$ | 0.04 \$ | 18.24 |
| Returned Deposited Items | 4.32 \$ | 2.00 \$ | 8.64 |
| Returned Item Special Instruc | \$ | 0.00 | |
| For First 1 | 1 \$ | 2.50 \$ | 2.50 |
| For Over 1 | 5.91 \$ | 5.00 \$ | 29.55 |
| Redeposited Returned Item | 0.25 \$ | 2.00 \$ | 0.50 |
| Returned Item Email Notice | 1.25 \$ | 1.00 \$ | 1.25 |
| Returned Item Email Images | 1.25 \$ | 1.00 \$ | 1.25 |
| Returned Item Dup Advice Faxed | \$ | 0.00 | |



Account Analysis & Billing

| <u>Service</u> | <u>Volume</u> | <u>Unit</u> | <u>Price</u> | <u>Total</u> |
|--|---------------|-------------|--------------|--------------|
| Returned Item Image Copies | | \$ | 0.00 | |
| Returned Item Special Advice | 1.25 | \$ | 1.00 | 1.25 |
| Returned Item Reason Code | 1 | \$ | 0.10 | 0.10 |
| Returned Item Maker Info Keyed | 1 | \$ | 0.00 | - |
| Returned Item Image Viewed | 0.16 | \$ | 1.25 | 0.20 |
| Deposit Coverage | 5,631.29 | \$ | 0.129 | 726.44 |
| Paper Statement Fee | 5 | \$ | 5.00 | 25.00 |
| Check Filter Monthly Maint | 9 | \$ | 0.00 | - |
| Check/Deposit Ticket Order | 64.26 | \$ | 1.00 | 64.26 |
| Copy of Check/Deposit Ticket | 0.08 | \$ | 7.00 | 0.56 |
| Charge For Neg Coll Balance | \$ 5.17 | 1 | \$ | 5.17 |
| Subtotal Depository Services | | | \$ | 1,186.07 |
| Account Reconciliation Services | | | | |
| Partial/Positive Pay Maint | | \$ | 0.00 | |
| For First 1 Per Acct | | \$ | 0.00 | |
| Each Additional Reconciliation per Cycle Over 1 Per Acct | | \$ | 0.00 | |
| Full/Positive Pay Maint | | \$ | 0.00 | |
| For First 1 Per Acct | | \$ | 25.00 | |
| Each Additional Reconciliation per Cycle Over 1 Per Acct | | \$ | 25.00 | |
| Full/Positive Pay - per Item | | \$ | 0.02 | |
| ARP Full Maintenance | | \$ | 0.00 | |
| For First 1 Per Acct | | \$ | 70.00 | |
| Each Additional Reconciliation per Cycle Over 1 Per Acct | | \$ | 15.00 | |
| SP Positive Pay Only Maint | 5 | \$ | 25.00 | 125.00 |
| SP Pos Pay Only - per Item | 2,746.73 | \$ | 0.025 | 68.67 |
| SP Checks Returned | 1.41 | \$ | 15.00 | 21.15 |
| SP Pos Pay Image Retrieval | 70.08 | \$ | 0.00 | - |
| Teller Pos Pay Spcl Handling | 3 | \$ | 15.00 | 45.00 |
| SP Issue/Cancel Input | 126.83 | \$ | 0.10 | 12.68 |
| SP Positive Pay Exceptions | 68.08 | \$ | 0.50 | 34.04 |
| SP Issue Mnt Upload - per File | | | | |
| For First 6 Per Acct | 5.33 | \$ | 10.00 | 53.30 |
| For Over 6 Per Acct | | \$ | 0.00 | - |
| SP Issue Mnt Confirm-per File | 25.5 | \$ | 0.50 | 12.75 |
| Recon Printing / Mailing Fee | | \$ | 5.00 | |
| Transmission Input | 25.25 | \$ | 15.00 | 50.00 |
| ARP Transmission Output | 21.08 | \$ | 7.00 | 147.56 |
| ARP Transmission - per Item | 2,551.50 | \$ | 0.01 | 25.52 |
| Range Accounts | 1 | \$ | 3.00 | 3.00 |
| Daily Paid List Maint | 1 | \$ | 15.00 | 15.00 |
| Daily Paid List Items | 2,549.91 | \$ | 0.01 | 25.50 |
| Fax Copy Out | | \$ | 2.00 | |
| Payee Positive Pay Maintenance | 1 | \$ | 50.00 | 50.00 |
| Payee Positive Pay-per Item | 4.08 | \$ | 0.03 | 0.12 |
| State Date Maintenance | 1 | \$ | 10.00 | 10.00 |

April 2021



Account Analysis & Billing

| <u>Service</u> | <u>Volume</u> | <u>Unit Price</u> | <u>Total Price</u> |
|--|---------------|-------------------|--------------------|
| Subtotal Account Reconciliation Services | | \$ | 699.29 |
| SinglePoint | | | |
| Sp Current Day Per Accl | | \$ 0.00 | |
| For First 1 | 1 | \$ 20.00 | 20.00 |
| For Over 1 | 4 | \$ 20.00 | 80.00 |
| Sp Current Day Per Item | 2,303.33 | \$ 0.01 | 23.03 |
| Sp Previous Day Per Accl | | \$ 0.00 | |
| For First 1 | 1 | \$ 20.00 | 20.00 |
| For Over 1 | 17 | \$ 20.00 | 340.00 |
| Sp Previous Day Per Item | 8,159.83 | \$ 0.02 | 163.20 |
| Monthly DDA Statement TXT | | \$ 3.00 | |
| Monthly DDA Statement PDF | 18 | \$ 1.00 | 18.00 |
| ACH Return and NOC Report | 3 | \$ 0.00 | - |
| ACH Return and NOC Report | | \$ 0.00 | |
| For First 1 | 1 | \$ 1.00 | 1.00 |
| For Over 1 | 2 | \$ 0.00 | - |
| ACH Return and NOC Report Curr | 1 | \$ 3.00 | 3.00 |
| ACH Settlement Report | | \$ 20.00 | |
| SP Token Monthly Maintenance | 9 | \$ 2.00 | 18.00 |
| SP Book Transfer Mo Maint | | \$ 0.00 | |
| For First 1 | 1 | \$ 10.00 | 10.00 |
| For Over 1 | 14 | \$ 0.00 | - |
| SP Book Transfer-per Transfer | 60.5 | \$ 0.05 | 2.53 |
| SP Stop Payments Mo Maint | | \$ 0.00 | |
| For First 1 | 1 | \$ 10.00 | 10.00 |
| For Over 1 | 7 | \$ 0.00 | - |
| SP Stop Payments-per Stop | | \$ 0.00 | |
| For First 10 Per Accl | 6.33 | \$ 5.00 | 31.65 |
| For Over 10 Per Accl | | \$ 9.00 | - |
| SP Stop Payments-per Revoke | 0.16 | \$ 7.00 | 1.12 |
| SP ACH Origination Mo Maint | | | |
| For First 1 | 1 | \$ 45.00 | 45.00 |
| For Over 1 | 2 | \$ 0.00 | - |
| SP ACH Adjustment Mo Maint | 1 | \$ 10.00 | 10.00 |
| SP Wires Monthly Maintenance | | \$ 0.00 | |
| For First 1 | 1 | \$ 10.00 | 10.00 |
| For Over 1 | 1 | \$ 0.00 | - |
| SP ACH POS Pay Authorization | | \$ 0.50 | |
| SP ACH POS Pay per Paid Item | | \$ 0.05 | |
| SP ACH Whse Mo Maint | 1 | \$ 10.00 | 10.00 |
| SP Positive Pay Monthly Maint | 7 | \$ 0.00 | - |
| SP Issue Maint Mo Maintenance | | \$ 0.00 | |
| For First 1 | 1 | \$ 10.00 | 10.00 |
| For Over 1 | 6 | \$ 0.00 | - |
| SP Image Access Mo Maint | 5 | \$ 10.00 | 50.00 |
| SP External Message Mo Maint | | \$ 0.00 | |
| For First 1 | 1 | \$ 10.00 | 10.00 |
| For Over 1 | 32.91 | \$ 0.00 | - |

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Proforma Account Analysis
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Account Analysis & Billing

| <u>Service</u> | <u>Volume</u> | | <u>Unit</u> <u>Price</u> | <u>Total</u> <u>Price</u> |
|--------------------------------|---------------|----|-----------------------------|------------------------------|
| SP External User Message Sent | 203 | \$ | 0.00 \$ | - |
| SP External User Task Sent | 96.75 | \$ | 0.00 \$ | - |
| Subtotal SinglePoint | | | \$ | 886.62 |
| Wire Transfers | | | | |
| Wire Monthly Maint Voice-Pin | 1 | \$ | 0.00 \$ | - |
| Incoming Fedwire | 0.49 | \$ | 4.00 \$ | 1.96 |
| Internal Wire Credit | 1.5 | \$ | 7.00 \$ | 10.50 |
| Voice Fedwire Non-Repellive | | \$ | 15.00 | |
| Incoming Fedwire Clp | 1.57 | \$ | 4.00 \$ | 6.28 |
| Returned Incoming Wire | 0.16 | \$ | 12.00 \$ | 1.92 |
| SP Fedwire Repellive | 5.66 | \$ | 4.00 \$ | 22.64 |
| SP Fedwire Non-Repellive | 1.08 | \$ | 9.00 \$ | 9.72 |
| SP Internal | 1.58 | \$ | 4.00 \$ | 6.32 |
| SP Drawdown | | \$ | 6.00 | |
| Wire Advice Fax | 1.91 | \$ | 2.50 \$ | 4.78 |
| Subtotal Wire Transfers | | | \$ | 64.12 |
| Zero Balance Accounts | | | | |
| ZBA Lead | 1 | \$ | 8.75 \$ | 8.75 |
| ZBA Subsidiary | 2 | \$ | 8.75 \$ | 17.50 |
| Subtotal Zero Balance Accounts | | | \$ | 26.25 |
| Image Services | | | | |
| SP Cks Pd per Item Stored | 2,692.41 | \$ | 0.011 \$ | 29.62 |
| Dep Items Img per Item Stored | 0.16 | \$ | 0.035 \$ | 5.00 |
| Image Archive Monthly Maint | | \$ | 10.00 | |
| Image Archive per Check Image | | \$ | 0.03 | |
| Image Archive - per CD-ROM | | \$ | 10.00 | |
| SP Short Term Imgs Retrieved | 3.91 | \$ | 0.50 \$ | 1.96 |
| Subtotal Image Services | | | \$ | 36.57 |
| ACH Services | | | | |
| ACH Monthly Maintenance | 4 | \$ | 10.00 \$ | 40.00 |
| ACH Originated Addenda Item | 3.08 | \$ | 0.01 \$ | 0.03 |
| ACH Process Run | 10.33 | \$ | 7.00 \$ | 72.31 |
| ACH Orig Translt Item | | \$ | 0.00 | |
| If 1 - 10000 Per Accl | 1,009.74 | \$ | 0.035 \$ | 35.34 |
| If Over 10000 Per Accl | | \$ | 0.00 \$ | - |
| Same Day ACH Orig Translt Item | | \$ | 0.50 | |
| ACH Originated On-US Item | | \$ | 0.00 | |
| If 1 - 10000 Per Accl | 235.75 | \$ | 0.035 \$ | 8.25 |
| If Over 10000 Per Accl | | \$ | 0.12 \$ | - |
| ACH File Confirmation Email | 26 | \$ | 0.50 \$ | 13.00 |
| ACH Received Item | 428.96 | \$ | 0.035 \$ | 15.01 |

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Account Analysis & Billing

| Service | Volume | Unit Price | Total Price |
|--|----------|------------|-------------|
| ACH Received Addenda Item | 6.75 | \$ 0.01 | \$ 0.07 |
| EPay ACH Fed Item | | \$ 0.00 | |
| If 1 - 10000 | | \$ 0.035 | |
| If Over 10000 | | \$ 0.035 | |
| ACH Block Mthly Maint-per Acct | 5 | \$ 5.00 | \$ 25.00 |
| Unauth ACH Return - per Item | 0.25 | \$ 7.00 | \$ 1.75 |
| ACH Return-per Item | 7.66 | \$ 1.50 | \$ 11.49 |
| ACH Notification of Change | 5.25 | \$ 1.50 | \$ 7.88 |
| ACH Return/NOC Faxed | | \$ 1.25 | |
| ACH Item Adjustment Request | 0.83 | \$ 10.00 | \$ 8.30 |
| ACH Batch Adjustment Request | 0.16 | \$ 32.00 | \$ 5.12 |
| SDA Special Processing | | \$ 175.00 | |
| SP E ACH-per Item | 436.75 | \$ 0.10 | \$ 43.68 |
| SPT E SDA TRAN ITEM | | \$ 1.00 | |
| SP E ACH Return Item | | | |
| For First 10 Per Acct | 0.41 | \$ 0.00 | \$ - |
| For Over 10 Per Acct | | \$ 3.00 | \$ - |
| SP E ACH NOC Item | | | |
| For First 10 Per Acct | 2.5 | \$ 0.00 | \$ - |
| For Over 10 Per Acct | | \$ 3.00 | \$ - |
| UPIC Monthly Maintenance | | \$ 6.00 | |
| SP Unauth ACH Ret - per Item | | \$ 7.00 | |
| ACH Origination Direct Trans | | \$ 10.00 | \$ - |
| Subtotal ACH Services | | \$ | 287.22 |
| Branch Coin/Currency Services | | | |
| Cash Deposited-per \$100 | 1,097.73 | \$ 0.09 | \$ 98.80 |
| Coin Deposited-per Roll | 3.07 | \$ 0.07 | \$ 0.21 |
| Loose Mixed Coin Dep-per Bag | 0.08 | \$ 11.00 | \$ 0.88 |
| Branch Deposit Processing Fee | 89.89 | \$ 1.25 | \$ 112.36 |
| Night Dep Processing-per Dep | 4.48 | \$ 1.00 | \$ 4.48 |
| Currency Ordered-per Strap | 0.83 | \$ 0.80 | \$ 0.66 |
| Loose Currency Ordered/\$100 | 3.16 | \$ 0.20 | \$ 0.63 |
| Standard Chng Order-per Order | 1.41 | \$ 7.00 | \$ 9.87 |
| Non Customer On US Ck Cashing | 38.91 | \$ 0.00 | \$ - |
| Subtotal Branch Coin/Currency Services | | \$ | 227.90 |
| VantagePoint | | | |
| VP Monthly Maint - per Acct | | | |
| For First 1 | 1 | \$ 250.00 | \$ 250.00 |
| For Over 1 | | \$ 50.00 | \$ - |
| Data Normalization - per Item | 68.91 | \$ 0.01 | \$ 0.69 |
| Subtotal VantagePoint | | \$ | 250.69 |
| Electronic Deposit Services | | | |
| ACH Return - per Item | | \$ 1.75 | |

April 2021



Proforma Account Analysis
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Account Analysis & Billing.

| <u>Service</u> | <u>Volume</u> | <u>Unit</u> | <u>Price</u> | <u>Total</u> |
|--------------------------------|---------------|-------------|--------------|--------------|
| ACH Redeposit - per Item | | \$ | 1.50 | |
| EDM Monthly Maint - per Acct | | | | |
| For First 1 | 1 | \$ | 80.00 | \$ 80.00 |
| For Over 1 | 5.91 | \$ | 15.00 | \$ 88.65 |
| Web Monthly Maint - per Wrkstn | | \$ | 0.00 | |
| If 1 - 10 | | \$ | 20.00 | - |
| If 11 - 50 | 11.08 | \$ | 35.00 | \$ 387.80 |
| If Over 50 | | \$ | 30.00 | - |
| Deposit Credit | 40.73 | \$ | 0.50 | \$ 20.37 |
| Remittance Item | | \$ | 0.07 | |
| Image Retrieval - per Item | 100.66 | \$ | 0.25 | \$ 25.17 |
| Image Cash Letter Item - On-Us | 5,600 | \$ | 0.03 | \$ 168.00 |
| Image Cash Letter Item-Transit | | \$ | 0.00 | |
| If 1 - 10000 Per Acct | | \$ | 0.12 | - |
| If 10001 - 25000 Per Acct | 24,400 | \$ | 0.05 | \$ 1,220.00 |
| If 25001 - 100000 Per Acct | | \$ | 0.09 | - |
| If Over 100000 Per-Acct | | \$ | 0.07 | - |
| Image Cash Letter Deposit Fee | 80 | \$ | 0.50 | \$ 40.00 |
| Image Cash Letter Transmission | | \$ | 0.00 | |
| For First 1 | 1 | \$ | 102.50 | \$ 102.50 |
| For Over 1 | | \$ | 0.00 | - |
| ICL Monthly Maintenance | | \$ | 0.00 | |
| For First 1 | 1 | \$ | 125.00 | \$ 125.00 |
| For Over 1 | | \$ 50.00 | \$ | - |
| Subtotal Electronic Deposit | | | \$ | 2,257.48 |
| E-Lockbox | | | | |
| E-LOCKBOX Maintenance | | | | |
| For First 1 | 1 | \$ | 250.00 | \$ 250.00 |
| For Over 1 | | \$ | 50.00 | - |
| ELBX Electronic Pymt RB Item | | | | |
| If 1 - 4999 Per Acct | 68.91 | \$ | 0.17 | \$ 100.00 |
| If 5000 - 9999 Per Acct | | \$ | 0.12 | - |
| If 10000 - 24999 Per Acct | | \$ | 0.11 | - |
| If 25000 - 49999 Per Acct | | \$ | 0.10 | - |
| If 50000 - 99999 Per Acct | | \$ | 0.07 | - |
| If Over 99999 Per Acct | | \$ | 0.045 | - |
| E-LOCKBOX NOC Item | 0.16 | \$ | 5.00 | \$ 0.80 |
| Subtotal E-Lockbox | | | \$ | 350.80 |
| International Banking | | | | |
| Canadian (CAD) Check Deposited | | \$ | 2.00 | |
| Un-Encode CAD/USD Item | 0.24 | \$ | 2.00 | \$ 0.48 |
| Subtotal International Banking | | | \$ | 0.48 |
| Total Service Charges | | | \$ | 6,273.40 |

April 2021



Account Analysis & Billing

| <u>Service</u> | <u>Volume</u> | <u>Unit Price</u> | <u>Total Price</u> |
|----------------|---------------|-------------------|--------------------|
|----------------|---------------|-------------------|--------------------|

Prices quoted in this proposal are only for those Treasury Management Services requested by the customer. Additional Treasury Management Services will be separately priced at the time of customer's request. Prices quoted are valid for 60 days following customer's receipt, after which they will be subject to change by U.S. Bank. All prices are subject to change, at any time and at Bank's sole discretion, due to changes in business conditions, volumes, quality of work provided by the customer and normal pricing change cycles.

Notwithstanding anything contained herein to the contrary, all Treasury Management Services provided to customer are subject to U.S. Bank's Services Terms and Conditions, as the same may be amended from time to time.

Branch Cash services availability is subject to change based on client processing requirements and branch capacity. Pricing for Branch Cash Services is subject to change when non-standard processing is requested.

ATTACHMENT B
AGREEMENT BETWEEN LASSEN COUNTY AND U. S. BANK

PAYMENT

COUNTY shall pay U. S. BANK as follows:

Payment of fees and charges may be made using U. S. BANK (U S BANK) earnings credit paid on the COUNTY's monthly average collected balances or with hard dollar funds. U S Bank does not differentiate pricing if COUNTY uses balances or hard dollars in payment. The COUNTY may elect to leave adequate compensating balance(s) on deposit in COUNTY accounts at U. S. BANK to accomplish this or at any time elect to be billed for all service fees. The U. S. BANK may require some equipment purchases to be done via direct payment rather than being paid with balances. COUNTY will be made aware of, if any, such requirements and will grant prior approval.

For the term of this contract the Bank's Earnings Credit Rate (ECR) shall be a managed rate, closely modeled after trends in short-term U.S. Treasury securities. The amount of the average collected funds or compensating balance when multiplied by the earnings rate, will be applied to the fees and charges for services provided in that month. Any shortfall of earnings shall be due from the COUNTY and billed to the COUNTY at the end of the agreed upon settlement period.

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____ County Initials

Contractor Initials 

[v.20040428]

AGREEMENT BETWEEN LASSEN COUNTY AND U. S. BANK

ATTACHMENT C
AGREEMENT BETWEEN LASSEN COUNTY AND
ADDITIONAL PROVISIONS

END OF ATTACHMENT "C"

ATTACHMENT D

GENERAL PROVISIONS

D.1. INDEPENDENT CONTRACTOR. For all purposes arising out of this Agreement, CONTRACTOR shall be: an independent contractor and CONTRACTOR and each and every employee, agent, servant, partner, and shareholder of CONTRACTOR (collectively referred to as "The Contractor") shall not be, for any purpose of this Agreement, an employee of COUNTY. Furthermore, this Agreement shall not under any circumstance be construed or considered to be a joint powers agreement as described in California Government Code sections 6000, et seq., or otherwise. As an independent contractor, the following shall apply:

D.1.1 CONTRACTOR shall determine the method, details and means of performing the services to be provided by CONTRACTOR as described in this Agreement.

D.1.2 CONTRACTOR shall be responsible to COUNTY only for the requirements and results specified by this Agreement and, except as specifically provided in this Agreement, shall not be subject to COUNTY's control with respect to the physical actions or activities of CONTRACTOR in fulfillment of the requirements of this Agreement.

D.1.3 CONTRACTOR shall be responsible for its own operating costs and expenses, property and income taxes, workers' compensation insurance and any other costs and expenses in connection with performance of services under this Agreement.

D.1.4 CONTRACTOR is not, and shall not be, entitled to receive from or through COUNTY, and COUNTY shall not provide or be obligated to provide the CONTRACTOR with workers' compensation coverage, unemployment insurance coverage or any other type of employee or worker insurance or benefit coverage required or provided by any federal, state or local law or regulation for, or normally afforded to, any employee of COUNTY.

D.1.5 The CONTRACTOR shall not be entitled to have COUNTY withhold or pay, and COUNTY shall not withhold or pay, on behalf of the CONTRACTOR any tax or money relating to the Social Security Old Age Pension Program, Social Security Disability Program or any other type of pension, annuity or disability program required or provided by any federal, state or local law or regulation for, or normally afforded to, an employee of COUNTY.

D.1.6 The CONTRACTOR shall not be entitled to participate in, or receive any benefit from, or make any claim against any COUNTY fringe benefit program including, but not limited to, COUNTY's pension plan, medical and health care plan, dental plan, life insurance plan, or other type of benefit program, plan or coverage designated for, provided to, or offered to COUNTY's employees.

D.1.7 COUNTY shall not withhold or pay on behalf of CONTRACTOR any federal, state or local tax including, but not limited to, any personal income tax owed by CONTRACTOR.

D.1.8. The CONTRACTOR is, and at all times during the term of this Agreement shall represent and conduct itself as, an independent contractor and not as an employee of COUNTY.

D.1.9 CONTRACTOR shall not have the authority, express or implied, to act on behalf of, bind or obligate the COUNTY any way without the written consent of the COUNTY.

D.2 LICENSES, PERMITS, ETC. CONTRACTOR represents and warrants to COUNTY that it has all

____ County Initials

ATTACHMENT D, Page 1

Contractor Initials

 D

licenses, permits, qualifications, and approvals of whatsoever nature which are legally required for CONTRACTOR to practice its profession. CONTRACTOR represents and warrants to COUNTY that CONTRACTOR shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for CONTRACTOR to practice its profession at the time the services are performed.

D.3 CHANGE IN STATUTES OR REGULATIONS. If there is a change of statutes or regulations applicable to the subject matter of this Agreement, both parties agree to be governed by the new provisions, unless either party gives notice to terminate pursuant to the terms of this Agreement.

D.4 TIME. CONTRACTOR shall devote such time to the performance of services pursuant to this Agreement as may be reasonably necessary for the satisfactory performance of CONTRACTOR's obligations pursuant to this Agreement. Neither party shall be considered in default of this Agreement to the extent performance is prevented or delayed by any cause, present or future, which is beyond the reasonable control of the party.

D.5 INSURANCE.

D.5.1 Prior to rendering services provided by the terms and conditions of this Agreement, CONTRACTOR shall acquire and maintain during the term of this Agreement insurance coverage (hereinafter referred to as "the insurance") through and with an insurer acceptable to COUNTY. The insurance shall contain the following coverages:

D.5.1.1 Comprehensive general liability insurance including comprehensive public liability insurance with minimum coverage of One Million Dollars (\$1,000,000) per occurrence and with not less than One Million Dollars (\$1,000,000) aggregate; CONTRACTOR shall insure both COUNTY and CONTRACTOR against any liability arising under or related to this Agreement.

D.5.1.2 During the term of this Agreement, CONTRACTOR shall maintain in full force and effect a policy of professional errors and omissions insurance with policy limits of not less than One Million Dollars (\$1,000,000) per incident and One Million Dollars (\$1,000,000) annual aggregate, with deductible or self-insured portion not to exceed Two Thousand Five Hundred Dollars (\$2,500).

D.5.1.3 Comprehensive automobile liability insurance with minimum coverage of Five Hundred Thousand Dollars (\$500,000) per occurrence and with not less than Five Hundred Thousand Dollars (\$500,000) on reserve in the aggregate, with combined single limit including owned, non-owned and hired vehicles.

D.5.1.4 Workers' Compensation Insurance coverage for all of CONTRACTOR's employees and other persons for whom CONTRACTOR is responsible to provide such insurance coverage, as provided by Division 4 and 4.5 of the California Labor Code.

D.5.2 The limits of insurance herein shall not limit the liability of the CONTRACTOR hereunder.

D.5.3 In respect to any insurance herein, if the aggregate limit available becomes less than that required above, other excess insurance shall be acquired and maintained immediately. For the purpose of any insurance term of this Agreement, "aggregate limit available" is defined as the total policy limits available for all claims made during the policy period.

D.5.4 Except for automobile liability insurance, the insurance shall name the COUNTY and COUNTY's officers, employees, agents and independent contractors as additional insureds and shall

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include an endorsement that no cancellation or material change adversely affecting any coverage provided by the insurance may be made until twenty (20) days after written notice is delivered to COUNTY.

D.5.5 The insurance policy forms, endorsements and insurer(s) issuing the insurance shall be satisfactory to COUNTY at its sole and absolute discretion. The amount of any deductible payable by the insured shall be subject to the prior approval of the COUNTY and the COUNTY, as a condition of its approval, may require such proof of the adequacy of CONTRACTOR's financial resources as it may see fit.

D.5.6 Prior to CONTRACTOR rendering services provided by this Agreement, and immediately upon acquiring additional insurance, CONTRACTOR shall deliver a certificate of insurance describing the insurance coverages and endorsements to:

Richard Egan
Lassen County CAO
221 S Roop St
Susanville CA 96130

Upon COUNTY's request, CONTRACTOR shall deliver certified copies of any insurance policies to COUNTY.

D.5.7 CONTRACTOR shall not render services under the terms and conditions of this Agreement unless each type of insurance coverage and endorsement is in effect and CONTRACTOR has delivered the certificate(s) of insurance to COUNTY as previously described. If CONTRACTOR shall fail to procure and maintain said insurance, COUNTY may, but shall not be required to, procure and maintain the same, and the premiums of such insurance shall be paid by CONTRACTOR to COUNTY upon demand. The policies of insurance provided herein which are to be provided by CONTRACTOR shall be for a period of not less than one year, it being understood and agreed that twenty (20) days prior to the expiration of any policy of insurance, CONTRACTOR will deliver to COUNTY a renewal or new policy to take the place of the policy expiring.

D.5.8 COUNTY shall have the right to request such further coverages and/or endorsements on the insurance as COUNTY deems necessary, at CONTRACTOR's expense. The amounts, insurance policy forms, endorsements and insurer(s) issuing the insurance shall be satisfactory to COUNTY in its sole and absolute discretion.

D.5.9 Any subcontractor(s), independent contractor(s) or any type of agent(s) performing or hired to perform any term or condition of this Agreement on behalf of CONTRACTOR, as may be allowed by this Agreement (hereinafter referred to as the "SECONDARY PARTIES"), shall comply with each term and condition of this Section D.5 entitled "INSURANCE". Furthermore, CONTRACTOR shall be responsible for the SECONDARY PARTIES' acts and satisfactory performance of the terms and conditions of this Agreement.

D.6 INDEMNITY.

COUNTY shall not be liable for, and CONTRACTOR shall defend and indemnify COUNTY and its officers, agents, employees, and volunteers (collectively "County Parties"), against any and all claims, deductibles, self-insured retentions, demands, liability, judgments, awards, fines, mechanics; liens or other liens, labor disputes, losses, damages, expenses, charges or costs of any kind or character, including attorney's fees and court costs (hereinafter collectively referred to as "Claims"), which arise out of or are in any way connected to the work covered by this Agreement arising either directly or indirectly from any act, error, omission or negligence of CONTRACTOR or its officers, employees, agents, contractors, licensees or servants, including, without limitation, Claims caused by the concurrent negligent act, error or omission,

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whether active or passive of County Parties. CONTRACTOR shall have no obligation, however, to defend or indemnify County Parties from a Claim if it is determined by a court of competent jurisdiction that such Claim was caused by the sole negligence or willful misconduct of County Parties.

County shall not be liable for, and CONTRACTOR shall defend and indemnify COUNTY and its officers, agents, employees and volunteers (collectively 'County Parties'), against any and all claims, deductibles, self-insured retentions, demands, liability, judgments, awards, fines, mechanics' liens or other liens, labor disputes, losses, damages, expenses, charges or costs of any kind or character, including attorneys' fees and court costs (hereinafter collectively referred to as ("Claims")), which arise out of or are in any way connected to the work covered by this Agreement arising either directly or indirectly from any act, error, omission or negligence of CONTRACTOR or its officers, employees, agents, contractors, licensees or servants, including, without limitation, claims caused by the concurrent negligent act, error or omission, of County Parties. However, CONTRACTOR shall have no obligation to defend or indemnify County Parties against claims caused by the active negligence, sole negligence or willful misconduct of County Parties.

D.6.1. Claims Arising from Sole Acts or Omissions of COUNTY:

The County of Lassen (COUNTY) does hereby agree to defend and indemnify the Contractor, its agents, officers and employees (hereinafter collectively referred to in this paragraph as CONTRACTOR, from any claim, action or proceeding against OTHER CONTRACTOR], arising solely out of the acts or omissions of County in the performance of this Agreement. At its sole discretion, CONTRACTOR may participate at its own expense in the defense of any claim, action or proceeding, but such participation shall not relieve county of any obligation imposed by this Agreement. CONTRACTOR shall notify County promptly of any claim, action or proceeding and cooperate fully in the defense.

D.6.2. Claims arising From Sole Acts or Omissions of CONTRACTOR:

The CONTRACTOR hereby agrees to defend and indemnify the County of Lassen, its agents, officers and employees, (hereinafter collectively referred to in this paragraph as County), from any claim, action or proceeding against County, arising solely out of the acts or omissions of CONTRACTOR in the performance of this MOA. At its sole discretion, County may participate at its own expense in the defense of any claim, action or proceeding, but such participation shall not relieve CONTRACTOR of any obligation imposed by this Agreement. County shall notify CONTRACTOR promptly of any claim, action or proceeding and cooperate fully in the defense.

D.6.3. Claims Arising From Concurrent Acts or Omissions:

The County of Lassen (COUNTY) hereby agrees to defend itself, and the CONTRACTOR hereby agrees to defend itself, from any claim, action or proceeding arising out of the concurrent acts or omissions of County and CONTRACTOR. In such cases, county and CONTRACTOR agree to retain their own legal counsel, bear their own defense costs, and waive their right to seek reimbursement of such costs, except as provided in paragraph 5 below.

D.6.4. Joint Defense:

Notwithstanding paragraph D.6.3 above, In cases where COUNTY and CONTRACTOR agree in writing to a joint defense, County and CONTRACTOR may appoint joint defense counsel to defend the claim, action or proceeding arising out of the concurrent acts or omissions of CONTRACTOR. Joint defense counsel shall be selected by mutual agreement of County and CONTRACTOR. County and CONTRACTOR agree to share

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the costs of such joint defense and any agreed settlement in equal amounts, except as provided in paragraph D.6.5 below. County and CONTRACTOR further agree that neither party may bind the other to a settlement agreement without the written consent of both County and CONTRACTOR.

D.6.5. Reimbursement and/or Reallocation:

Where a trial verdict or arbitration award allocates or determines the comparative fault of the parties, County and CONTRACTOR may seek reimbursement and/or reallocation of defense costs, settlement payments, judgments and awards, consistent with such comparative fault.

D.7 CONTRACTOR NOT AGENT. Except as COUNTY may specify in writing, CONTRACTOR shall have no authority, express or implied, to act on behalf of COUNTY in any capacity whatsoever as an agent. CONTRACTOR shall have no authority, express or implied, pursuant to this Agreement to bind COUNTY to any obligation whatsoever.

D.8 ASSIGNMENT PROHIBITED. CONTRACTOR may not assign any right or obligation pursuant to this Agreement. Any attempted or purported assignment of any right or obligation pursuant to this Agreement shall be void and of no legal effect.

D.9 PERSONNEL. CONTRACTOR shall assign only competent personnel to perform services pursuant to this Agreement. In the event that COUNTY, in its sole discretion at any time during the term of this Agreement, desires the removal of any person or persons assigned by CONTRACTOR to perform services pursuant to this Agreement, CONTRACTOR shall remove any such person immediately upon receiving written notice from COUNTY of its desire for removal of such person or persons.

D.10 STANDARD OF PERFORMANCE. CONTRACTOR shall perform all services required pursuant to this Agreement in the manner and according to the standards observed by a competent practitioner of the profession in which CONTRACTOR is engaged. All products of whatsoever nature which CONTRACTOR delivers to COUNTY pursuant to this Agreement shall be prepared in a first class and workmanlike manner and shall conform to the standards of quality normally observed by a person practicing in CONTRACTOR's profession.

D.11 POSSESSORY INTEREST. The parties to this Agreement recognize that certain rights to property may create a "possessory interest", as those words are used in the California Revenue and Taxation Code section 107. For all purposes of compliance by COUNTY with Section 107.6 of the California Revenue and Taxation Code, this recital shall be deemed full compliance by the COUNTY. All questions of initial determination of possessory interest and valuation of such interest, if any, shall be the responsibility of the County Assessor and the contracting parties hereto. A taxable possessory interest may be created by this, if created, and the party in whom such an interest is vested will be subject to the payment of property taxes levied on such an interest.

D.12 TAXES. CONTRACTOR hereby grants to the COUNTY the authority to deduct from any payments to CONTRACTOR any COUNTY imposed taxes, fines, penalties and related charges which are delinquent at the time such payments under this Agreement are due to CONTRACTOR.

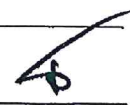
D.13 TERMINATION.

D.13.1 COUNTY shall have the right to terminate this Agreement at any time by giving notice in writing of such termination to CONTRACTOR. In the event COUNTY gives notice of termination, CONTRACTOR shall immediately cease rendering service upon receipt of such written notice and the following shall apply:

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D.13.1.1 CONTRACTOR shall deliver to COUNTY copies of all writings prepared by it pursuant this agreement. The term "writings" shall be construed to mean and include: handwriting, typewriting, printing, photocopying, photographing computer storage medium (tapes, disks, diskettes, etc.) and every other means of recording upon any tangible thing, and form of communication or representation, including letters, pictures, sounds, or symbols, or combinations thereof.

D.13.1.2 COUNTY shall pay CONTRACTOR the reasonable value of services rendered by CONTRACTOR to the date of termination pursuant to this Agreement not to exceed the amount documented by CONTRACTOR and approved by COUNTY as work accomplished to date; provided, however, that in no event shall any payment hereunder exceed Ten Thousand Dollars (\$10,000.00). Further provided, however, COUNTY shall not in any manner be liable for lost profits which might have been made by CONTRACTOR had CONTRACTOR completed the services required by this Agreement. In this regard, CONTRACTOR shall furnish to COUNTY such financial information as in the judgment of the COUNTY is necessary to determine the reasonable value of the services rendered by CONTRACTOR. In the event of a dispute as to the reasonable value of the services rendered by CONTRACTOR, the decision of the COUNTY shall be final. The foregoing is cumulative and does not affect any right or remedy which COUNTY may have in law or equity.

D.13.2 CONTRACTOR may terminate its services under this Agreement upon thirty (30) working days written notice to the COUNTY, without liability for damages, if CONTRACTOR is not compensated according to the provisions of the Agreement or upon any other material breach of the Agreement by COUNTY, provided that CONTRACTOR has first provided COUNTY with a written notice of any alleged breach, specifying the nature of the alleged breach and providing not less than ten (10) working days within which the COUNTY may cure the alleged breach.

D.14 OWNERSHIP OF INFORMATION. All professional and technical information developed under this Agreement and all work sheets, reports, and related data shall become and/or remain the property of COUNTY, and CONTRACTOR agrees to deliver reproducible copies of such documents to COUNTY on completion of the services hereunder. The COUNTY agrees to indemnify and hold CONTRACTOR harmless from any claim arising out of reuse of the information for other than this project.

D.15 WAIVER. A waiver by any party of any breach of any term, covenant or condition herein contained or a waiver of any right or remedy of such party available hereunder at law or in equity shall not be deemed to be a waiver of any subsequent breach of the same or any other term, covenant or condition herein contained or of any continued or subsequent right to the same right or remedy. No party shall be deemed to have made any such waiver unless it is in writing and signed by the party so waiving.

D.16 COMPLETENESS OF INSTRUMENT. This Agreement, together with its specific references and attachments, constitutes all of the agreements, understandings, representations, conditions, warranties and covenants made by and between the parties hereto. Unless set forth herein, neither party shall be liable for any representations made, express or implied.

D.17 SUPERSEDES PRIOR AGREEMENTS. It is the intention of the parties hereto that this Agreement shall supersede any prior agreements, discussions, commitments, representations, or agreements, written or oral, between the parties hereto.

D.18 ATTORNEY'S FEES. If any action at law or in equity, including an action for declaratory relief, is brought to enforce or interpret provisions of this Agreement, the prevailing party shall be entitled to reasonable attorney's fees, which may be set by the Court in the same action or in a separate action brought for that purpose, in addition to any other relief to which such party may be entitled.

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D.19 MINOR AUDITOR REVISION. In the event the Lassen County Auditor's office finds a mathematical discrepancy between the terms of the Agreement and actual invoices or payments, provided that such discrepancy does not exceed one percent (1%) of the Agreement amount, the Auditor's office may make the adjustment in any payment or payments without requiring an amendment to the Agreement to provide for such adjustment. Should the COUNTY or the CONTRACTOR disagree with such adjustment, they reserve the right to contest such adjustment and/or to request corrective amendment.

D.20 CAPTIONS. The captions of this Agreement are for convenience in reference only and the words contained therein shall in no way be held to explain, modify, amplify or aid in the interpretation, construction or meaning of the provisions of this Agreement.

D.21 DEFINITIONS. Unless otherwise provided in this Agreement, or unless the context otherwise requires, the following definitions and rules of construction shall apply herein.

D.21.1 Number and Gender. In this Agreement, the neuter gender includes the feminine and masculine, the singular includes the plural, and the word "person" includes corporations, partnerships, firms or associations, wherever the context so requires.

D.21.2 Mandatory and Permissive. "Shall" and "will" and "agrees" are mandatory. "May" is permissive.

D.22 TERM INCLUDES EXTENSIONS. All references to the term of this Agreement or the Agreement Term shall include any extensions of such term.

D.23 SUCCESSORS AND ASSIGNS. All representations, covenants and warranties specifically set forth in this Agreement, by or on behalf of, or for the benefit of any or all of the parties hereto, shall be binding upon and inure to the benefit of such party, its successors and assigns.

D.24 MODIFICATION. No modification or waiver of any provisions of this Agreement or its attachments shall be effective unless such waiver or modification shall be in writing, signed by all parties, and then shall be effective only for the period and on the condition, and for the specific instance for which given.

D.25 COUNTERPARTS. This Agreement may be executed simultaneously and in several counterparts, each of which shall be deemed an original, but which together shall constitute one and the same instrument.

D.26 OTHER DOCUMENTS. The parties agree that they shall cooperate in good faith to accomplish the object of this Agreement and, to that end, agree to execute and deliver such other and further instruments and documents as may be necessary and convenient to the fulfillment of these purposes.

D.27 PARTIAL INVALIDITY. If any term, covenant, condition or provision of this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remainder of the provision and/or provisions shall remain in full force and effect and shall in no way be affected, impaired or invalidated.

D.28 VENUE. It is agreed by the parties hereto that unless otherwise expressly waived by them, any action brought to enforce any of the provisions hereof or for declaratory relief hereunder shall be filed and remain in a court of competent jurisdiction in the County of Lassen, State of California.

D.29 CONTROLLING LAW. The validity, interpretation and performance of this Agreement shall be controlled by and construed under the laws of the State of California.

D.30 CALIFORNIA TORT CLAIMS ACT. Notwithstanding any term or condition of the Agreement, the provisions, and related provisions, of the California Tort Claims Act, Division 3.6 of the Government Code, are not waived by COUNTY and shall apply to any claim against COUNTY arising out of any acts or conduct under

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the terms and conditions of this Agreement.

D.31 TIME IS OF THE ESSENCE. Time is of the essence of this Agreement and each covenant and term herein.

D.32 AUTHORITY. All parties to this Agreement warrant and represent that they have the power and authority to enter into this Agreement in the names, titles and capacities herein stated and on behalf of any entities, persons, estates or firms represented or purported to be represented by such entity(s), person(s), estate(s) or firm(s) and that all formal requirements necessary or required by any state and/or federal law in order to enter into this Agreement are in full compliance. Further, by entering into this Agreement, neither party hereto shall have breached the terms or conditions of any other contract or agreement to which such party is obligated, which such breach would have a material effect hereon.

D.33 CORPORATE AUTHORITY. If CONTRACTOR is a corporation or Contractor, each individual executing this Agreement on behalf of said corporation or Contractor represents and warrants that he or she is duly authorized to execute and deliver this Agreement on behalf of said corporation, in accordance with a duly adopted resolution of the Board of Directors of said corporation or in accordance with the bylaws of said corporation or Board or Commission of said Contractor, and that this Agreement is binding upon said corporation or public entity in accordance with its terms. If CONTRACTOR is a corporation, CONTRACTOR shall, within thirty (30) days after execution of this Agreement, deliver to COUNTY a certified copy of a resolution of the Board of Directors of said corporation authorizing or ratifying the execution of this Agreement.

D.34 CONFLICT OF INTEREST.

D.34.1 Legal Compliance. CONTRACTOR agrees at all times in performance of this Agreement to comply with the law of the State of California regarding conflicts of interest, including, but not limited to, Article 4 of Chapter 1, Division 4, Title 1 of the California Government Code, commencing with Section 1090 and Chapter 7 of Title 9 of said Code, commencing with Section 87100, including regulations promulgated by the California Fair Political Practices Commission.

D.34.2 Advisement. CONTRACTOR agrees that if any facts come to its attention which raise any questions as to the applicability of this law, it will immediately inform the COUNTY designated representative and provide all information needed for resolution of the question.

D.34.3 Admonition. Without limitation of the covenants in subparagraphs D.34.1 and D.34.2, CONTRACTOR is admonished hereby as follows:

The statutes, regulations and laws referenced in this provision D.34 include, but are not limited to, a prohibition against any public officer, including CONTRACTOR for this purpose, from making any decision on behalf of COUNTY in which such officer has a direct or indirect financial interest. A violation occurs if the public officer influences or participates in any COUNTY decision which has the potential to confer any pecuniary benefit on CONTRACTOR or any business firm in which CONTRACTOR has an interest of any type, with certain narrow exceptions.

D.35 NONDISCRIMINATION. During the performance of this Agreement, CONTRACTOR shall not unlawfully discriminate against any employee of the CONTRACTOR or of the COUNTY or applicant for employment or for services or any member of the public because of race, religion, color, national origin, ancestry, physical handicap, medical condition, marital status, age or sex. CONTRACTOR shall ensure that in the provision of services under this Agreement, its employees and applicants for employment and any member of the public are free from such discrimination. CONTRACTOR shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12900 et seq.). The applicable regulations of the Fair Employment Housing Commission implementing Government Code Section 12900, set forth in

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Chapter 5, Division 4 of Title 2 of the California Code of Regulations are incorporated into this Agreement by reference and made a part hereof as if set forth in full. CONTRACTOR shall also abide by the Federal Civil Rights Act of 1964 and all amendments thereto, and all administrative rules and regulation issued pursuant to said Act. CONTRACTOR shall give written notice of its obligations under this clause to any labor agreement. CONTRACTOR shall include the non-discrimination and compliance provision of this paragraph in all subcontracts to perform work under this Agreement.

D.36 JOINT AND SEVERAL LIABILITY. If any party consists of more than one person or entity, the liability of each person or entity signing this Agreement shall be joint and several.

D.37 TAXPAYER I.D. NUMBER. The COUNTY shall not disburse any payments to CONTRACTOR pursuant to this Agreement until CONTRACTOR supplies the latter's Taxpayer Identification Number or Social Security Number by providing COUNTY with a completed IRS Form W-9.

D.38 NOTICES. All notices and demands of any kind which either party may require or desire to serve on the other in connection with this Agreement must be served in writing either by personal service or by registered or certified mail, return receipt requested, and shall be deposited in the United States Mail, with postage thereon fully prepaid, and addressed to the party so to be served as follows:

If to "COUNTY":

Richard Egan Lassen County CAO
221 S. Roop ST
Susanville CA 96130

If to "CONTRACTOR":

Don E. Raught
U.S. Bank Government Banking
621 Capitol Mall #800, Sacramento CA
95814

END OF ATTACHMENT "D".

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ATTACHMENT E

NO THIRD-PARTY BENEFICIARIES

This Agreement is made solely and specifically among and for the benefit of the parties to it, the COUNTY and the CONTRACTOR, and their respective successors and assigns, subject to the express provision of the agreement relating to successors and assigns, and no other person, has or will have any rights, interest, or claims under this Agreement as a third-party beneficiary or otherwise. This Agreement shall not establish any actionable duty of the County or County personnel inuring to any third party or to anyone claiming under or on behalf of such a third party.

END OF ATTACHMENT "E"

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